



**World
Agroforestry
Centre**



**Audited
Financial
Statements** **2013**



World Agroforestry Centre
United Nations Avenue, Gigiri
P. O. Box 30677-00100
Nairobi, Kenya.
Phone + (254) 20 722 4000

Fax + (254) 20 722 4001
Via USA phone (1-650) 833-6645
Via USA fax (1-650) 833-6646
Email: worldagroforestry@cgiar.org
Website: www.worldagroforestry.org

Content: Francis Kinyanjui, Ernest Gatoru and Pauline Ahero

Editing and proofreading: Betty Rabar

Design and layout: Sherry Odeyo and Martha Mwenda

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CONTENTS

THE CENTRE

ABOUT US	1-5
FIVE-YEAR PERFORMANCE REVIEW	6-7
CORPORATE INFORMATION	8
BOARD OF TRUSTEES	10-13
SENIOR LEADERSHIP TEAM	14-15
CORPORATE GOVERNANCE REPORT	16-19
CORPORATE SOCIAL RESPONSIBILITY	20-27

FINANCIAL STATEMENTS

BOARD CHAIR'S STATEMENT	30-32
BOARD STATEMENT ON RISK MANAGEMENT	33-34
STATEMENT OF THE MANAGEMENT'S RESPONSIBILITIES	35
REPORT OF THE INDEPENDENT AUDITOR	36-37
STATEMENT OF FINANCIAL POSITION	40
INCOME STATEMENT	41
STATEMENT OF CHANGES IN NET ASSETS	42
STATEMENT OF CASH FLOWS	43
NOTES TO THE FINANCIAL STATEMENTS	44-63

EXHIBITS

EXHIBIT 1: INCOME STATEMENT BY SOURCE	65
EXHIBIT 1A: SCHEDULE OF UNRESTRICTED GRANTS REVENUE	66
EXHIBIT 1B: SCHEDULE OF RESTRICTED GRANT REVENUE	67-81
EXHIBIT 1C: ANALYSIS OF SOURCES AND APPLICATIONS OF RESTRICTED PROJECT GRANTS	84-123
EXHIBIT 2: PROPERTY AND EQUIPMENT DETAILED SCHEDULE	124
EXHIBIT 3: STATEMENT OF OVERHEAD EXPENSES	125
EXHIBIT 4: AWARD PROGRAM	126
EXHIBIT 5: CGIAR RESEARCH PROGRAMMES	127-130

LIST OF ABBREVIATIONS & ACRONYMS

ACTS	African Centre for Technology Studies
AFEW	African Fund for Endangered Wildlife
AIDS	Acquired Immunodeficiency Syndrome
ALVF	Association for the Fight against Violence towards Women
AWARD	African Women in Agricultural Research and Development
BoT	Board of Trustees
CAMES	African and Malagasy Council for Higher Education
CCAFS	CGIAR Research Programme on Climate Change, Agriculture and Food Security
CGIAR	Consultative Group on International Agricultural Research
CIFOR	Center for International Forestry Research
CIMMYT	International Maize and Wheat Improvement Center
CIRAD	Le Centre de coopération internationale en recherche agronomique pour le développement
COACH	Network for International Women Scientists and Engineers
CORAF	Conseil ouest et centre africain pour la recherche et le développement agricoles
CRP	CGIAR Research Programme
CTA	International Center for Technology Assessment
DG	Director General
DPU	Diplomatic Police Unit
EIAR	Ethiopian Institute of Agricultural Research
ETFRN	European Tropical Forest Research Network
FARA	Forum for Agricultural Research in Africa
FCA	Fellow of the Institute of Chartered Accountants
FLD	Forest and Landscape Denmark
FPEAK	Fresh Produce Exporters Association of Kenya
FRC	Forest Research Centre
FTA	Forests, Trees and Agroforestry
G&D	Gender and Diversity
HARC	Holetta Agricultural Research Centre
HIV	Human Immunodeficiency Virus
HQ	Headquarters
IARAS	Impact Assessment and Rural Advisory Services
ICRAF	World Agroforestry Centre
ILO	International Labour Organization



ICT	Information and Communication Technology
IER	L'Institut d'Economie Rurale
IFRS	International Financial Reporting Standards
IFS	International Foundation for Science
INERA	Institut National pour l'Etude et la Recherche Agronomiques
IPG	International Public Goods
IRRI	International Rice Research Institute
IRS	Internationally Recruited Staff
IUFRO	International Union of Forestry Research Organizations
IWD	International Women's Day
LED	Light-Emitting Diodes
MP	Member of Parliament
NRM	Natural Resource Management
NRS	Nationally Recruited Staff
OG	Operational Goal
OCI	Other Comprehensive Income
POWB	Programme of Work and Budget
PROTA	Plant Resources of Tropical Africa
R4D	Research for Development
RENATA	National Network Association of Aunties
RinD	Research in Development
RUFORUM	Regional Universities Forum for Capacity Building in Agriculture
SD	Science Domain
SLT	Senior Leadership Team
UK	United Kingdom
UN	United Nations
UNDP	United Nations Development Programme
UNESCO	United Nations Organization for Education, Science and Culture
UNICEF	United Nations Children's Fund
USA	United States of America
VCT	Voluntary Counselling and Testing
VITRI	Viikki Tropical Resources Institute
WECARD	West and Central African Council for Agricultural Research and Development



About Us

The World Agroforestry Centre (ICRAF) is a CGIAR Consortium Research Centre. The organization was founded in 1978. Its global headquarters are based in Nairobi, Kenya, and it operates in 36 countries. In 2002, it was rebranded as the World Agroforestry Centre although retaining ICRAF as its legal name and acronym.

Our Vision

Our vision is a rural transformation in the developing world as smallholder households increase their use of trees in agricultural landscapes to improve food security, nutrition, income, health, shelter, social cohesion, energy resources and environmental sustainability.

Our Mission

The Centre's mission is to generate science-based knowledge about the diverse roles that trees play in agricultural landscapes, and to use its research to advance policies and practices, and their implementation that benefit the poor and the environment.

Our Core Values

We strongly adhere to four shared core values that guide our work and relationships with colleagues, investors and partners:

- **Professionalism.** We aspire to achieve the highest standards of professionalism in our research, communications, fiduciary management and operations; high levels of personal, managerial and governance integrity; transparency in our methods and approaches; and fairness in sharing credit.
- **Mutual respect.** We genuinely respect all those with whom we work, irrespective of nationality, gender, religion, age, profession or workplace seniority. We celebrate the achievements of our colleagues and partners. We support a work environment that fosters trust, teamwork and diversity. We commit ourselves to an environment of mutual respect and collaboration with partners, donors and colleagues.
- **Creativity.** We promote a culture of innovation, continuous learning, problem solving and independent thinking. We believe that success in living and fostering these values is fundamental to maintaining a vibrant organization, contributing to science and achieving impact.
- **Inclusiveness.** We strive for being highly inclusive as a value and an organizational practice, providing an open environment for full participation, a sense of belonging, mutual commitment and supportive engagement for all.



Our Strategic Goals (Strategy 2013-2022)

ICRAF has three strategic goals as described below.

- **Strategic Goal 1:** Build livelihoods by generating knowledge, choice and opportunities
- **Strategic Goal 2:** Improve landscapes and their sustainability by better managing their complexity
- **Strategic Goal 3:** Transform agroforestry impacts to large-scale through policy, innovation and partnerships

Operational Goals

In addition to the three strategic goals above, the Centre has five operational goals (OGs) to underpin the strategy. These are:

- **Operational Goal 1:** Enhancing science quality
- **Operational Goal 2:** Increasing operational efficiency
- **Operational Goal 3:** Building and maintaining strong partnerships
- **Operational Goal 4:** Accelerating the use and impact of our research
- **Operational Goal 5:** Greater cohesion, interdependence and alignment.

Our Roles

In Research and Development, ICRAF has the following six key roles:

1. Generation and validation of knowledge as International Public Goods (IPGs);
2. Building robust evidence for higher level decisions on policies and investments;
3. Working with partners at multiple scales to translate IPGs into actionable knowledge;
4. Developing and mobilizing capacity at institutional and individual levels;
5. Demonstrating proof of application of knowledge to accelerate impact and advance the science of scaling up; and
6. Convening, advocacy and interfacing amongst a wide range of partners to be co-responsible for development outcome and better engaged with realities faced by development agencies.

Science Domains

Research for Development (R4D) efforts at the World Agroforestry Centre are organized around six Science Domains (SDs), which when taken together respond well to the complexity and interactions of the key development challenges related to agroforestry. They include:



SD1 - Agroforestry Systems

This domain seeks to understand how agroforestry systems can function better, be more productive, more attractive for investments and be more ecologically sustainable in the long-term.

SD2 - Tree Products and Markets

This domain encompasses the science behind understanding and developing value chains for agroforestry tree products as well as the institutions that support and participate in tree product markets.

SD3 -Tree Diversity, Domestication and Delivery

This research theme involves identifying, delivering and conserving quality tree germplasm as well as supporting the optimal use of the right tree in the right place for the right purpose.

SD4 - Land Health and Management

This domain is concerned with understanding land degradation and how it can be prevented, reversed and its significance better communicated and recognized.

SD5 - Environmental Services

This domain is focused on understanding and promoting the benefits and sustenance of key environmental services associated with tree-based landscapes including water, soil stabilization, carbon and biodiversity. The management of these multifunctional landscapes requires mechanisms to balance: (a) goods and services; (b) short, medium and long-term objectives; and (c) efficiency and equity in the pursuit of sustainable development.

SD6 - Climate Change

This domain is concerned with the vulnerability of smallholders and developing countries to the negative effects of climate change. It attempts to examine how poor farmers and national/sub-national agencies can better adapt to changing conditions as well as benefit from mitigation opportunities.

About Us (contd.)

Research Support and Advice Units

There are a number of units which exist to support the Science Domains and Regional Programmes. They include:

1. Research Methods Group;
2. Impact Assessment and Rural Advisory Services Unit (IARAS);
3. GeoScience Lab;
4. Knowledge Management Unit;
5. Communications Unit;
6. Capacity Development Unit; and
7. Agroforestry Policy Initiative.

Regional Implementation

The research and development work of the Centre spans global, regional, national, sub-national and local scales. ICRAF operates six Regional Programmes through a Regional Network Office as follows:

- East and Southern Africa Region;
- West and Central Africa Region;
- South East Asia Region;
- East and Central Asia Region;
- South Asia Region; and
- Latin America Region.

Country Teams

Each of the Regional Network Offices manages a group of geographically associated Country Offices. The Centre operates in the following countries:

East and Southern Africa Regional Office: Nairobi, Kenya	West and Central Africa Regional Office: Yaoundé, Cameroun	South East Asia Regional Office: Bogor, Indonesia	South Asia Regional office: Delhi, India	East & Central Asia Regional Office	Latin America Regional Office: Lima, Peru	Others
1. Ethiopia	11. Burkina Faso	21. Indonesia	26. Bangladesh	31. China	32. Brazil	35. USA
2. Kenya	12. Cameroun	22. Philippines	27. Bhutan		33. Costa Rica	36. Belgium
3. Malawi	13. Côte d'Ivoire	23. Thailand	28. India		34. Peru	
4. Mozambique	14. Democratic Republic of Congo	24. Vietnam	29. Nepal			
5. Rwanda	15. Ghana	25. Democratic People's Republic of Korea	30. Sri Lanka			
6. Tanzania	16. Mali					
7. Uganda	17. Niger					
8. Zambia	18. Nigeria					
9. Zimbabwe	19. Sierra Leone					
10. Burundi	20. Senegal					

About Us (contd.)

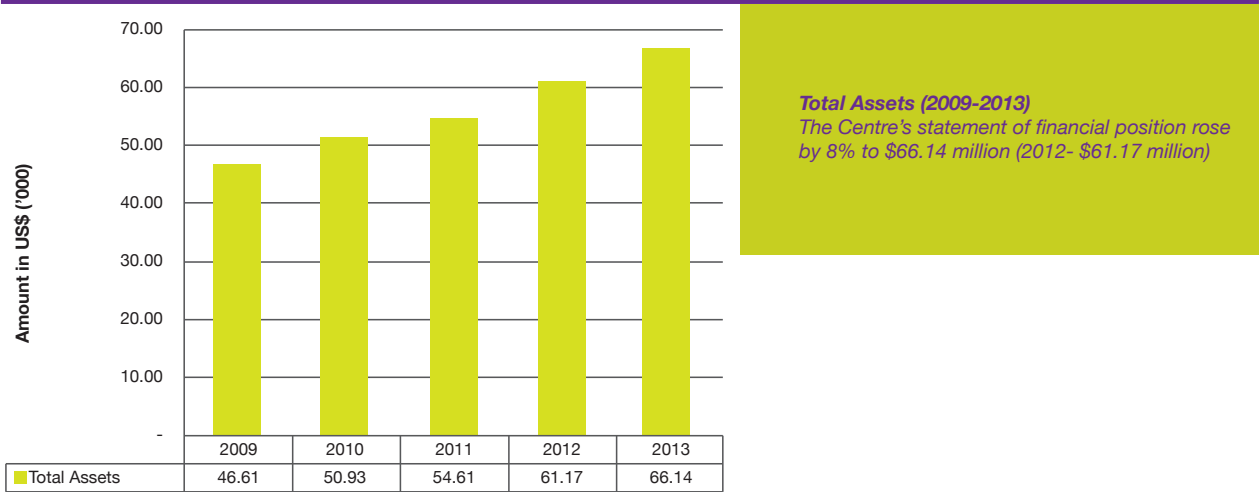
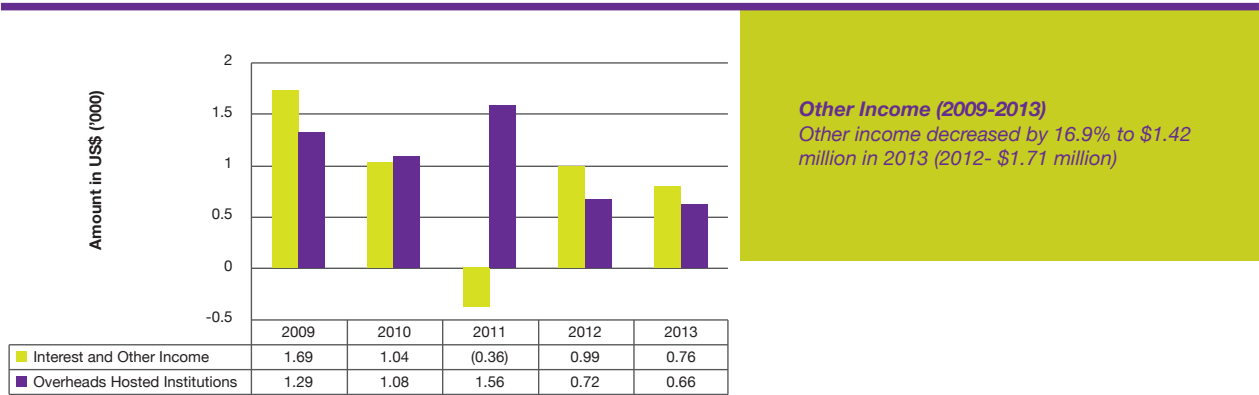
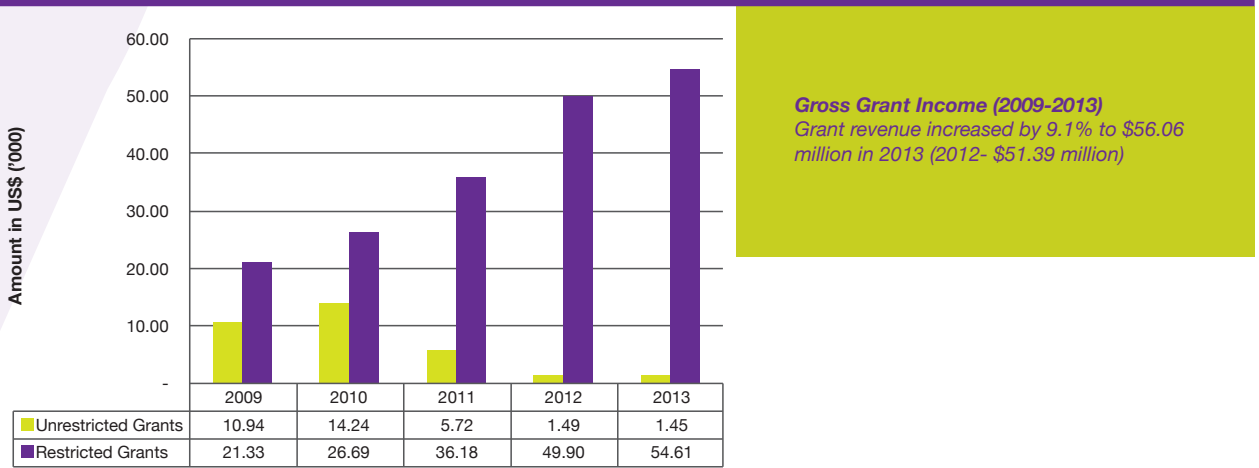
Our People

The organization comprises 552 staff from a wide array of disciplines including forestry, agriculture, economics, soil science, social science, administration, monitoring and evaluation, communications and ICT. Their high-level skills and expertise ensure that the Centre has the capacity to conduct quality research, and to use this research to advance policies and practices that benefit the poor and the environment.

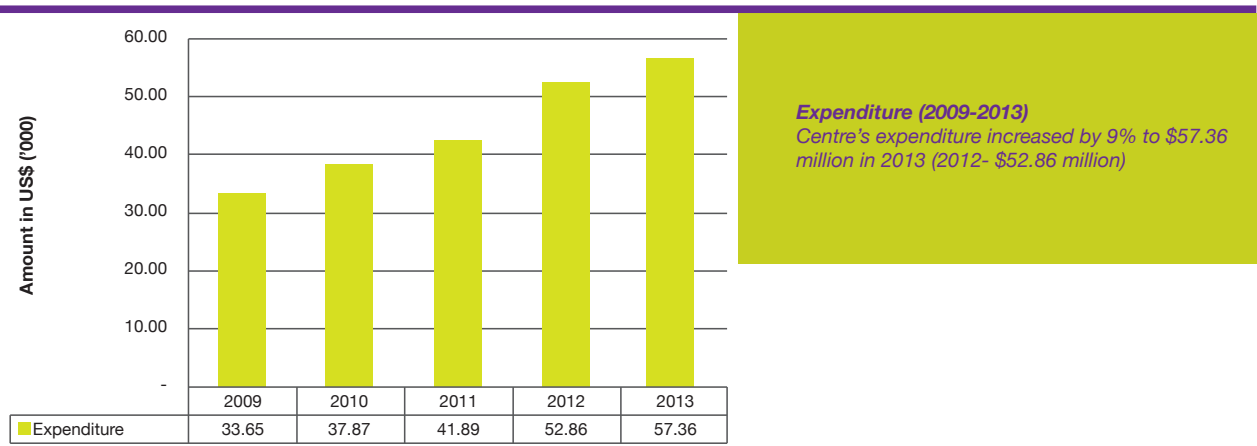
Our Partners

The World Agroforestry Centre has always implemented much of its work in partnership with a range of public, private and international bodies. Our partnerships are based on a clear recognition of the value that is added through working jointly with partners and sharing strengths to achieve specific outcomes. We partner with universities, advanced research institutions, national agricultural research organizations, private sector organizations, and government and non-government agencies in the fields of agriculture, forestry, environment, conservation and climate change.

Five-year performance review



Five-year performance review (contd.)



BOARD OF TRUSTEES

Dr. John Lynam (Chair)
 Ms. Hilary Wild (Vice Chair)
 Prof. Eric Tollens
 Dr. Romano Kiome, Ex-officio
 Dr. Rita Sharma
 Prof. Olavi Luukkanen
 Dr. Paco Sereme
 Mr. John Hudson, Ex-officio
 Dr. Hosny El-Lakany, Ex-officio
 Dr. Héctor Cisneros
 Prof. Tony Simons, Ex-officio
 Ms. Sicily Kariuki, Ex-officio
 Ms. Marie Claire O'Connor

USA
 United Kingdom
 Belgium (Left in April 2013)
 Kenya (Left in April 2013)
 India
 Finland
 Burkina Faso
 United Kingdom
 Egypt
 Peru
 United Kingdom
 Kenya (Joined in November 2013)
 Ireland (Joined in November 2013)

HEADQUARTERS

World Agroforestry Centre
 ICRAF House
 United Nations Avenue
 P.O. Box 30677
 00100 – Nairobi
 Kenya

AUDITORS

PricewaterhouseCoopers
 Certified Public Accountants
 PwC Tower
 Waiyaki Way/Chiromo Road, Westlands
 P. O. Box 43963
 00100 – Nairobi
 Kenya

LAWYERS

Oraro & Company Advocates
 ACK Garden House
 3rd Floor, Wing C, First Ngong Avenue
 P.O. Box 51236
 00200 - Nairobi
 Kenya

BOARD SECRETARY

Mr. Laksiri Abeysekera



Lanyutka!



Dr. John Lynam
Chair, Board of Trustees

Dr. Lynam, an agricultural economist, is currently an independent consultant, whose work has largely focused on smallholder-led agricultural development in the tropics. His research interests include agricultural innovation and productivity, and the role of the private sector in agriculture. He serves on several boards, including the Advisory Committee for the Collaborative Crop Research Programme, McKnight Foundation, and the Advisory Panel of Harvest Choice.



Ms. Hilary Wild

Hilary Wild is a fellow of the Institute of Chartered Accountants in England and Wales. She was Comptroller of the World Health Organization in Geneva for 7 years, prior to which she was a Director in the Kleinwort Benson Group in London. Hilary has also worked for UNICEF as Chief of Finance in New York and for a major commercial bank in London and as the bank's representative in Greece. She presently chairs the UNDP Audit Advisory Committee and the ILO Independent Oversight Advisory Committee, and is a member of the UNESCO Oversight Advisory Committee. In the CGIAR, she is a member of the Governance Risk and Compliance Committee and the Audit Advisory Committee. She is also a committee member of the Italian Association of International Accountants.



Prof. Tony Simons
Ex-officio member

Prof. Tony Simons is the Director General of the World Agroforestry Centre, a position he has held since October 2011. Prior to that, he was the Deputy Director General of the Centre. He is a Board member of Plant Resources of Tropical Africa (PROTA) and the African Centre for Technology Studies (ACTS), and a member of the Panel of Experts of Forest Genetic Resources. He is also an Honorary Professor of Tropical Forestry at Forest & Landscape Denmark (FLD), Faculty of Life Science, University of Copenhagen. Prof. Simons has a PhD in Botany from Cambridge University, United Kingdom.

Board of Trustees (contd.)



Ms. Sicily Kariuki
Ex-officio member

Ms. Sicily K. Kariuki (MBS) is the Principal Secretary, State Department of Agriculture, Ministry of Agriculture, Livestock and Fisheries. Before joining the Ministry, Ms. Kariuki was the Managing Director/Chief Executive Officer of the Tea Board of Kenya. She has also worked in the private sector within Kenya's vibrant horticulture and floriculture industry as the Chief Executive Officer of Fresh Produce Exporters Association of Kenya (FPEAK). Ms. Kariuki studied marketing at the University of Nairobi and has an MBA and post-graduate qualifications in International Food Laws and Leadership.



Dr. Hosny El-Lakany
Ex-officio member

Dr. Hosny El-Lakany holds a PhD in Forestry from the University of British Columbia and a DSc, h.c. from Laval University. He was awarded the Distinguished World Agroforestry Fellow in 2007. He is the Chairman of the Board of Trustees of the Centre for International Forestry Research (CIFOR), a member of the Canadian Institute of Forestry and member of the Governing Council of the Commonwealth Forestry Association. He is a former Assistant Director General/Head Forestry Department of the Food and Agriculture Organization of the United Nations. Currently, Dr. El-Lakany is an adjunct professor in Forest Resources Management, University of British Columbia. His teaching, research and consulting activities are focused on International Forestry Policy.



Dr. Héctor Cisneros

Dr. Héctor Cisneros holds a PhD in Forestry from the University of British Columbia and an MSc in Forestry from University of Toronto. He has worked in both North America and Peru, and his main areas of expertise include rural development, forestry and environmental issues. He is currently the Executive Coordinator of the National Forest Conservation Programme to Mitigate Climate Change in the Ministry of Environment in Peru. He has authored and co-authored over 20 technical papers.

Board of Trustees (contd.)



Dr. Paco Sereme

Dr. Paco Sereme is a Research Director in Plant Pathology at INERA, the National Agricultural Institute of Burkina Faso. He led the institution as Director General from 1994-2002 and was the Executive Director of The West and Central African Council for Agricultural Research and Development, CORAF/ WECARD from 2003 to 2012. He contributed to strengthening the institution as a key tool for agricultural scientific cooperation in the sub-region. He is a Board member of many institutions and has received several awards. As a senior scientist, he has produced many articles on the major fungal diseases of staple crops in Burkina Faso and supervised the research activities of several scientists.



Ms. M Claire O Connor

Marie Claire O Connor holds a BSc in Biochemistry from University College Dublin and MSc in Organizational Behaviour from Trinity College/Irish Management Institute. She is an FCA, qualifying with Coopers and Lybrand – now PwC. She worked in treasury (Esso), corporate banking – (Bank of America, investment banking (Westlb.) and fund management (Irish Life). Claire was the CEO of the American Chamber of Commerce in Ireland from 1998 to 2001. She held Board appointments in the Irish Energy Centre and the Industrial Credit Corporation Plc. She was Director of the National Disability Authority from 2001 to 2005 – a body which developed standards and codes of practice for inclusion of people with disabilities in Ireland. She served for six years on the Irish Department of Agriculture's Independent Advisory Audit Committee.



Dr. Rita Sharma

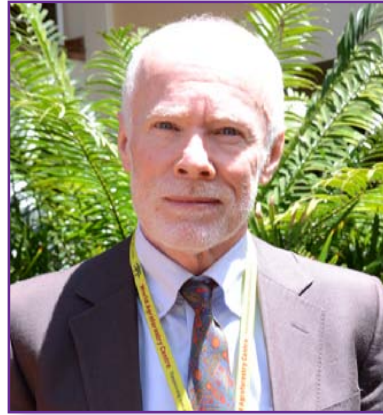
Dr. Sharma is an agricultural economist. She is currently the Secretary in the Ministry of Rural Development, Government of India, responsible for the implementation of programmes and projects for poverty alleviation, infrastructure creation, employment and risk management in rural areas. Her areas of specialization include financial management, development policy and planning, agriculture, rural development, natural resources management, livelihood and food security issues, and skill development of rural youth. She is also a commissioner to the Commission of Sustainable Agriculture and Climate Change set up by CGIAR's Research Programme on Climate Change, Agriculture and Food Security (CCAFS).

Board of Trustees (contd.)



Prof. Olavi Luukkanen

Prof. Emeritus Olavi Luukkanen holds a DSc (Agriculture & Forestry) degree in silviculture and separate MSc degrees in Forestry and Genetics from the University of Helsinki, as well as an MSc in Forestry/Tree Physiology from the University of Wisconsin, USA. From 1984 to 2012 he worked as professor of tropical silviculture at the University of Helsinki, where he also established the Viikki Tropical Resources Institute (VITRI) and was its director until 2010. He acted as a senior advisor on forestry and agroforestry for the International Foundation for Science (IFS) from 1989 to 2000, and he has also served as the president of the Finnish Society for Forest Science and as the chairman of the European Tropical Forest Research Network (ETFRN). He was awarded the Neilein First Class Decoration of Sudan in 2005 and an honorary doctorate in tropical forestry at Kasetsart University, Bangkok, Thailand, in 2012.



Mr. John Hudson

Ex-officio member

John Hudson is a forester with several years of experience in international development from around the world. He was Senior Forestry Advisor at the UK's Department for International Development until 2010. He is Chair of the Board of Directors of the Rights and Resources Initiative, Vice Chair of CIFOR's Board of Trustees, Trustee of the Commonwealth Forestry Association, a member of the Programme Committee of WWF-UK and advisor to the Climate and Land Use Alliance.



Prof. Tony Simons **Director General**

Prof. Tony Simons holds a PhD in Botany from Cambridge University, United Kingdom. He has worked with the World Agroforestry Centre since 1995 in different roles. He was appointed Director General in 2011. Prof. Simons is a Board member of Plant Resources of Tropical Africa (PROTA) and the African Centre for Technology Studies (ACTS), and a member of the Panel of Experts of Forest Genetic Resources.



Mr. Laksiri Abeysekera **Deputy Director General – Finance and Corporate Services**

Mr. Abeysekera joined the World Agroforestry Centre in August 1998. He is a fellow member of the Chartered Institute of Management Accountants. He is responsible for treasury and accounting, budgeting functions and systems, and for the formulation and implementation of financial strategies and policies. He assists the Director General in macro-financial planning, financial analysis, investments, and budgetary control, and provides financial advice to the Senior Leadership Team, the Director General and the Board of Trustees.

Senior Leadership Team (contd.)



Dr. Ravi Prabhu

Deputy Director General – Research and Regions

Dr. Ravi Prabhu joined the World Agroforestry Centre in January 2012. He earned his professional degree and doctorate in Forestry from the University of Goettingen, Germany. He has engaged in multi-disciplinary research and action in forested landscapes for almost 20 years. He was previously a Senior Programme Officer, Forests and Climate Change with UNEP in Nairobi. Dr. Prabhu has served on numerous international initiatives and committees, including the Millennium Ecosystem Assessment where he served on the review and editorial team, and the UN Millennium Projects Taskforce 6 on Environmental Sustainability. He received the Queen's Award for Forestry at Buckingham Palace in 2005.



Dr. Margaret M. Kroma

Assistant Director General –Partnerships, Capacity Development, Impact and Extension

Dr. Margaret Kroma, PhD joined the World Agroforestry Centre in 2013. She has a PhD in Rural Sociology from Iowa State University, and an MSc in Agricultural Extension from Virginia Polytechnic and State University (US). Her main areas of expertise include rural sociology and extension science with specializations in the sociology of agriculture, gender and rural extension. She has extensive experience in the management of NRM education and outreach partnerships, and is currently responsible for the overall vision, strategy and management of partnerships at the Centre. Dr. Kroma is a member of the RUFORUM (Regional Universities Forum for Capacity Building in Agriculture) Technical Committee and the CTA Expert Committee on Women in Science and Technology Capacity Building.

CORPORATE GOVERNANCE REPORT

The World Agroforestry Centre (ICRAF) subscribes to the ideals of excellence, transparency and social responsibility. These are the primary characteristics of good governance in line with the Centre’s vision and mission to reduce rural poverty, increase food security, improve health and nutrition and ensure more sustainable management of natural resources through research and innovation.

As a global organization, ICRAF has a system of corporate governance that is tailored to ensure commitment and high professional standards from the Board of Trustees and the Senior Leadership Team, to whom the running of the Centre has been entrusted for efficient, effective and successful achievement of its primary objectives.

The Board of Trustees and Senior Leadership Team are therefore committed to ensuring that these principles continue to be an integral part of the way in which the Centre’s activities are conducted.

Board organization and structure

The World Agroforestry Centre’s Charter provides for the Board of Trustees (BoT) as the governing body. The BoT’s fundamental responsibility is to

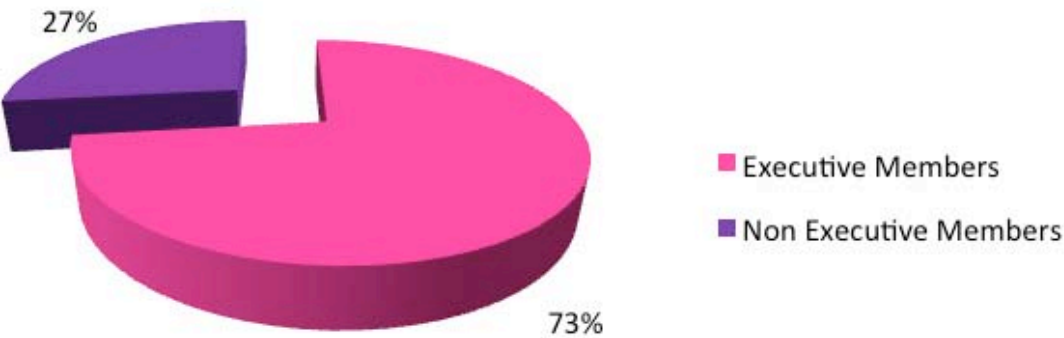
ensure that the Centre has the required resources to achieve its mission and vision with the highest level of honesty, integrity and ethical standards. The current BoT constitutes a diverse pool of skills in the areas of agroforestry science, environmental management, business management, and economics, amongst other areas.

The BoT comprises 11 elected members who include a representative from the Government of Kenya, a Board member of the Center for International Forestry Research (CIFOR), the Chair of CIFOR’s Programmes Committee and the World Agroforestry Centre’s Director General.

The role of the Board

The Board of Trustees’ primary mandate is to lay down policies and monitor management action. In order to do this effectively, the Board has delegated the day-to-day management of the Centre to the Director General who is assisted by the Senior Leadership Team.

Composition of the Board of Directors



Corporate Governance Report (contd.)

The Board of Trustees is responsible for approving the Centre's Strategy and the Annual Programme of Work and Budget. The Board also monitors the Centre's progress towards achieving its objectives; ensures financial integrity and accountability; provides oversight of investments and disposal of major assets; approves personnel policies; and monitors the legal implications of the Centre's activities, and the performance of the Board as a whole and that of its individual members.

It is also up to the sitting Board of Trustees to identify and elect new Board members and orient them on the operations of the World Agroforestry Centre, among other critical functions.

Activities of the Board

The Board meets twice a year, in April and November. It is the responsibility of the Board Secretary to organize the meetings, ensure proper documentation of the Board business and support the Board Chair and other members. The Board of Trustees also works closely with specially formulated Board Committees which ensure efficient and effective implementation of Board business.

Each committee has formal written terms of reference that are approved and periodically reviewed by the Board. All committee members are trustees, and the Centre's Director General is an ex-officio member of some of the committees. Director-level staff serve as the resource persons for the committee to which their responsibility most closely relates.

Corporate Governance Report (contd.)

The functions and composition of the Board Committees

Board of Trustees	Summary of key terms of reference
<p>Chairman Dr. John Lynam</p> <p>Members Dr. Rita Sharma Prof. Olavi Luukkanen Dr. Paco Sereme Ms. Hilary Wild Mr. John Hudson Dr. Hosny El-Lakany Dr. Héctor Cisneros Prof. Tony Simons Ms. Sicily Kariuki Ms. Marie Claire O'Connor</p>	<ul style="list-style-type: none"> Select and appoint (or dismiss) the Director General and set his or her terms of employment. Endorse the appointment of director-level staff upon the recommendations of the Director General. Approve personnel policies, salary scales and benefits of the World Agroforestry Centre employees. Actively participate in the development of the Centre's strategy and medium-term plans. Review and approve the annual programme of work and budget. Monitor the World Agroforestry Centre's progress towards the achievement of its objectives through systematic internal reviews and interact directly with external programme and management review panels. Ensure that the World Agroforestry Centre's financial integrity and accountability is upheld, appoint external auditors, and approve the audited annual financial statements. Exercise oversight of investments, acquisition of facilities and equipment and disposal of major assets. Identify and elect new Board members and orient them on the operations of the World Agroforestry Centre. Monitor and evaluate the performance of its members to ensure that the Board is accountable for prudence and diligence in the performance of its functions.
Executive Committee	Summary of key terms of reference
<p>Chairman Dr. John Lynam</p> <p>Members Prof. Olavi Luukkanen Dr. Paco Sereme Ms. Hilary Wild Dr. Rita Sharma Prof. Tony Simons</p>	<ul style="list-style-type: none"> Act for the Board on all matters which the Board delegates to it. Set up general management policies for the Centre. Carry out an overview of the management and financial practices of the Centre; and submit its report and recommendations to the Board of Trustees for endorsement. This committee meets twice annually.
Finance and Audit Committee	Summary of key terms of reference
<p>Chairman Ms. Hilary Wild</p> <p>Members Dr. Rita Sharma Dr. John Lynam Dr. Hosny El-Lakany Prof. Olavi Luukkanen Ms. Marie Claire O'Connor Mr. John Hudson</p>	<ul style="list-style-type: none"> Assist the Centre's Board of Trustees in fulfilling its financial oversight responsibilities. Oversee the financial reporting process, the system of risk management and internal control, and the audit process. Monitor the compliance to laws and regulations and the code of conduct. Reach out to philanthropic foundations that have not previously been donors to CGIAR centres. Seek grants from the private sector, including private individuals. Oversee the management of an endowment with annual returns to the unrestricted core within policy dictated margins.
Programmes Committee	Summary of key terms of reference
<p>Chairman Prof. Olavi Luukkanen</p> <p>Members Dr. Rita Sharma Dr. John Lynam Dr. Paco Sereme Ms. Hilary Wild Mr. John Hudson Dr. Hosny El-Lakany Dr. Héctor Cisneros Prof. Tony Simons Ms. Sicily Kariuki Ms. Marie Claire O'Connor</p>	<ul style="list-style-type: none"> Its mandate is to review the current and future programme of work for the Centre, in consultation with the Director General, director-level staff and other staff members nominated by the Director General, and to report its findings to the Board. This team meets at least once a year.

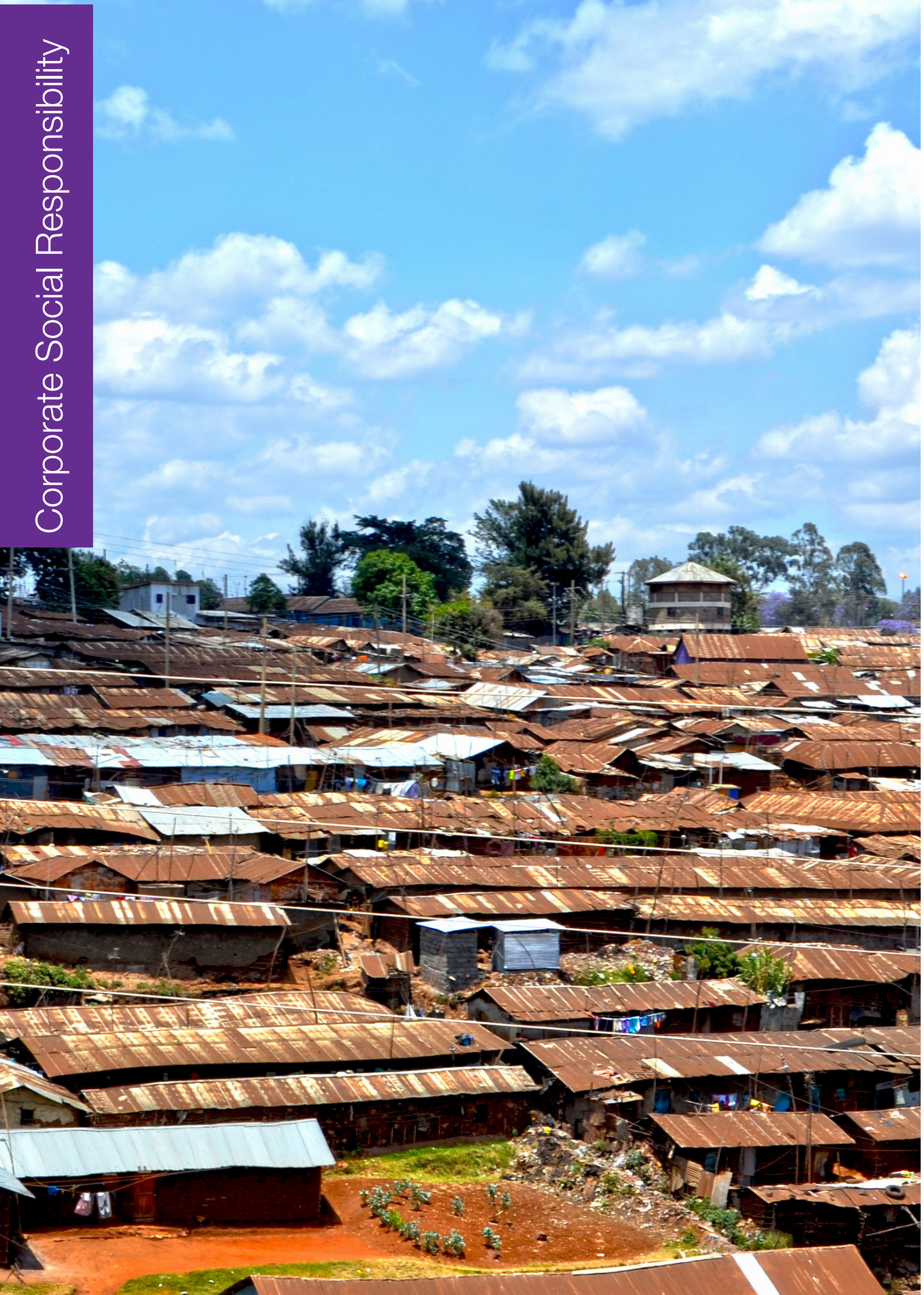
Corporate Governance Report (contd.)

Operations Committee	Summary of key terms of reference
<p>Chairman Dr. Paco Sereme</p> <p>Members Prof. Olavi Luukkanen Ms. Hilary Wild Dr. Héctor Cisneros Ms. Sicily Kariuki Ms. Marie Claire O'Connor</p>	<ul style="list-style-type: none"> It assists the Board of Trustees to review the efficiency and effectiveness of the Centre's operational functions. This includes matters related to human resources, transport, and information and communications technology. Oversees building expansion, safety and security. This team also meets at least once a year.
Nominations Committee	Summary of key terms of reference
<p>Chairman Dr. Rita Sharma</p> <p>Members Dr. Hosny El-Lakany Dr. John Lynam Prof. Tony Simons Mr. John Hudson Dr. Héctor Cisneros</p>	<ul style="list-style-type: none"> The major responsibility of this Committee is to monitor tenure of membership of serving Trustees and to develop and maintain a roster of potential Board members.

Board and Committee meetings

The following table indicates committee membership of the board members and meeting attendance for the year 2013. Where Trustees are not able to attend particular meetings, comments and issues arising from specific topics of discussion are usually relayed in advance to the Chairman of the Committee, with relevant papers or information for consideration.

	Board including Executive committee	Nominations	Finance and Audit	Operations	Programme
Number of meetings held	2	2	2	2	2
Attendance Chair					
Dr. John Lynam	2	2			2
Executive Committee Members					
Olavi Luukkanen	2			2	2
John Lynam	2	2			2
Eric Tollens	1	1			1
Paco Sereme	2			2	2
Rita Sharma	2	2	2		2
Hilary Wild	2		2	2	2
Marie Claire O'Connor	1		1	1	1
Héctor Cisneros	2			2	2
Non-Executive Members					
Hosny El-Lakany	2	2	2		2
John Hudson	2	2			2
Romano Kiome	1			1	1
Sicily Kariuki	1			1	1
Tony Simons	2	2			2



Corporate Social Responsibility

The World Agroforestry Centre (ICRAF) regards Corporate Social Responsibility as a fundamental aspect of its activities. The Centre aims at providing a safe and healthy workplace as well as making a positive contribution to the environment and the communities within which it operates.

ICRAF has continuously supported various initiatives in the areas of education, environment, sports as well as other emerging needs.

REACHING OUT TO THE COMMUNITY

ICRAF conducts anti-jigger campaign



A child is treated

ICRAF, in partnership with the Ministry of Health in Kenya, held an anti-jigger campaign at Emwatsi village, Kisumu. The exercise aimed to treat, educate and empower the community on issues surrounding the spread of jiggers, and how they can effectively deal with the problem.

The main activities included spraying classrooms at Emwatsi Primary School with Actelic, an insecticide used for pest control, education of parents, students and other community members on the importance of personal and environmental hygiene as a critical means of dealing with the jigger menace and treatment of children suffering from jigger



Rose Onyango (ICRAF) plants a tree at Emwatsi Primary School

infestation. A total of 34 children were treated. They were also given carry-home treatments to use at a later stage. Plastic basins and bars of soap were also donated to the affected.

The team then moved from house to house, teaching and treating affected individuals, disinfecting homes and donating foodstuff and toiletries. ICRAF staff later planted 100 tree seedlings at Emwatsi Primary School to commemorate the event, while 100 more seedlings were issued to the community members to plant in their farms.

Corporate Social Responsibility (cont)

Visiting children's homes

On 25 October 2013, staff from the World Agroforestry Centre (ICRAF) in Malawi visited an orphanage, Children of the Nations. The orphanage takes care of about 70 children. Children of the Nations supports over 2,000 children in Malawi. The staff interacted with the children and donated 150 improved fruit tree seedlings and paint to be used for the newly built children's hostel, all worth about US\$1,750.

In Kenya, ICRAF staff visited two children's homes, Compassionate Neighbours and House of Mercy, both located in Githurai, Nairobi on 18 December 2013. Both homes currently host about 80 orphans. The Centre donated blankets, mattresses and foodstuff.



ICRAF's Southern Africa Regional Representative, Dr. Weldesemeyat plants a tree



House of Mercy receives donations from ICRAF. Celestine Umira (extreme right) carries the youngest child in the home

Corporate Social Responsibility (cont)

ICRAF donates communication equipment to the Diplomatic Police Unit

In response to a request for assistance from the Diplomatic Police Unit (DPU), the World Agroforestry Centre donated a variety of phones to the unit on 27 February 2013. The Centre donated five cell phones and a Thuraya satellite phone.



Peter Murunga presents a cellphone to Allan Sangoroh of Diplomatic Police Unit

Supporting the National Amputee Football team



Members of the Kenya Amputee football team present their trophy to ICRAF

On 23 August 2013, ICRAF donated Kshs 100,000 to the Kenya National Amputee Football team, to support them during their match against their Liberian counterparts in Monrovia. The hosts won 2-0, so Kenya came back home with a Runners Up Trophy, which they presented to ICRAF on 13 September 2013.

Donating blood

Following the devastating terrorist attack in Nairobi, which took place on 21 September 2013 at the Westgate Mall in Westlands, ICRAF staff in Nairobi reached out to the victims. About 40 members of staff went to Uhuru Park to donate blood on 24 September 2013, following an appeal by the Kenya Red Cross Society to help the hospitalized survivors of the attack.



ICRAF staff donate blood at Uhuru park

ENVIRONMENTAL CONSERVATION

Spearheading tree planting in Ethiopia



The tree planting exercise organized by ICRAF in Ethiopia

As part of its activities to transform lives and landscapes, ICRAF Ethiopia organized a tree planting event on 10 August 2013 in Elue District of West-Shoa Zone. The objective was to raise awareness on the role of trees in improving food security and livelihoods, sequestering carbon and enhancing resilience to climate change.

Ethiopia is Africa's second most populous country with a population of about 85 million. Agriculture accounts for almost 40% of the country's gross domestic product, 80% of exports and 85% of the labour force. Agricultural production is overwhelmingly dependent on small-scale farmers and smallholder agriculture accounts for over 95% of the cultivated land and production. However, the country's agricultural economy is under continuous threat from various forms of land degradation. Soil erosion is one of the most important factors and poses a threat to food security and development prospects.

During the event, over 3000 seedlings of high value fruit and various indigenous trees were planted. The high value fruit trees were planted in homesteads and farmlands of 100 poor women-headed households identified by ICRAF, the local administrators and development agents. In total, over 1000 people drawn from the farming community, women representatives, youth representatives, MPs, members of the Parliamentary Committee on Agriculture and Natural Resources, ministry officials, the Ethiopian Institute of Agricultural Research (EIAR), the Forest Research

Centre (FRC), Holetta Agricultural Research Centre (HARC), Ethiopian Forestry Society, school children, local authorities and Non-Governmental Organizations took part in the event.

Dignitaries comprised HE Belete Tafere, the Minister for Environment and Forestry, Mr Etefa, MP and Chairman of the Parliamentary Committee on Agriculture and Natural Resources, Dr. Fantahun, Director General of EIAR, Dr. Adugna, Deputy Director General of EIAR, Dr. Wubalem, Director of Forest Research, Mr Shimeles, Head of the Agroforestry Case Team, Dr. Alemu, President of the Ethiopian Forestry Society, Mr Daniel, Head of the Natural Resources of NGO: Focus on Environment and Dr. Kiros Meles Hadgu, ICRAF Ethiopia Country Representative.



From left to right: HE Belete Tafere, Minister for Environment and Forestry, an elementary student from the local school and Kiros Hadgu (ICRAF) plant a tree

INTERNATIONAL EVENTS

ICRAF celebrates International Women's Day

International Women's Day (IWD) on 8 March celebrates women's achievements throughout history and across nations, and serves as a rallying point to build support for women's rights and participation in the political and economic arenas. The theme for IWD 2013 was "The Gender Agenda: Gaining Momentum," with the sub-theme "A Promise is a Promise: Time for Action to End Violence Against Women." One of the greatest challenges of our times, violence against women and girls affects up to 7 out of 10 women worldwide. Occurring in several forms in all countries and settings, violence has a negative

impact on women and their communities, hampers development, and also costs countries billions of dollars annually in health care and lost productivity.

To mark International Women's Day, ICRAF's office in Yaounde made a strong appeal for an end to violence against women. They were joined by staff from CIFOR, and three women associations – the Network for International Women Scientists and Engineers (COACH - Cameroon), the National Network Association of Aunties (RENATA) and the Association for the Fight against Violence towards Women (ALVF).



A representative of a local NGO makes a point during the debate

The representatives of the associations shared their experiences and efforts being made to eliminate violence against women. They informed the staff that the country still has a long way to go, because there is need to put in place appropriate legal mechanisms. Staff also viewed a video documentary titled *Rape and Incest in Cameroon* produced by RENATA. This set the ball rolling for an engaging debate on issues affecting women in the country. A poetry and musical competition was held, with prizes awarded for the best song and poem.

On Tuesday 12 March 2013, staff members visited some survivors of violence at RENATA, and donated foodstuff and other household items. The NGO works with women and girls who have been victims of violence in Cameroon.

INTERNATIONAL EVENTS (cont)

World AIDS Day

ICRAF in Cameroon commemorated World AIDS Day on 2 December 2013. The theme was Getting to Zero: Zero new HIV infections. Zero discrimination. Zero AIDS-related deaths. Resource persons were invited to discuss various issues with staff, ranging from sexuality and HIV, marriage and



Edith Souop, HR Manager in Cameroon addresses staff during the event

HIV, social status and HIV, prevention, living with HIV and HIV in the workplace.

There were presentations and discussions on the importance of knowing one's HIV status and the effects of AIDS on women and other vulnerable groups, such as the youth. At the end of the event staff observed a minute of silence for all those who have died of HIV/AIDS.

In Nairobi, a voluntary counselling and testing (VCT) camp, conducted by Liverpool VCT, was organized for all staff and their families on 4-6 December.

World AIDS Day is celebrated on 1 December every year to raise awareness about the dangers of HIV/AIDS and to demonstrate international solidarity in the face of the pandemic. The day is an opportunity for public and private partners to disseminate information about the pandemic and to encourage progress in HIV/AIDS prevention, treatment and care around the world, particularly in high prevalence countries.

National Environment Day in Kenya

ICRAF partnered with African Fund for Endangered Wildlife (AFEW), popularly known as the Giraffe Centre in the World Environmental Week activities which ran from 3 - 8 June 2013. One of the activities was the National Environment Day art and essay contest for Kenyan students. The theme for the contest was: Green Economy, Our Future. ICRAF donated a wide range of prizes including branded t-shirts, umbrellas, caps, pencils and kikoi bags.



Rose Onyango (ICRAF) presents a prize to one of the winners at the Giraffe Center in Nairobi

BUILDING THE YOUTH

Students learn about vegetative multiplication techniques

Twenty-two students, from various schools in Cameroon visited ICRAF's nursery on 22 November 2013 to learn about vegetative multiplication. They were taken through the various types of propagators, how to build a propagator, the materials and substrates used, and the various vegetative multiplication techniques. Each of them had an opportunity to prepare a leafy stem cutting.

They later held discussions with the technicians on the biological process which takes place in the propagator after planting, how long it takes for the scion to grow on a rootstock, where they could obtain scions, why they have to peel off the bark of a tree before marcotting and how long it takes for the tree to develop roots. Following the visit, the students reported that they had constructed a small nursery for tree cuttings as part of their service learning.



A student from the American school of Yaounde prepares a leafy stem cutting



Lewis Chin demonstrates the grafting technique

ICRAF at Moi High School Kabarak

On 20 July 2013 staff from ICRAF visited Moi Kabarak High School. The day began with a presentation on the Centre's vision, mission and activities. The staff then held discussions with the students on scholars in research, the various types of research and the qualities of a good research project. They also advised the students on the subjects they should excel in if they wanted to engage in research.

The group later held a tree-planting ceremony and ICRAF donated more seedlings to be planted at a later date. In the afternoon, there was a friendly football match between the school's staff and students, and ICRAF's soccer team. The visitors lost 0 - 2.



ICRAF staff and students from Moi High School Kabarak plant a tree







Board Chair's Statement

The Board of Trustees of the World Agroforestry Centre is responsible for providing programmatic, governance and financial oversight to ensure that the Centre is managed effectively and efficiently. The Board is privileged to serve an institution whose mission and objectives are centred on improving people's lives through sound science and the highest level of professionalism, transparency and accountability in all its operations. The Centre has seen steady progress in its programmatic delivery, financial performance and risk management, and the Board is encouraged by this trend.

The year 2013 saw continuing global economic crises, especially in Europe, with decreasing funds being made available for development. At the same time, figures from the Food and Agriculture Organization of the United Nations showed that despite good harvests, global food commodity prices again started to climb in 2013. The outlook for 2014 is uncertain as weather extremes threaten both developed and developing countries.

Centre highlights

Although agriculture is resolutely ignored in the UN climate change negotiations, agroforestry was well recognized by development agencies for its potential contribution to both climate change mitigation and adaptation. We are now at the heart of the movement to promote climate-smart agriculture, putting us in a very strong position to pursue our scientific work in concert with widespread development and policy innovation in an increasing number of countries. At the same time, ICRAF in 2013 began to implement its new strategy, aligning its work around three strategic objectives focused on improving livelihoods, sustainably managing landscapes, and taking agroforestry to scale.

During 2013, the Centre participated in most of the high-level international events, making presentations and engaging in discussions at the highest level. ICRAF staff co-authored one of the four background papers, entitled 'Food Security and Sustainable Resource Use – What are the Resource Challenges to Food Security?' for the Conference on Food Security Futures: Research Priorities for the 21st Century on 11-12 April 2013, in Dublin, Ireland. Then, in the same city, to mark its Presidency of


the European Union, Ireland hosted the Conference on Hunger, Nutrition and Climate Justice on 15-16 April, and ICRAF made a strong contribution to the proceedings.

At the United Nations Forum on Forests in Istanbul in April, the Director General chaired one of the discussion sessions and made an address to the delegates. In May, he addressed the International Conference on Forests for Food Security, organized by the Food and Agriculture Organization of the United Nations in Rome, and chaired a very well-attended ICRAF side-event. In August, the South East Asia Regional Office staff organized the Sixth Annual Ecosystem Services Partnership Conference in Bali, Indonesia, which saw over 600 participants gather to develop the science, policy and practice of ecosystem services.

ICRAF was well represented at the CGIAR Consortium's Science Forum 2013, as well as at the Nutrition and Health Outcomes: Targets for Agricultural Research, 23-25 September 2013 in Bonn, Germany, and at Elsevier's very high-level Conference on Global Food Security in the Netherlands just after it. Then in November in Istanbul, Turkey, the Centre's profile was substantially raised at the Conference of the Parties of the United Nations Convention to Combat Desertification and we are now working with the Secretariat to influence the development of the Sustainable Development Goals.

Altogether, the Centre was represented at 10 major meetings, with a total attendance of 31,000 people. Booths were set up at all of these meetings, and approximately 5,000 publications and DVDs containing suites of relevant materials distributed.

Through efforts such as these, the Centre is being recognized by major donors and policymakers for its expertise and is being included in high-level negotiations and large initiatives. For example, during 2013, the General Directorate of International Cooperation, Ministry of Foreign Affairs, the Netherlands gave final approval to the Regional Programme in the Sahel and Horn of Africa: Enhancing Food and Water Security for Rural Economic Development. This is a 5-year US\$51 million project which will be coordinated by ICRAF and implemented by many national partners in Mali, Burkina Faso, Niger, Ethiopia and Kenya.



ICRAF's research and science leadership in agroforestry and related fields continues to be recognized. UNEP's prestigious 'Emissions Gap Report' featured a chapter on agriculture for the very first time and an ICRAF staff member was appointed as the lead author. This year ICRAF scientists have published a record of over 160 peer reviewed journal articles. They continue to be sought after for international panels and writer teams, with Future Earth, IUFRO and UNEP's International Resources Panel being only three examples. A further illustration of the interest in ICRAF's work can be seen in the 2.5 million downloads that were made from the ICRAF website, where visits increased by 25% when compared to 2012.

Financial performance

From a financial point of view, the Centre remains in excellent health. The expenditure for 2013 increased by 9% to US\$57 million, up from US\$53 million in 2012. Even though a deficit of US\$3.7 million was anticipated in 2013, through increased bilateral funding, other income, full overhead recovery and the cooperation of the staff with the efforts from the Financial Services Unit, this was reversed to a US\$122,000 surplus. Full overhead recovery was achieved in 2013. The Centre's financial position remains stable, with total assets of US\$66.14 million (2012 – US\$61.17 million) and net assets of US\$27.111 million (2012 – US\$26.989 million).

Our reserves, when expressed in terms of operating days, are among the highest in the CGIAR Consortium of Centres. The Centre did not operate an overdraft with any of its bankers during the year. The short-term solvency (liquidity) as at 31st December 2013 was 182 days against a benchmark of 90-120 days. The long-term financial stability (adequacy of reserves) as at 31st December 2013 was 138 days against the recommended minimum benchmark of 90 days.

ICRAF as a Consortium Centre

During 2013, the Consortium announced that it had reached the US\$1 billion funding mark, exceeding the US\$860 million prediction, thus showing in part that the reorganization had found favour with the donors. The World Agroforestry Centre continues to align its research with the CGIAR Research Programmes (CRPs) in which it participates. ICRAF collaborates closely with the Centre for International Forestry Research (CIFOR)

and other partners within the framework of the Forests, Trees and Agroforestry (FTA) research programme. Although the bulk of our research takes place under this programme, ICRAF has aligned its work on agroforestry in production systems with FTA, Humid Tropics and Drylands, thus exploiting the potential of agroforestry across three system research programs. We are also making important contributions to several others, including those related to water, land and ecosystems; climate change; health and nutrition; and institutions and markets. Phase II of the CRPs will now not begin until 2017 and ICRAF will continue to consolidate its work within the CRPs with a particular focus on achieving the Intermediate Development Outcomes which are the performance criteria against which programs will be assessed.

During the reorganization, the Consortium has developed a series of policies that will apply across the Centres. The Consortium Board approved the new CGIAR Open Access and Data Management Policy in October 2013. The Consortium policy mandates that all data outputs from Centres based data that was directly produced by an experimental or observational procedure, should be deposited and archived in institutional repositories accompanied by relevant metadata. Such sets of data must be made available to the public 12 months after the completion of the project or six months after the publication the data replicates is published. This has been a major challenge for ICRAF staff, but again I am pleased to say that the Centre has been able to develop a very well-organized approach; most datasets for projects and publications are being archived in Dataverse while spatial datasets are being archived in the Landscapes portal.

The year ahead

2014 marks the second year of ICRAF's new strategy. In addition, the current phase of the CRPs have been extended for three more years. It has also been observed that much of the increase in ICRAF's bilateral funding is for more downstream activities where the focus is on achieving development outcomes. This intersection of events will shape the direction of research programming and strategy over the coming year. ICRAF scientists have charted the conceptual underpinnings of scaling strategies for agroforestry but these now have to be translated into work on the ground in the regions and in the CRPs. Building a research agenda into what are ostensibly development projects is the

cornerstone of Research in Development (RinD) and ICRAF will continue to build the internal capacities and programmatic focus around this key strategic objective in the new strategy.

A necessary complement to the design and testing of scaling strategies is the development and strengthening of capacity in monitoring and evaluation. How to do this across five principal CRPs is a challenge, as ICRAF prepares itself for the Results Based Management system that will come in Phase II of the CRPs in 2017. To a significant extent, this will require an assessment of current and projected capacities in social science, where there are increasing demands as well as in areas such as gender, markets, and scaling.

Finally, the focus on scaling up will require increased engagement with the private sector. The World Congress on Agroforestry took place in February 2014 in Delhi, India. With attendance exceeding 900, with over 100 session speakers and 500 poster

presentations, the event produced substantial advances in collaboration with the business sector together with an exchange of knowledge amongst the foremost agroforestry research and development experts in the world, a roadmap for future innovation and research and the launch of an international association on agroforestry.

The Board looks forward to an exciting year ahead in 2014.

Appreciation

The Board's role and responsibility would be far more challenging were it not for the dedication, motivation, and hard work of ICRAF staff, with every staff member sharing in the accomplishments of the Centre. The Board would like to take this opportunity to thank the staff for their accomplishments in 2013, with the expectation that they will surpass this standard in 2014.

John Lynam

Chair

Board of Trustees

World Agroforestry Centre

11 April 2014





Board Statement on Risk Management

The Board of Trustees have reviewed the risk register and the proposed mitigating actions. The Board endorses the current risk ratings, having considered the requirement for any amendments.

The Board of Trustees has the responsibility of ensuring that an appropriate risk management process is in place to identify and manage current and emerging significant risks to the achievement of the Centre's business objectives, and to ensure alignment with CGIAR principles and guidelines as adopted by all CGIAR Centres. These risks include operational, financial and reputation risks that are inherent in the nature, *modus operandi* and locations of the Centre's activities. They are dynamic owing to the environment in which the Centre operates. There is potential for loss resulting from inadequate or failed internal processes or systems, human factors or external events. Risks include:

1. Misallocation of scientific efforts away from agreed priorities;
2. Loss of reputation for scientific excellence and integrity;
3. Business disruption and information system failure;
4. Liquidity problems;
5. Transaction processing failures;
6. Loss of assets, including information assets;
7. Failure to recruit, retain and effectively utilize qualified and experienced staff;
8. Failure in staff health and safety systems;
9. Failure by the Consortium to execute legal and fiduciary responsibilities;

10. Withdrawal or reduction of funding by donors due to the financial crisis;
11. Subsidization of the cost of projects funded from restricted grants and/or partial non-delivery of promised outputs, due to inadequate costing of restricted projects;
12. Failure by the lead Centre to comply with the terms of the agreement and/or not delivering on the agreed outputs could affect ICRAF as a participating centre; and
13. Non-prioritization of agroforestry in the CRPs due to lack of funding.

The Board has adopted a risk management policy that includes a framework by which the Centre's management identifies, evaluates and prioritizes risks and opportunities across the Centre; develops risk mitigation strategies which balance benefits with costs; monitors the implementation of these strategies; and periodically reports to the Board on results. This process draws upon risk assessments and analysis prepared by staff of the Centre's business unit, internal auditors, Centre-commissioned external reviewers and the external auditors. The risk assessments also incorporate the results of collaborative risk assessments with other CGIAR Centres, office system components, and other entities in relation to shared risks arising from jointly managed activities. The risk management framework seeks to draw upon best practices, as promoted in codes and standards promulgated in a number of CGIAR member countries. It is subject to ongoing review as part of the Centre's continuous improvement efforts.

Risk mitigation strategies include the implementation of systems of internal controls, which, by their nature, are designed to manage rather than eliminate risk. The Centre endeavours to manage risk by ensuring that the appropriate infrastructure, controls, systems and people are

in place throughout the Centre. Key practices employed in managing risks and opportunities include business environmental scans, clear policies and accountabilities, transaction approval frameworks, financial and management reporting, and the monitoring of metrics designed to highlight positive or negative performance of individuals and business processes across a broad range of key performance areas. The design and effectiveness of the risk management system and internal controls

is subject to ongoing review by the Centre's internal audit service, which is independent of the business units, and which reports on the results of its audits directly to the Director General and to the Board through its Finance and Audit Committee.

The Board also remains very alive to the impact of external events over which the Centre has no control other than to monitor and, as the occasion arises, to provide mitigation.

John Lynam

Chair

Board of Trustees

World Agroforestry Centre

11 April 2014

A handwritten signature in black ink, appearing to read 'John Lynam', is positioned to the right of the printed name and title. The signature is fluid and cursive.

Statement of the management's responsibilities

The CGIAR Accounting Policies and Procedures Manual – Financial Guidelines Series No. 2 (Revised February 2006 and supplemented by the 2013 Advisory Note) requires Management to prepare financial statements for each financial year that give a true and fair view of the state of affairs of the Centre and of its operating results for the year. The financial statements comprise the statement of financial position at 31 December 2013, the income statement, the statement of changes in net assets and the statement of cash flows for the year then ended, and the notes to the financial statements which include a summary of significant accounting policies and other explanatory notes.

It also requires Management to ensure that the Centre to keep proper accounting records that disclose, with reasonable accuracy, the financial position of the Centre. They are also responsible for safeguarding the assets of the Centre.

The Management accepts responsibility for the financial statements which are free from material misstatements whether due to fraud or error. They also accept responsibility for:

- i. Designing, implementing and maintaining internal control as they determine necessary to enable the preparation of financial statements that are free from material misstatements, whether due to fraud or error;
- ii. Selecting and applying appropriate accounting policies; and
- iii. Making accounting estimates and judgements that are reasonable in the circumstances.

Management are of the opinion that the financial statements give a true and fair view of the state of the financial affairs of the Centre and of its operating activities for the year, in accordance with the CGIAR Accounting Policies and Procedures Manual – Financial Guidelines Series No. 2 (Revised February 2006 and supplemented by the 2013 Advisory Note).

Nothing has come to the attention of Management to indicate that the Centre will not remain a going concern for at least twelve months from the date of this statement.

Tony Simons

Director General

11 April 2014



Laksiri Abeysekera

Deputy Director General - Finance and Corporate Services

11 April 2014





REPORT OF THE INDEPENDENT AUDITOR TO THE BOARD OF TRUSTEES OF THE WORLD AGROFORESTRY CENTRE (ICRAF)

Report on the financial statements

We have audited the accompanying financial statements of the World Agroforestry Centre (the “Centre”) set out on pages 40 to 63. These financial statements comprise the statement of financial position at 31 December 2013, the income statement, statement of changes in net assets, a statement of cash flows for the year then ended, and a summary of significant accounting policies and other explanatory notes.

Trustees’ responsibility for the financial statements

The trustees are responsible for the preparation of financial statements that give a true and a fair view in accordance with the Consultative Group on International Agricultural Research (CGIAR) Accounting Policies and Procedures Manual – Financial Guidelines Series No. 2 (Revised February 2006 and supplemented by the 2013 Advisory Note) and for such internal control, as the trustees determine necessary to enable the preparation of financial statements that are free from material misstatements, whether due to fraud or error.

Auditor’s responsibility

Our responsibility is to express an opinion on the financial statements based on our audit. We conducted our audit in accordance with International Standards on Auditing. Those standards require that we comply with ethical requirements and plan and perform our audit to obtain reasonable assurance that the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor’s judgement, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity’s preparation of financial statements that give a true and a fair view in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity’s internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the trustees, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Opinion

In our opinion the financial statements that give a true and a fair view of the financial position of the World Agroforestry Centre as at 31 December 2013 and of its financial performance and its cashflows for the year then ended, in accordance with the Consultative Group on International Agricultural Research (CGIAR) Accounting Policies and Procedures Manual – Financial Guidelines Series No. 2 (Revised February 2006 and supplemented by the 2013 Advisory Note).

PricewaterhouseCoopers CPA. PwC Tower, Waiyaki Way/Chiromo Road, Westlands
P O Box 43963 – 00100 Nairobi, Kenya
T: +254 (20)285 5000 F: +254 (20)285 5001 www.pwc.com/ke

Partners: A Eriksson P Kinisu K Muchiru M Mugasa F Muriu P Ngahu A Njeru R Njoroge B Okundi K Saiti R Shah



Basis of accounting and restriction on use

Without modifying our opinion, we draw attention to Note 2 to the financial statements, which describe the basis of preparation and measurement. The financial statements are prepared to assist trustees of the Centre report to the Consortium Board and donors on the Centre's financial performance and status. As a result, the financial statements may not be suitable for another purpose. Our report is intended solely for the Centre, the Consortium Board, and its donors and should not be used by parties other than these.

The engagement leader responsible for the audit resulting in this independent auditor's report is **CPA Dedan Muugi - P/1987**.

Certified Public Accountants
Nairobi.

PricewaterhouseCoopers

30th April 2014





STATEMENT OF FINANCIAL POSITION

AS AT 31 DECEMBER 2013 (In US Dollars '000')

	Note	2013	2012
ASSETS			
Current assets			
Cash and cash equivalents	5	23,252	19,800
Short term investments	6	1,386	5,197
Accounts receivables			
Donor	7	11,929	11,077
Employees	8	282	155
Other CGIAR Centres	9	429	223
Other	10	3,128	3,165
Inventories - net	11	80	96
Prepaid expenses	12	1,087	1,178
Total current assets		41,573	40,891
Non-current assets			
Property and equipment	13	6,386	5,653
Long term investments	14	18,181	14,624
Total non-current assets		24,567	20,277
TOTAL ASSETS		66,140	61,168
LIABILITIES AND NET ASSETS			
Current liabilities			
Accounts payable			
Donor	15	20,320	17,306
Employees	16	787	688
Other CGIAR Centres	17	858	380
Other	18	2,062	1,919
Accruals	19	8,310	8,307
Total current liabilities		32,337	28,600
Non-current liabilities			
Accounts payable			
Employees	20	6,692	5,579
Total Non-current liabilities		6,692	5,579
TOTAL LIABILITIES		39,029	34,179
NET ASSETS			
Unrestricted			
Designated	21	14,309	16,658
Undesignated	21	12,802	10,331
		27,111	26,989
TOTAL LIABILITIES AND NET ASSETS		66,140	61,168

The financial statements on pages 40 to 63 were approved for issue by the management on 11 April 2014 and signed on its behalf by:

Tony Simons

Director General

11 April 2014



Laksiri Abeysekera

Deputy Director General - Finance and Corporate Services

11 April 2014



INCOME STATEMENT

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars '000)

	Note	2013	2012
Grant revenue	22	56,062	51,385
Other revenue and gains	23	1,422	1,713
Total revenues and gains		57,484	53,098
Research costs	24	50,196	46,170
General and administration	25	7,166	6,692
Total operating expenses		57,362	52,862
Financial income		-	-
Financial costs		-	-
Surplus for the year		122	236
Fair value gains/(losses) on financial assets measured at fair value through OCI		-	-
Actuarial gains/(losses) on post employment benefit obligations		-	-
Cash flow hedges		-	-
Total comprehensive surplus for the year		122	236

STATEMENT OF CHANGES IN NET ASSETS

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars '000)

	Note	Undesignated	Designated				Total
			Property and Equipment	Capital Replacements/ Acquisitions	Other Designated	Sub Total	
Balance as at 1 January 2012		9,906	5,350	4,318	7,179	16,847	26,753
Net changes in investment in Property and equipment	21(a)	-	303	(303)	-	-	-
Surplus for the year		236	-	-	-	-	236
Transfer		189	-	-	(189)	(189)	-
Balance as at 31 December 2012		10,331	5,653	4,015	6,990	16,658	26,989
Net changes in investment in Property and equipment	21(a)	-	733	(733)	-	-	-
Surplus for the year		122	-	-	-	-	122
Transfer		2,349	-	-	(2,349)	(2,349)	-
Balance as at 31 December 2013		12,802	6,386	3,282	4,641	14,309	27,111

STATEMENT OF CASH FLOWS

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars '000)

	Note	2013	2012
CASH FLOWS FROM OPERATING ACTIVITIES			
Change in net assets		122	236
Adjustment to reconcile change in net assets to net cash provided by / (used in) operating activities			
Depreciation	13	2,420	2,585
(Gains)/loss on disposal of property and equipment	23	(46)	(21)
Decrease / (increase) in assets			
Short term investments		3,811	8,253
Accounts receivable		(1,148)	(3,526)
Inventories		16	(12)
Prepaid expenses		91	(436)
Increase / (decrease) in liabilities			
Accounts payable		3,734	3,988
Accruals		3	2,015
Net cash used in operating activities		9,003	13,082
CASH FLOWS FROM INVESTING ACTIVITIES			
(Increase) / decrease in long term investments		(3,557)	(11,604)
Acquisition of property and equipment	13	(3,155)	(2,897)
Net proceeds from disposal of property and equipment		48	30
Net cash used in investing activities		(6,664)	(14,471)
CASH FLOWS FROM FINANCING ACTIVITIES			
Increase / (decrease) in long term liabilities			
Accounts payable			
Employees		1,113	316
Net cash from financing activities		1,113	316
NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS		3,452	(1,073)
At the beginning of the period		19,800	20,873
At the end of the period	5	23,252	19,800

NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31st December 2013

1. REPORTING ENTITY

The World Agroforestry Centre is an autonomous, not-for-profit research and development institution supported by over 50 different governments, private foundations, regional development banks, and the World Bank. The Centre is part of the alliance of the Consultative Group on International Agricultural Research (CGIAR) Centres.

The Centre was founded in 1978 as the International Council for Research in Agroforestry (ICRAF) to promote the exchange of information about agroforestry research in the tropics. With its headquarters in Nairobi, Kenya, the Centre operates in 20 countries in Africa, 11 in Asia, three in South America, one in Europe and in the United States. In 1992, the Centre joined the CGIAR group, and since then has transformed itself into a world-class international agricultural research institution. In 2002, the organization acquired the brand name, the World Agroforestry Centre. However, the International Centre for Research in Agroforestry (ICRAF) remains the legal name.

In recent years, the World Agroforestry Centre has incorporated an explicit development agenda to its work to ensure broader adoption of agroforestry systems and practices and to be proactive in creating innovative development partnerships that leverage and extend the impact of our research.

2. BASIS OF PREPARATION AND MEASUREMENT

a. Basis of preparation

The financial statements have been prepared in accordance with the CGIAR financial guidelines contained in the Accounting Policies and Procedures Manual (Revised February 2006 and supplemented by 2013 Advisory Note). The CGIAR recognizes that in certain respects, the existing International Financial Reporting Standards (IFRS) do not cover issues unique to not-for-profit organizations. Therefore, the CGIAR has developed the Accounting Policies and Procedures Manual (CGIAR Financial Guidelines 2, February 2006) which draws on other widely applied standards to provide guidance on these matters.

The preparation of financial statements in accordance with the CGIAR Financial Guidelines No. 2 (Revised February 2006 and supplemented by 2013 Advisory Note) requires the use of certain critical accounting estimates. It also requires management to exercise its judgement in the process of applying the Centre's accounting policies. The areas involving a higher degree of judgement or complexity, or whose assumptions and estimates are significant to the financial statements, are disclosed in Note 4.

b. Basis of measurement

The measurement basis applied is the historical cost basis except where otherwise stated in the accounting policies below.

3. SIGNIFICANT ACCOUNTING POLICIES

The accounting policies set out below have been applied consistently to all periods presented in these financial statements.

a. Revenue recognition

Revenue is the gross inflow of economic benefits during the year arising in the course of the ordinary activities of the Centre where those inflows result in increases in net assets. The Centre recognizes revenue when:

- The inflows can be reliably measured;
- It is probable that future economic benefits will flow to the Centre; and
- When specific criteria have been met of the Centre's activities as described below.

Revenue is measured at fair value of the consideration received or receivable.

The major portion of the Centre's revenue is normally derived through the receipt of donor grants – either "Unrestricted" or "Restricted".

Other revenue and gains are increases in net assets resulting from the Centre's peripheral or incidental transactions and other events and circumstances affecting the Centre, other than those that result from grants. These are categorized as unrestricted.

Revenue is recognized as follows:

i) Grant revenue

Grants are recognized as revenues only when the conditions have been substantially met or the donor has explicitly waived the conditions.

Unrestricted grant revenue

Unrestricted grants are recognized as revenue upon unconditional transfer of cash or other assets by donors. Such revenue is recognized in full in the financial period specified in commitments made by donors.

Restricted grant revenue

Restricted grants are recognized as revenue when there is reasonable assurance that the conditions attached to them have been complied with, and that the grants will be received.

ii) Donations in kind

Donations in kind are recognized at the fair value of the goods or services received or in the absence of this, at the amount attributed to them by the donor.

iii) Other revenue and gains

Other revenue and gains are recognized in the period in which they are earned.

b. Foreign currency translations

i) Functional and presentation currency

Items included in the financial statements are measured using the currency of the primary economic environment in which the Centre operates ('the functional currency'). These financial statements are presented in US dollars (US\$), which is the Centre's functional currency. All financial information presented in US dollars has been rounded to the nearest thousand.

ii) Transactions and balances

All foreign currency transactions are recorded, on initial recognition, in the Centre's functional currency by applying to the foreign currency amount the exchange rate between the US dollar and the foreign currency at the date of the transaction.

At each period end date:

- Foreign currency monetary items are reported using the closing rate,
- Non-monetary items denominated in foreign currency which are carried at historical cost are reported using the exchange rate at the date of the transaction, and
- Non-monetary items denominated in foreign currency which are carried at fair value are reported using the exchange rates that existed when the values were determined.

Exchange differences are recognized as revenue or expense in the Income Statement in the period in which they arise.

c. Cash and cash equivalents

Cash comprises cash on hand, project imprest and bank balances which can be added to or withdrawn without limitation and are immediately available for use in the current operations.

Cash equivalents are short-term, highly liquid investments that are both: i) readily convertible to known amounts of cash; and ii) so near their maturity date that they present insignificant risk of changes in value because of changes in interest rates. These include only investments with original maturities of three months or less.

Cash is valued at face value.

Cash and cash equivalents in currencies other than the US dollar are recorded at market rates, in effect at the time of transaction and restated to the equivalent US dollar amount at prevailing market rates as of the date of the Statement of Financial Position.

d. Short-term investments

These comprise investments that are (a) acquired with original maturity of more than three months but not exceeding one year, and (b) investments that are originally long-term in nature but are currently due to mature within one year of the date of the Statement of Financial Position.

Notes to the Financial Statements (contd)

Investments are initially recorded at their acquisition cost. Interest relating to short-term investments is reported in the Income Statement as expense or revenue.

e. Accounts receivable

Receivables are generally defined as claims held against others for the future receipt of money, goods or services. Receivables include claims from donors, loans and advances to employees, advances to other Consortium of CGIAR Centres and claims against third parties for services rendered.

Accounts receivable from donors consist of claims from donors for grants pledged in accordance with the terms specified by the donor. It also pertains to claims from donors for expenses paid on behalf of projects in excess of cash received.

Recognition

- Unrestricted grants: receivables from unrestricted grants should be recognized in full in the period specified by the donor. Before an unrestricted grant can be recognized as revenue, sufficient verifiable evidence should exist documenting that a commitment was made by the donor and received by the Centre.
- Restricted grants: receivables from restricted grants will be recognized in accordance with the terms of the underlying contract.
- Receivables from employees are recognized as they arise and cancelled when payment is received.
- Advances to other Consortium of CGIAR Centres are recognized when the cash or other assets borrowed are delivered or when payment is made for a liability of another Centre.
- Other receivables are recognized upon the occurrence of event or transaction which gives the Centre a legal claim against others.

Valuation

- All receivable balances are valued at their net realizable value, calculated as the gross amount of receivable minus any allowances provided for doubtful accounts.
- Allowance for doubtful accounts are provided in an amount equal to the total receivables shown or reasonably estimated to be doubtful of collection. The amount of the allowance is based on past experiences, and on a continuing review of receivable aging reports and other relevant factors.
- Any receivable or portion of receivable adjudged to be uncollectible is written off. Write-offs of receivables are done via the allowance for doubtful accounts after all efforts to collect have been exhausted.

f. Inventories

Inventories are assets held in the form of materials or supplies to be consumed in the Centre's operations or in the rendering of services. They comprise materials and supplies not directly expended at the time of their purchase, such as scientific supplies, automotive parts, building materials, petroleum products, office and other general supplies.

Inventories are initially valued at cost. The cost of inventories applied to operations is based on the first-in first-out principle, and includes expenditure incurred in acquiring the inventories, production or conversion costs and other costs incurred in bringing them to their existing location and condition.

Inventories are written down to net realizable value on an item-by-item basis. The allowance for inventory obsolescence is deducted from the related asset. The amount of write-down of inventories to net realizable value and all losses of inventories is recognized as an expense in the period the write down or loss occurs.

Inventories held at the end of the financial period are stated at the lower of cost and net realizable value.

g. Impairment

The carrying values of the Centre's assets are reviewed annually and adjusted for impairment losses when there is objective evidence that the asset carrying value is impaired. Impairment loss provisions are raised in respect of such assets.

h. Property and equipment

i) Recognition and measurement

Items of property and equipment are measured at cost less accumulated depreciation and accumulated impairment losses. Tangible assets with an estimated useful life beyond one year and having costs in excess of US\$1,500 or its equivalent are capitalized. Cost includes expenditure that is directly attributable to the acquisition of the asset. The cost of self-constructed assets includes the cost of materials and direct labour, any other costs directly attributable to bringing the asset to a working condition for its intended use, and the costs of dismantling and removing the items and restoring the site on which they are located. Purchased software that is integral to the functionality of the related equipment is capitalized as part of that equipment. When parts of an item of property and equipment have different useful lives, they are accounted for as separate items (major components) of property, plant and equipment.

Gains and losses on disposal of an item of property and equipment are determined by comparing the proceeds from disposal with the carrying amount of property, plant and equipment and are recognized net within "other income" in Income Statement.

ii) Property and equipment acquired from designated (restricted) funds

Property and equipment acquired from restricted funds are recorded as part of property and equipment. Such assets are depreciated at a rate of 100% and the depreciation expense charged directly to the appropriate restricted project.

Property and equipment previously owned by a restricted project is recognized in the Centre's books at fair or appraised values upon termination of the project if it is expressly provided in the grant agreement that ownership of the item will be transferred to the Centre.

iii) Depreciation

Depreciation is recognized in the Income Statement on a straight-line basis over the estimated useful lives of each part of an item of property and equipment. Leased assets are depreciated over the shorter of the lease term and their useful lives unless it is reasonably certain that the Centre will obtain ownership by the end of the lease term. Land is not depreciated.

Depreciation of acquired assets starts in the month the asset was placed in operation and continues until the asset has been fully depreciated or its use discontinued.

The estimated useful lives for the current and comparative financial years are as follows:

Buildings and laboratory benches	33 years
Motor vehicles	4 years
Computers and peripherals	4 years
Laboratory and scientific equipment	5 years
Office and other equipment	8 years
Furniture and fittings	8 years

In addition to charging annual depreciation expense, it is the Centre's policy to provide for the future renewal of fixed assets by way of an appropriation from unrestricted net assets.

iv) Leases

The Centre leases office space in India. The lease had an original life of 30 years. The Centre's policy is to depreciate the lease within 10 years which is the original planned life of the project being implemented in India.

Notes to the Financial Statements (contd)

i. Long-term investments

These comprise investments with a maturity of more than one year as of the date of the Statement of Financial Position.

Investments are initially recorded at their acquisition cost (including brokerage and other transaction costs) if they were purchased.

Investments in securities with readily determinable fair values, all investments in debt securities are measured at fair value as of the date of the Statement of Financial Position.

Interest, dividends, losses and gains relating to investments are reported in the Income Statement as gains or losses.

j. Accounts payable

These represent amounts due to donors, employees and others for support, services and or materials received prior to year-end but not paid for as of the date of the Statement of Financial Position.

Accounts payable to donors include grants received from donors for which conditions are not yet met and amounts payable to donors in respect of any unexpended funds received in advance for signed contracts.

Accounts payable are classified as current liabilities if payment is due within one year or less. If not, they are presented as non-current liabilities.

Measurement:

- Current liabilities are carried at the amount to be paid.
- Long-term liabilities are shown at the present or discounted value of the future net cash outflows expected to be made to settle the liabilities in the normal course of operations.

k. Accruals

Accruals represent liabilities to pay for goods and services that have been received, supplied, invoiced or formally agreed with suppliers.

Accruals are recorded in the accounting records and reported in the financial statements of the periods to which they relate as the transactions or events occur.

l. Employee benefits

Employee benefits are all forms of consideration given by the Centre in exchange for the services rendered by all employees – whether Internationally Recruited Staff (IRS) or Nationally Recruited Staff (NRS). Employee benefits include:

- Short-term employee benefits (such as wages, salaries and paid leave) and non-monetary benefits (such as medical care, housing and cars) for current employees;
- Post employment benefits such as pensions, other retirement benefits, post employment medical care and insurance; and
- Termination benefits.

i) Short-term employee benefits

Short-term employee benefit obligations are measured on an undiscounted basis and are expensed as the related service is provided. A liability is recognized for the amount expected to be paid under short-term cash bonus if the Centre has a present legal or constructive obligation to pay this amount as a result of past service provided by the employee and the obligation can be estimated reliably.

ii) Defined contribution pension scheme

The Centre operates a defined contribution pension scheme for all its regular employees. The scheme is administered by an insurance company and is funded by contributions from the World Agroforestry Centre and its employees. Contributions of the Centre to the pension scheme are charged to operating expenses in the year to which they relate.

Prepaid contributions are recognized as an asset to the extent that a cash refund or a reduction in future payments is available.

iii) Other post-employment benefits

Full provision is made for gratuity payable to employees at the end of their contracts. This provision is based on basic salary times the number of years worked up to a maximum of six years of service. Provisions are also made in respect of outstanding leave days accruing to staff and staff repatriation costs.

iv) Termination benefits

Termination benefits are recognized as an expense when the Centre is demonstrably committed, without realistic possibility of withdrawal, to a formal detailed plan to either terminate employment before the normal retirement date, or to provide termination benefits as a result of an offer made to encourage voluntary redundancy. Termination benefits for voluntary redundancies are recognized as an expense if the Centre has made an offer encouraging voluntary redundancy, it is probable that the offer will be accepted, and the number of acceptances can be reliably estimated.

m. Provisions liabilities

A provision is recognized if, as a result of a past event, the Centre has a present legal or constructive obligation that can be estimated reliably, and it is probable that an outflow of economic benefits will be required to settle the obligation. Provisions are determined by discounting the expected future cash flows at a rate that reflects current market assessments of the time value of money and the risks specific to the liability.

n. Income tax

The Government of Kenya has exempted it from all local taxes including customs duty on goods and services received by the Centre. Consequently, the Centre does not account for tax in its financial statements.

o. Overheads cost recovery

Overhead cost recovery represents the overhead costs recovered from restricted projects based on the rates agreed and as stated in each project document with donors. Cost ratios are computed on the basis of provisions of CGIAR Financial Guidelines 5.

p. Net assets

Net assets are the residual interest in the Centre's assets remaining after liabilities are deducted. The overall change in net assets represents the total gains and losses generated by the Centre's activities during the period as determined by the particular measurement principles adopted and disclosed in the financial statements.

Net assets are classified as either undesignated or designated.

i) Undesignated: that part of net assets that are not designated by the Centre's management for specific purposes.

ii) Designated:

a) Use of assets has been designated by the Centre management for specific purposes such as reserve for replacement of property and equipment and net investment in property and equipment. Designation from undesignated net assets is made on an annual basis based on Board of Trustees' resolution.

b) The Board of Trustees may also designate net assets to mitigate or counter unforeseen eventualities, funding reductions and currency risks that pose serious risks for business continuity.

q. Comparative information

Where necessary, comparative figures have been restated to conform to changes in presentation in the current year.

4. CRITICAL ACCOUNTING ESTIMATES AND JUDGEMENTS

The preparation of financial statements requires management to make judgements, estimates and assumptions that affect the application of accounting policies and the reported amounts of assets, liabilities, income and expenses.

Notes to the Financial Statements (contd)

Although management believes the estimates and assumptions used in preparation of these financial statements were appropriate in the circumstances, actual results could differ from those estimates and assumptions. Estimates and underlying assumptions are reviewed on an ongoing basis. Revisions to accounting estimates are recognized in the period in which the estimates are revised and in any future periods affected. The critical areas of accounting estimates and judgements in relation to the preparation of these financial statements are as set out below:

- i. Critical judgements in applying accounting policies

In the process of applying a CGIAR financial guidelines, management has made judgement in determining:

- The classification of financial assets and liabilities
 - Whether financial and non-financial assets are impaired.
- ii. Key sources of estimation uncertainty

Impairment of assets

At each reporting date, the Centre reviews the carrying amount of its assets to determine whether there is any indication that these assets have suffered an impairment loss. If any such indication exists, the recoverable amount of the asset is estimated in order to determine the extent of impairment.

Property and equipment

Critical estimates are made in determining the useful lives and residual values to property, plant and equipment based on the intended use of the assets and the economic lives of those assets. Subsequent changes in circumstances or prospective utilization of the assets concerned could result in the actual useful lives or residual values differing from initial estimates.

Staff separation costs

At each reporting date, the Centre provides for the estimated staff separation costs which comprise gratuity and repatriation. Gratuity is based on the number of years worked for the Centre and related basic salary. Repatriation is based on the prevailing air ticket rates.

Notes to the Financial Statements (contd)

	2013 USD'000	2012 USD'000
5. CASH AND CASH EQUIVALENTS		
Cash at hand	5,127	3,763
Bank balances	11,006	12,976
Short term deposits (Acquired with original maturities of 3 months or less)	7,119	3,061
	23,252	19,800
6. SHORT TERM INVESTMENTS		
This account comprises:		
Bonds due to mature within one year	1,386	5,197
	1,386	5,197
7. ACCOUNTS RECEIVABLE - DONORS		
Unrestricted	9	41
Restricted	12,192	11,319
	12,201	11,360
Less allowance for doubtful accounts	(272)	(283)
	11,929	11,077
8. ACCOUNTS RECEIVABLE - EMPLOYEES		
Advances to staff	282	155
	282	155
9. ACCOUNTS RECEIVABLE - OTHER CGIAR CENTRES		
IPGRI/BIOVERSITY International	69	45
ICRISAT- International Crop Research Institute for The Semi-Arid Tropics	56	56
ILRI- International Livestock Research Institute	61	103
TSBF-Tropical Soil Biology and Fertility Centre	-	4
CIMMYT-International Maize and Wheat Improvement Center	206	11
Consortium of International Agricultural Research Centers	23	-
WorldFish	14	-
CIFOR-Center for International Forestry Research	-	4
	429	223
10. ACCOUNTS RECEIVABLE - OTHERS		
Associated organizations (partners and collaborators)	3,033	3,064
Deposits	67	68
Others	28	55
	3,128	3,187
Less allowance for doubtful accounts	-	(22)
	3,128	3,165
11. INVENTORIES- NET		
Stationery and office supplies	66	80
Spare parts	3	8
Others	12	9
	81	97
Less allowance for obsolescence	(1)	(1)
	80	96
12. PREPAID EXPENSES		
Advances to suppliers	1,011	1,102
Staff rent	76	76
	1,087	1,178

Notes to the Financial Statements (contd)

13. PROPERTY AND EQUIPMENT- NET

2013 - USD '000

	Balance January 1	Work in Progress	Additions	Disposal	Balance December 31
Cost					
Physical facilities	7,771	744	168	-	8,683
Infrastructure & leasehold	463	-	-	-	463
Furnishing & equipment	12,030	160	2,083	(105)	14,168
Total cost	20,264	904	2,251	(105)	23,314
Accumulated Depreciation					
Physical facilities	(4,037)	-	(256)	-	(4,293)
Infrastructure & leasehold	(290)	-	(27)	-	(317)
Furnishing & equipment	(10,284)	-	(2,137)	103	(12,318)
Total depreciation	(14,611)	-	(2,420)	103	(16,928)
Net Book Value					
Physical facilities	3,734	744	(88)	-	4,390
Infrastructure & leasehold	173	-	(27)	-	146
Furnishing & equipment	1,746	160	(54)	(2)	1,850
Total net book value	5,653	904	(169)	(2)	6,386

2012 - USD '000

	Balance January 1	Work in Progress	Additions	Disposal	Balance December 31
Cost					
Physical facilities	7,485	287	-	(1)	7,771
Infrastructure & leasehold	463	-	-	-	463
Furnishing & equipment	11,943	385	2,225	(2,523)	12,030
Total cost	19,891	672	2,225	(2,524)	20,264
Accumulated Depreciation					
Physical facilities	(3,814)	-	(224)	1	(4,037)
Infrastructure & leasehold	(263)	-	(27)	-	(290)
Furnishing & equipment	(10,464)	-	(2,334)	2,514	(10,284)
Total depreciation	(14,541)	-	(2,585)	2,515	(14,611)
Net Book Value					
Physical facilities	3,671	287	(224)	-	3,734
Infrastructure & leasehold	200	-	(27)	-	173
Furnishing & equipment	1,479	385	(109)	(9)	1,746
Total net book value	5,350	672	(360)	(9)	5,653

14. LONG TERM INVESTMENTS

This account consist of:

Bonds

2013	2012
USD '000	USD '000
18,181	14,624
18,181	14,624

Notes to the Financial Statements (contd)

The investment portfolio is managed by HSBC Private Bank Suisse and Vestra Wealth LLP UK. Interest earned on investments during the year is recognised as other revenue and gains in the Income Statement.

15. ACCOUNTS PAYABLE- DONORS

	2013 USD '000	2012 USD '000
Unrestricted (Funds received in advance from unrestricted grants)	-	4
Restricted (Funds received in advance from restricted grants)	20,320	17,302
	20,320	17,306

16. ACCOUNTS PAYABLE - EMPLOYEES

Accrued leave provision	500	381
Others	287	307
	787	688

17. ACCOUNTS PAYABLE - OTHER CGIAR CENTRES

CIMMYT-International Maize and Wheat Improvement Center	500	-
ILRI- International Livestock Research Institute	50	186
Consortium of International Agricultural Research Centers	308	194
	858	380

18. ACCOUNTS PAYABLE - OTHER

Associated organizations (partners and collaborators)	836	785
Trade creditors	810	718
Others	416	416
	2,062	1,919

19. ACCRUALS

Project expenses	3,928	3,829
Supplies and services	4,382	4,478
	8,310	8,307

20. ACCOUNTS PAYABLE - EMPLOYEES (POST EMPLOYMENT BENEFITS)

Balance at beginning of the year	5,579	5,263
Provisions during the year	1,295	1,047
Disbursements during the year	(182)	(731)
Balance at end of the year	6,692	5,579

Balance at end of the year made up of:

Gratuity	4,835	4,085
Repatriation	1,857	1,494
	6,692	5,579

21. NET ASSETS

The level of net assets recommended by the CGIAR is 75-90 days of operating expenses excluding depreciation. As at 31 December 2013, the Centre's net assets represented 138 days (2012 – 155 days) of the operating expenses excluding depreciation.

Net assets include both the designated and undesignated portions.

Undesignated

The actual balance in the undesignated portion at 31 December 2013 is US\$ 12.802 million (2012 - US\$ 10.331 million), which is presented as unrestricted (undesignated) net assets. The surplus for the year of US\$ 0.122 million (2012 - US\$ 0.236 million), represents the total gains generated by the Centre during the year.

Designated

a) Net investment in property and equipment

A portion of unrestricted net assets has been appropriated by the Board of Trustees to reflect net investment in property and equipment.

The balance of US\$ 6.386 million (2012: US\$ 5.653 million) at 31 December 2013 comprises the balance brought forward from 2012 and the current

year's net increase in fixed assets of US\$ 733,000 (2012: Increase of US\$ 303,000).

b) Reserve for acquisition and replacement of property and equipment

Each financial year the Centre appropriates from the unrestricted net assets an amount equal to the movement in the net book value of the property and equipment and any other specific allocation into a reserve designated to meet the acquisition and replacement costs for property and equipment items.

The net balance of US\$ 3.282 million (2012 - US\$ 4.015 million) at 31 December 2013 represents unspent funding available for use by the Centre in future years for acquisition and replacement of property and equipment.

c) Other designations

In 2013 the Centre made the following appropriations from undesignated net assets:

- US\$ 2.901 to fund new capital investments;
- US\$ 0.750 to cater for any future funding shortfalls based on the 2014 budget; and
- US\$ 0.990 to cater for other contingencies arising from adverse foreign currency and other events which could pose a risk the Centre's continuity.

22. GRANT REVENUE

	Donor Receivables 1/01/2013	Donor Payables 1/01/2013	Receipts in 2013	Donor Receivables 31/12/2012	Adjustments in 2013	Donor Payables 31/12/2013	Revenue for 2013	Revenue for 2012
	USD'000	USD'000	USD'000	USD'000	USD'000	USD'000	USD'000	USD'000
Unrestricted	(41)	4	1,460	9	22	-	1,454	1,486
Restricted	(11,319)	17,302	56,753	12,192	-	(20,320)	54,608	49,899
	(11,360)	17,306	58,213	12,201	22	(20,320)	56,062	51,385

Notes to the Financial Statements (contd)

In 2011, there was a significant reduction in the amount of unrestricted funds from donors to the CGIAR Centres. This was attributed to the donors channelling most of the unrestricted funding through the CGIAR Research Programmes (CRPs). Funds received through the CRPs are treated as restricted revenue. In future, it is envisaged that the trend will continue and unrestricted funding to the Centres will significantly reduce.

In 2013, the World Agroforestry Centre participated in the following CRPs:

1. CGIAR Research Programme 1.1: Integrated Agricultural Production Systems for Improved Food Security And Livelihoods in Dry Areas
2. CGIAR Research Programme 1.2: Integrated Systems for the Humid tropics

3. CGIAR Research Programme 2: Policies, Institutions and Markets
4. CGIAR Research Programme 4: Agriculture for Nutrition and Health
5. CGIAR Research Programme 5: Water, Land and Ecosystems
6. CGIAR Research Programme 6: Forests Trees and Agroforestry
7. CGIAR Research Programme 7: Climate Change, Agriculture and Food Security Expenditure and Funding Report
8. CGIAR Research Program 8: Genebank CRP

Exhibit 1(c) and exhibit 5 detail the manner in which funds for above CRPs have been utilized.

23. OTHER REVENUE AND GAINS

Sale of farm produce
Investment income
Exchange gain/(loss)
Consultancy fees
Administration fees*
Office space charges*
Gain/(loss) on sale of equipment
Miscellaneous income

2013 USD'000	2012 USD'000
-	3
194	468
189	88
-	(13)
659	720
226	168
46	21
108	258
1,422	1,713

* Income from hosting other CGIAR centres and like- minded organizations in Nairobi campus

Notes to the Financial Statements (contd)

24. RESEARCH COSTS

1. Program-related expenses:
Program-related expenses are expenses incurred by main research, research support, training, and information services as described below.
2. Research Programs:
Cover expenses on research for development in Africa, Asia and Latin America.
3. Research Support Programs:
Include genetic resource and biometrics units, farm and aircraft operations, plant growth facilities, post harvest engineering, analytical laboratory, and research management.
4. Training:
Costs include training offices, fellowships, workshops, seminars, allowances to trainees and training-related travel.
5. Information Services:
Cover the costs of publication of annual reports and technical publications, translation and printing of various public information activities, and library service.

Program-related expenses incurred as of 31 December were as follows:

	2013	2012
	USD'000	USD'000
Research Costs	50,196	48,300
	50,196	48,300

25. GENERAL AND ADMINISTRATION COSTS

Management and general expenses in the accompanying statements of activity consist of:

Corporate Governance:

Covers the costs of board of trustees, director general's office, administration, internal audit, finance, human resources, personnel, and purchasing departments.

General Operations:

Include expenses on physical plant services, utilities, communications, security and general services.

Management and general expenses incurred as of 31 December were as follows:

	2013	2012
	USD'000	USD'000
General and Administration	7,166	6,692
	7,166	6,692

26. OTHER SUPPORT : Scientists-in-Kind

France (CTFT and CIRAD) seconded scientific personnel to the Centre during the year. Their cost was borne by the donors, as shown below, whilst World Agroforestry Centre provided the necessary support services.

This support is not reported in the Income statement.

	2013	2012
	USD'000	USD'000
France	100	97
	100	97

Notes to the Financial Statements (contd)

27. PERSONNEL COST

The following items are included within staff costs:

	2013	2012
	USD'000	USD'000
Salaries	15,624	12,453
Social security costs	299	180
Pension costs - defined contribution plans	2,042	1,542
Other personnel costs	7,546	5,821
	25,511	19,996

The number of persons employed by World Agroforestry Centre at the end of 2013 was 552 (2012: 452).

28. RELATED PARTY TRANSACTIONS

Key management personnel remuneration

Key management personnel are those persons having authority and responsibility for planning, directing and controlling the activities of the entity, directly or indirectly, including any director (whether executive or otherwise) of that entity.

	2013	2012
	USD'000	USD'000
Salaries and other short term benefits	972	1,200
Post employment benefits	90	103
	1,062	1,303

29. CONTINGENT LIABILITIES

In 2012, the Centre Uganda Country Program underwent a Uganda national payroll and withholding tax audit by Uganda Revenue Authority (URA). A comprehensive audit report was issued in February 2013. The report reflected an outstanding tax liability of UGX 323,203,449 (USD128,103) made of UGX 184,484,295(USD73,121) in back taxes and UGX 138,719,154 (USD54,982) in penalties and interest. The UGX 184,484,295 (USD73,121) was paid in June 2013 and expensed in the income statement. An appeal for the waiver of the interest and penalties has been made to the Ministry of Finance Planning and Economic Development through the parent ministry of Agriculture, Animal Industry and Fisheries and has received assurances of a success. The Trustees and Management are of the opinion that the appeal will be successful and thus no provision of the same has been booked in these financial statements.

30. RISK MANAGEMENT

a) Operational risk management

The Centre has a formal risk management policy approved by the Board of Trustees. This policy includes a framework by which the Centre's management: identifies, evaluates and prioritizes risks and opportunities across the organization; develops risk mitigation strategies which balance benefits with costs; and monitors implementation of these strategies. Annually, the Finance and Audit Committee of the Board of Trustees reviews the risk profile of the Centre and risk mitigation measures introduced by the organization. The Board Chair issues a statement on risk management that identifies key areas of risk and processes in place to identify and mitigate risks. The management of the Centre is responsible for implementing the risk management framework. Additional risk management measures are set out in the table below.

Operational risk management matrix

Risk	Risk management policies/process	Internal Audit
Strategic planning risk and going concern risk	<ul style="list-style-type: none"> a. In meeting the objectives of the strategic plan, the Centre prepares a rolling three-year outlooks to implement its research agenda. The outlooks are reviewed and amended in the context of current developments, priorities and strategies, the Centre's future requirements and opportunities. The Centre also prepares an annual Programme of Work and Budget (POWB) which is linked to the outlooks. b. The Board meets twice each year to review the Centre's operations. Management uses annual work plans, buffer reports and donor intelligence to manage operational risks facing the Centre. c. Periodic external reviews: the Centre is subject to External Panel Reviews and Centre-commissioned External Reviews to ensure it maintains strategic objectives and addresses any misalignments. d. Management, on an annual basis, assesses the Centre's funding, as per the indicative Programme of Work and Budget, to determine the future of the Centre as a going concern. 	<p>The Centre has an in-house audit function that supports management in identifying and evaluating the Centre's risks. Internal Audit provides assurance services by reviewing business units within the Centre at appropriate intervals. These audits determine whether the functions of planning, organizing, directing, and controlling are efficiently and effectively carried out according to management instructions, policies, and procedures, and in a manner consistent with the Centre objectives.</p>
Fundraising risk	The Centre has a Resource Mobilization Committee that oversees fundraising initiatives and activities. The Centre also exercises prudent financial planning by setting aside and maintaining adequate reserves to cover any unforeseen funding shortfalls.	
Managing donor reporting and compliance	The Centre maintains a Grants Management Information System that keeps track of donor reporting requirements and facilitates compliance with the same.	
Compliance and legal risks	The Centre has a Protocol Office which collates information on legal matters in all countries where the Centre operates. This information is submitted to senior management for action. Significant exposures are reported regularly to the Board of Trustees.	
Fraud risk	The Centre has put internal controls in place for its day-to-day operations to mitigate the risk of fraud. The Centre has a fraud prevention, monitoring and response policy.	
Disaster and recovery, and business continuity risk	The Centre has a Business Continuity Plan which outlines measures to ensure continuity of the Centre's operations in the event of unforeseen disasters and circumstances.	

Notes to the Financial Statements (contd)

30. RISK MANAGEMENT (Continued)

b) Financial risk management

The Centre is exposed to the following financial risks from its use of financial instruments:

- Credit risk
- Liquidity risk
- Market risk.

The Centre's risk management objectives, policies and processes for measuring and managing its key financial risks are detailed below.

(i) Credit risk

Credit risk is the risk of financial loss to the Centre if a counterparty to a financial instrument fails to meet its contractual obligations, and arises from cash and cash equivalents and accounts receivables. The Centre's maximum exposure to credit risk as at 31st December 2013 is presented in the Statement of Financial Position.

The table below analyses the credit risk position of the Centre's receivables, cash and cash equivalent and short-term deposits.

	Fully performing USD'000	Past due USD'000	Impaired USD'000
31 December 2013			
Accounts receivable - Donor	11,929	-	272
Accounts receivable - Employees	282	-	-
Accounts receivable - Other CGIAR Centres	429	-	-
Accounts receivable - Others	3,128	-	-
Cash and cash equivalents	23,252	-	-
Short term investments	1,386	-	-
	40,406	-	272
31 December 2012			
Accounts receivable - Donor	11,077	-	283
Accounts receivable - Employees	155	-	-
Accounts receivable - Other CGIAR Centres	223	-	-
Accounts receivable - Others	3,165	-	22
Cash and cash equivalents	19,800	-	-
Short term investments	5,197	-	-
	39,617	-	305

Cash and cash equivalents

Cash and cash equivalents are held with reputable financial institutions. The Centre's formal investment policy stipulates that protection of capital in real terms over the investment horizon is paramount while allowing for very moderate short-term volatility to achieve the return objective.

Accounts receivables

- Reviews of aging reports are carried out monthly and provisions for doubtful amounts made for any potentially irrecoverable amounts.
- The Centre does not incur expenditure on restricted donor grants before funding contracts are signed.
- Advances to partner and hosted organizations are subject to the Centre's internal requirements to limit losses arising from funds advanced by the Centre.

Notes to the Financial Statements (contd)

30. RISK MANAGEMENT (Continued)

(ii) Liquidity risk

Liquidity risk is the risk that the Centre will not be able to meet its financial obligations as they fall due. The Centre has a Treasury Unit responsible for managing payment commitments. The Unit submits to management weekly cash flow forecasting reports showing expected cash inflows and outflows.

The table below analyses the liquidity position of the Centre's financial assets and liabilities.

LIQUIDITY RISK	31 December 2013				
	Due on Demand	Due within 3 months	Due between 3-12 months	Due between 1-5 years	Total
31 December 2013:	USD'000	USD'000	USD'000	USD'000	USD'000
Accounts receivable					
Cash and cash equivalents	23,252	-	-	-	23,252
Short term investments	-	1,386	-	-	1,386
Donor	652	10,232	1,045	-	11,929
Employees	282	-	-	-	282
Other CGIAR Centres	429	-	-	-	429
Other	3,128	-	-	-	3,128
Long term investments	-	-	-	18,181	18,181
At 31 December 2013	27,743	11,618	1,045	18,181	58,587
Accounts payable					
Donor	1,435	12,890	5,995	-	20,320
Employees - non current	-	-	-	6,692	6,692
Employees - current	787	-	-	-	787
Other CGIAR Centres	858	-	-	-	858
Other	2,062	-	-	-	2,062
Accruals	-	-	8,310	-	8,310
At 31 December 2013	5,142	12,890	14,305	6,692	39,029
Net Liquidity Gap - 2013	22,601	(1,272)	(13,260)	11,489	19,558

Notes to the Financial Statements (contd)

30. RISK MANAGEMENT (Continued)

LIQUIDITY RISK	31 December 2012				
31 December 2012:	Due on Demand	Due within 3 months	Due between 3-12 months	Due between 1-5 years	Total
	USD'000	USD'000	USD'000	USD'000	USD'000
Accounts receivable					
Cash and cash equivalents	19,800	-	-	-	19,800
Short term investments	-	5,197	-	-	5,197
Donor	68	9,923	1,086	-	11,077
Employees	155	-	-	-	155
Other CGIAR Centres	223	-	-	-	223
Other	3,165	-	-	-	3,165
Long term investments	-	-	-	14,624	14,624
31 December 2012:	23,411	15,120	1,086	14,624	54,241
Accounts payable					
Donor	246	9,864	7,196	-	17,306
Employees - non current	-	-	-	5,579	5,579
Employees - current	688	-	-	-	688
Other CGIAR Centres	380	-	-	-	380
Other	1,919	-	-	-	1,919
Accruals	-	-	8,307	-	8,307
31 December 2012:	3,233	9,864	15,503	5,579	34,179
Net Liquidity Gap - 2012	20,178	5,256	(14,417)	9,045	20,062

Notes to the Financial Statements (contd)

30. RISK MANAGEMENT (Continued)

(iii) Market risk

Market risk is the risk that changes in market prices, such as foreign exchange rates and interest rates, will affect the Centre's income or the value of its holdings of financial instruments. Where possible, the Centre matches the currency of payment with the currency received from donors, to mitigate the foreign exchange risks. Also, the Centre regularly assesses the impact of interest rate changes on its financial assets.

a) Currency risk analysis

The impact on surplus of a 10% appreciation or depreciation of the dollar would be as follows:

	As at 31 December 2013			As at 31 December 2012		
	Currency carrying amount USD '000	10% Appreciation USD '000	10% Depreciation USD '000	Currency carrying amount USD '000	10% Appreciation USD '000	10% Depreciation USD '000
Income						
GBP	303	(30)	30	-	-	-
EURO	6,722	(672)	672	6,236	(624)	624
		(702)	702		(624)	624
Expenditure						
GBP	333	33	(33)	588	59	(59)
EURO	793	79	(79)	1,029	103	(103)
KES	11,490	1,149	(1,149)	10,465	1,047	(1,047)
CFA	6,847	685	(685)	5,497	550	(550)
IDR	2,763	276	(276)	1,828	183	(183)
		2,223	(2,223)		1,941	(1,941)
Total increase / (decrease)		1,520	(1,520)		1,317	(1,317)
Effect on the surplus for the year		1,520	(1,520)		1,317	(1,317)

As at 31 December 2013, if the dollar had strengthened/weakened by 10% against the major operating currencies with all other variables held constant, there would have been an increase of US\$ 1,520,000 / decrease of 1,520,000 respectively in the surplus for the year.

b) Interest rate risk analysis

Bond prices are subject to interest rate movements. A rise in interest rate will have a negative impact on the bond price, while a decrease would have a positive impact on the bond price.

c) Market value risk analysis

Changes in market prices will affect the value of Centre's holdings of financial instruments. The Centre's strategy as outlined in its investment policy is preservation of capital. This strategy is implemented through investments in bonds.

Notes to the Financial Statements (contd)

30. RISK MANAGEMENT (Continued)

The impact on surplus of a 10% appreciation or depreciation of the market value on investments would be as follows:

	As at 31 December 2013			As at 31 December 2012		
	Currency carrying amount USD '000	10% Appreciation USD '000	10% Depreciation USD '000	Currency carrying amount USD '000	10% Appreciation USD '000	10% Depreciation USD '000
Bonds						
Managed by HSBC	9,969	997	(997)	9,840	984	(984)
Managed by Vestra Wealth	9,578	958	(958)	4,784	478	(478)
Total increase / (decrease)		1,955	(1,955)		1,462	(1,462)
Effect on the surplus for the year		1,955	(1,955)		1,462	(1,462)

As at 31 December 2013 if the market value of bonds had increased/decreased by 10%, there would have been an increase of US\$ 1,955,000 / decrease of 1,955,000 respectively in the surplus for the year.



Income Statement by source

Exhibit 1

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars '000)

	2013				2012			
	Unrestricted	CRP	Non-CRP	Total	Unrestricted	CRP	Non-CRP	Total
Grant revenue								-
<i>Window 1 & 2</i>	-	18,049	-	18,049	-	19,836	-	19,836
<i>Window 3</i>	1,065	3,394	-	4,459	1,486	-	-	1,486
<i>Bilateral</i>	389	28,579	4,586	33,554		25,972	4,091	30,063
Other revenue and gains	1,422	-	-	1,422	1,713	-	-	1,713
Total revenues and gains	2,876	50,022	4,586	57,484	3,199	45,808	4,091	53,098
Research expenses	2,375	43,747	4,074	50,196	3,630	38,850	3,690	46,170
General and Administration expenses	6,699	467	-	7,166	5,554	1,138	-	6,692
Other expenses and losses	-	-	-	-	-	-	-	-
Subtotal Expenses and Losses	9,074	44,214	4,074	57,362	9,184	39,988	3,690	52,862
Indirect Cost Recovery	(6,320)	5,808	512	-	(6,221)	5,820	401	-
Total operating expenses	2,754	50,022	4,586	57,362	2,963	45,808	4,091	52,862
Financial income	-	-	-	-	-	-	-	-
Financial costs	-	-	-	-	-	-	-	-
Surplus for the year	122	-	-	122	236	-	-	236

Expenses by function								
Personnel Costs	8,289	15,796	1,426	25,511	7,132	11,630	1,234	19,996
CGIAR Collaboration	-	324	-	324	-	-	-	-
Other Collaboration	103	5,343	90	5,536	63	6,668	75	6,806
Supplies and Services	(1,375)	15,708	1,309	15,642	15	14,891	1,343	16,249
Travel	1,443	5,247	1,239	7,929	1,338	4,866	1,022	7,226
Depreciation	614	1,796	10	2,420	636	1,933	16	2,585
Subtotal Expenses and Losses	9,074	44,214	4,074	57,362	9,184	39,988	3,690	52,862
Indirect Cost Recovery	(6,320)	5,808	512	-	(6,221)	5,820	401	-
Total operating expenses	2,754	50,022	4,586	57,362	2,963	45,808	4,091	52,862

Schedule of Unrestricted Grants Revenue

Exhibit 1a

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars '000)

Donor	2013							2012
	Funds B/F		Received	Accounts Receivable	Refund/ Adjustment	Advance Payment	Grant Revenue	
Australia	-	-	-	-	-	-	-	-
Aid to Africa	-	-	-	-	-	-	-	-
Belgium	-	-	-	-	-	-	-	-
China	(11)	-	40	-	-	-	29	20
Finland	(6)	-	321	-	6	-	321	318
Germany	-	-	389	-	-	-	389	379
Ireland	-	-	655	-	-	-	655	606
Netherlands	-	-	52	-	-	-	52	-
Philippines	(4)	-	4	9	-	-	9	9
South Africa	(20)	-	-	-	20	-	-	-
The Consortium of International Agricultural Research Centers	-	4	-	-	(4)	-	-	154
	(41)	4	1,461	9	22	-	1,455	1,486

SCHEDULE OF RESTRICTED GRANT REVENUE

Exhibit 1b

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
Abt Associates INC.								
ABAT-1053	Support Services for Land Use Planning, District Readiness, Strategic Environmental Assessment and Related Preparatory Activities for the Green Prosperity (GP) Project in Indonesia	12-Dec-12	12-Sep-13	US\$	256,878	-	256,877	256,877
Australian Centre for International Agricultural Research								
ACAR-1008	Farming systems and food security in Africa: Priorities for Science and Policy under Rapid Global Change	04-Jan-12	31-Mar-13	AUD	320,324	240,002	81,002	321,004
ACAR-1014	Improving Sustainable Productivity in Farming Systems and Enhanced Livelihoods through Adoption of Evergreen Agriculture in Eastern Africa	01-Jun-12	31-May-16	AUD	5,501,917	351,965	868,929	1,220,894
ACAR-1051	Production and Market Strategies for Improvement of Smallholders Livelihoods in Indonesia	01-Jan-13	31-Dec-16	AUD	1,799,801	-	127,957	127,957
ACAR-1057	Enhancing Livelihoods and Food Security from Agroforestry and Community Forestry in Nepal	01-Apr-13	31-Mar-18	AUD	239,996	-	19,533	19,533
ACAR-1061	Farming Systems and Food Security in Sub-Saharan Africa: Priorities for Science and Policy under Global Change	15-Jun-13	30-Apr-14	AUD	186,000	-	86,809	86,809
ACAR-725	Enhancing Tree Seedlings supply via Economic and Policy Changes in the Philippines Nursery Sector Project	01-Jan-07	31-Dec-13	AUD	90,539	76,008	7,761	83,769
ACAR-951	Watershed Evaluation for Sustainable Use of Sloping Agricultural Land in the Southern Philippines	01-May-11	31-Oct-15	AUD	311,323	70,759	70,129	140,888
ACAR-982	Agroforestry for Livelihoods of Smallholder Farmers in North-Western Vietnam	01-Nov-11	31-Oct-16	AUD	1,643,424	224,792	351,856	576,648

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
ACAR-983	Identifying Research to Enhance Livelihoods and Food Security from Agroforestry and Community Forestry Systems in Nepal.	15-Feb-12	30-Sep-13	AUD	150,000	155,424	4	155,428
ACAR-985	Scoping and Formulation of Climate Smart Evergreen Agriculture to Improve Food Security in East Africa	01-Jan-12	01-Mar-13	AUD	150,000	162,932	49	162,981
Alliance for a Green Revoulution in Africa								
AGRA-1004	African Women in Agricultural Research and Development (AWARD)	01-May-12	30-Apr-14	US\$	799,948	298,376	460,580	758,956
Agropolis Foundation								
AGRF-950	Support the Implementation of the AWARD Communications for Francophone Expansion	14-Feb-11	31-Dec-13	US\$	100,000	9,979	(199)	9,780
Association for Strengthening Agriculture Research in Eastern and Central Africa								
ASRC-601	Enhanced Productivity, value added and competitiveness of the regional Agricultural systems- AHI	01-Jan-05	31-Dec-13	US\$	960,000	960,000	-	960,000
Australian Aid								
AUSD-842	LandCare approach to Foster Collective Action and Learning for Wide Scale Impact of Sustainable Land Management in Eastern Africa	15-Jun-09	30-Jun-13	US\$	390,000	389,999	-	389,999
Belgium								
BELG-1050	Building a Large Evergreen Agriculture Network for Southern Africa	01-Jan-13	31-Dec-14	EUR	1,500,000	-	535,306	535,306
BELG-1058	Extending Agroforestry Food Security Programme (AFSP) in Kasungu and Mzimba Districts	01-May-13	30-Apr-15	EUR	400,000	-	223,267	223,267
BELG-342	VVOB global support 2003-2012	01-Jan-03	30-Jun-14	EUR	404,474	426,779	5,812	432,591
BELG-796	Increasing small-scale farmer benefits from agroforestry tree products in West and Central Africa- AFTP4A	01-Oct-08	30-Jun-14	EUR	3,424,000	4,369,050	101,300	4,470,350
BELG-847	Community Agroforestry Tree Seed Banks (CATS Banks): Building Agroforestry Scaling up Platform for Diversifying Livelihoods Opportunities in Malawi and Mozambique	15-Dec-08	30-Jun-13	EUR	469,350	552,331	23,649	575,980
BELG-850	AGROLOR- Improving access to and availability of quality agroforestry learning resources	01-Jul-09	30-Sep-13	EUR	100,000	121,302	13,631	134,933
BELG-869	Funds Collaboration ICRAF - VVOB 2009 - 2010. "Healthy Learning"	01-Jan-08	31-Dec-13	EUR	72,650	91,829	(8)	91,821

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
Bill and Melinda Gates Foundation								
BMGF-1022	African Women in Agricultural Research and Development (AWARD) II	01-Aug-12	27-Aug-17	US\$	13,996,740	329,766	2,287,029	2,616,795
BMGF-745	AWARD Fellowship program to Fix the Leaky Pipeline of African Women Agricultural Scientists	01-Nov-07	31-Oct-13	US\$	13,886,796	13,611,166	248,944	13,860,110
BOTH ENDS (Environnement and Development Service)								
BOTH-917	Participatory Land Use Planning to Promote Sustainable Palm Oil Production in West Kalimantan	01-Sep-10	31-Mar-13	EUR	69,980	85,806	4,884	90,690
Brazilian Agricultural Research Corporation - EMBRAPA								
BRZL-935	Fostering Knowledge Sharing for Integrated Natural Resource Management in Agricultural Landscapes of Southern Africa	01-Jan-11	31-Dec-13	US\$	67,412	45,996	21,370	67,366
Cooperation of Common Fund for Commodities								
CFCZ-846	Promoting Development of Economically Viable Rubber Smallholdings in West Africa	01-Jul-09	30-Jun-13	USD	1,941,000	1,144,317	469,850	1,614,167
The Centre for International Forestry research CIFOR								
CFOR-1010	Adaptation of People to Climate Change in East Africa: Forest Ecosystem Services, Risk Reduction and Human Well-being	04-Jan-12	15-May-15	US\$	150,000	7,525	58,496	66,021
CFOR-1071	FORESTS AND CLIMATE CHANGE IN THE CONGO PROJECT (FCCC)	01-Jun-13	01-Jun-16	EUR	240,733	-	20,851	20,851
CFOR-784	ICRAF/CIFOR Activities and Projects in Vietnam	01-Jan-09	31-Dec-13	US\$	121,805	81,216	25,081	106,297
CFOR-876	Chinese Trade and Investment in Africa: Assessing and Governing Trade-offs to National Economies, Local Livelihoods and Forest Ecosystems	01-Mar-10	31-Aug-13	EUR	124,326	118,576	27,998	146,574
CFOR-889	CRP6 Partner Consultation Meeting	01-Aug-10	31-Aug-14	US\$	120,000	116,756	1,916	118,672
Columbia Global Center in Eastern & Southern Africa - CGC Africa								
CGCA-1080	Columbia Global Center in Eastern & Southern Africa - CGC Africa	01-Jun-13	30-Nov-14	US\$	1,335,803	-	319,804	319,804
China								
CHNA-766	Scientific visits to ICRAF for Chinese Scientists	01-Jan-08	31-Dec-14	US\$	58,695	16,995	41,647	58,642
Centro Internacional de Agricultura Tropical, Colombia								
CIAT-1039	Best Bets for Climate-Smart Agriculture: The Scientific Basis	01-Sep-12	30-Nov-13	US\$	50,000	49,899	101	50,000
CIAT-1054	Inventories and Measurement Fellowship Program - Environmental Canada	01-Nov-12	31-Mar-13	US\$	466,852	-	466,852	466,852

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
CIAT-816	Globally Integrated Africa Soil Information Service (AfSIS)	01-Nov-08	31-Mar-13	US\$	2,063,399	1,889,039	173,326	2,062,365
Centre for International Cooperation								
CICZ-928	Water harvesting technologies Revisited: Potentials for Innovations, Improvements and Upscaling in Sub-Saharan Africa	01-Jan-11	31-Dec-14	EUR	219,535	212,035	28,921	240,956
Canadian International Development Agency								
CIDA-936	Agroforestry and Forestry in Sulawesi: Linking Knowledge with Action	24-Mar-11	31-Mar-16	CAD	9,008,000	1,694,282	1,600,013	3,294,295
Centre for Mountain Ecosystem Studies								
CMES-776	Rural Energy Production from Bioenergy	01-May-07	13-Sep-14	EUR	290,096	298,337	11,637	309,974
Common Market For East and Southern Africa								
CMSZ-823	To Assemble Scientific Evidence in Support of the Unified African Position on Bio-Carbon	01-Mar-09	31-Mar-13	US\$	540,000	382,234	157,767	540,001
Governors of St. Francis Xavier University - COADY								
CODY-1003	Asset-based Community-Driven Development (ABCD) meets Value Chain Approach (VCA)	01-Sep-11	31-Aug-14	US\$	273,131	82,175	151,251	233,426
Cornell University								
CONL-914	NSF BREAD	01-Apr-10	31-Mar-13	US\$	216,577	184,819	30,049	214,868
CONL-943	Research on Village-Scale Pyrolysis for Liquid Biofuels in Africa	01-Mar-11	28-Feb-15	US\$	728,591	253,724	165,012	418,736
CORAF/WECARD								
COWE-987	An Integrated Cereal-Livestock-Tree System for Sustainable Land Use and Improved Livelihoods of Smallholder Farmers in the Sahel (CerLiveTrees)	01-Jun-11	31-May-14	US\$	279,543	91,024	61,290	152,314
Concern Worldwide								
COWZ-979	Maximising Rural Communities' Livelihood Options through Engagement between Non-state actors, Government and the Private Sector in Burundi	05-Aug-11	31-Mar-13	EUR	102,979	128,755	4,208	132,963
Internationale en Recherche Agronomique pour le Développement (CIRAD)								
CRAD-1046	AFS-4-FOOD Enhancing Food Security and Well-being of Rural African Households through Improved Synergy between Agro-Forestry Systems and Food Crops	04-May-12	04-Apr-15	EUR	59,342	-	20,003	20,003
CGIAR RESEARCH PROGRAM 1.1								
CRP11-1030	Agricultural systems in dry areas	01-Oct-12	31-Dec-14	US\$	1,054,000	704,000	349,966	1,053,966
CGIAR RESEARCH PROGRAM 1.2								
CRP12-1032	Integrated systems for the humid tropics	01-Oct-12	31-Dec-14	US\$	767,315	155,000	612,314	767,314

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
CGIAR RESEARCH PROGRAM 2								
CRP2-1028	Policies, Institutions and Markets	01-Jan-12	31-Dec-14	US\$	2,589,211	646,646	1,023,266	1,669,912
CGIAR RESEARCH PROGRAM 4								
CRP4-1018	Agriculture for Nutrition and Health	01-Jan-12	31-Dec-14	US\$	1,020,000	258,629	332,978	591,607
CGIAR RESEARCH PROGRAM 5								
CRP5-1016	Water, Land and Ecosystems (CRP)	01-Jan-12	31-Dec-14	US\$	2,980,000	1,490,000	1,391,576	2,881,576
CGIAR RESEARCH PROGRAM 6								
CRP6-978	Forests, Trees and Agroforestry: Livelihoods, Landscapes and Governance	01-Jul-11	30-Jun-14	US\$	29,050,000	15,543,460	9,462,320	25,005,780
CGIAR RESEARCH PROGRAM 7								
CRP7-970	CGIAR Research Program: Climate Change, Agriculture and Food Security	01-Jan-11	31-Dec-15	US\$	28,807,162	10,465,279	4,024,141	14,489,420
Commonwealth Scientific and Industrial Research Organization								
CSRO-939	Yunnan Study	25-Oct-10	31-Jul-13	AUD	236,000	246,687	-	246,687
International Maize and Wheat Improvement Center								
CYMT-941	Enhancing Total Farm Productivity in Smallholder Conservation Agriculture Based Systems in Eastern Africa	01-Jan-11	31-Dec-13	EUR	212,500	158,047	95,661	253,708
Danish International Development Agency								
DAND-1063	Strengthening District-Level Capacity for Reducing Land-Based Emissions and Greening the Economy through Low-Emissions Development Policy that Contributes to Nationally Appropriate Mitigation Actions	01-Jun-13	31-May-17	DKK	26,500,000	-	303,146	303,146
Department for International Development								
DFID-1034	Review of Agriculture Environment Indicators and Metrics	03-Sep-12	31-Jul-13	GBP	121,929	23,785	161,624	185,409
DFID-1065	A Writeshop on Strengthening Resilience in the Drylands of East Africa: The Role of Forests and Trees	01-May-13	31-Aug-13	GBP	101,381	-	133,685	133,685
European Union								
EURU-1048	Participatory Monitoring by Civil Society of Land-use Planning for Low-Emissions Development Strategies	01-Jan-13	31-Dec-16	EUR	2,500,000	-	538,611	538,611
EURU-975	Africa at a Meso-Scale: Adaptive and Integrated tools and Strategies for Natural Resources Management.	01-Mar-11	28-Feb-14	EUR	139,271	144,539	9,528	154,067

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
EURU-981	Uptake of Climate Related Research Results through Knowledge Platforms with African Collaboration Partners - AfriCAN Climate	01-Oct-11	27-Oct-14	EUR	61,792	71,309	10,383	81,692
Food and Agriculture Organization of the United Nations								
FAOZ-1037	Tree Crops Development in Africa and Asia to Benefit the Poor	30-Sep-12	31-Oct-14	US\$	1,320,021	39,912	382,588	422,500
FAOZ-916	Regional Training Workshop to assist African Countries on Forest Genetic Resources - Report on the State of the World's Forest Genetic Resources (SOW-FGR)	10-Dec-10	07-Oct-13	US\$	150,000	120,000	(1)	119,999
FAOZ-971	Support of Long-term Monitoring Activities in Sub-Saharan Africa for the development of guidelines of Soil Health Management	01-Oct-11	30-Sep-13	US\$	79,620	78,809	(15,089)	63,720
Finland								
FIND-1005	Improving Food Security in West and East Africa through Capacity Building in Research and Information Dissemination - Food Africa	01-Jan-12	31-Dec-15	EUR	1,492,100	356,361	508,713	865,074
FIND-1024	Building Biocarbon and Rural Development in West Africa - BIODÉV	09-Aug-12	08-Aug-16	EUR	10,000,000	180,863	3,023,077	3,203,940
FIND-1029	JPO - Janni Manniko	15-Oct-12	14-Oct-14	EUR	457,747	100,861	175,030	275,891
FIND-1070	JPO - Salla Eilola	01-Sep-13	31-Aug-15	US\$	389,973	-	108,736	108,736
FIND-956	Implementation of the Regional Partnership to Promote Trade and Investment in Sub-Saharan Africa	02-Apr-11	01-Mar-13	EUR	1,200,000	645,555	12,557	658,112
Danish Centre for Forest, Landscape and Planning								
FLDZ-785	Vegetation and Climate change in Eastern Africa	01-Apr-08	31-Jul-13	US\$	250,000	225,561	16,032	241,593
FLDZ-872	Domestication of Jatropha curcas for oil production on smallholder farms in the Sudano-Sahelian region with focus on Mali, 2009-2013	01-Jan-09	31-Dec-13	DKK	624,729	82,709	43,628	126,337
Ford Foundation								
FORD-958	Support for Applied Research on the Feasibility of Sustainable Bio fuels Production, for Oil Palm in West Kalimantan	01-Aug-11	30-Aug-13	US\$	147,212	76,059	51,022	127,081
Global Crop Diversity Trust								
GCDT-1033	Genebank CRP	01-Oct-12	31-Dec-14	US\$	1,200,000	344,917	851,810	1,196,727
Global Food and Farming Futures								
GFFF-875	Foresight Global Food and Farming Futures	01-Apr-10	30-Apr-13	US\$	90,000	90,016	(16)	90,000

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
Global Forum for Rural Advisory Services								
GFRS-955	Logistic Support to GFRAS for the GFRAS Annual meeting, the International Conference (Innovations in Extension and Advisory Services) and the GFRAS Steering Committee Meeting, in Nairobi, November 2011	02-Apr-11	01-Mar-13	US\$	253,000	244,294	4,576	248,870
Deutsche Gesellschaft fur Technische Zusammenarbeit - GTZ								
GTZG-1064	Innovating Strategies to Safeguard Food Security using Technology and Knowledge Transfer: A people-centred Approach (ICRAF)	01-May-13	30-Apr-16	EUR	183,182	-	60,452	60,452
GTZG-1081	Scaling-Up the Science to Create an EverGreen Agriculture in African Countries	01-Oct-13	30-Sep-15	EUR	300,000	-	1,199	1,199
GTZG-804	GIS Spatial Analysis :- Suitability Maps for Biofuel Feedstock for Ethiopia, Uganda, Tanzania	07-Nov-08	27-Dec-13	EUR	28,350	23,279	1,601	24,880
GTZG-817	Making the Mekong Connected (MMC): Development of carbon market and conservation financing mechanisms for multifunctional landscape bio-corridors in the Upper Mekong	01-Mar-09	28-Feb-13	EUR	1,198,000	1,550,047	28,454	1,578,501
Heifer International								
HFER-1088	East Africa Dairy Development (EADD) Phase II	08-Nov-14	30-Sep-18	US\$	2,743,182	-	10,645	10,645
HFER-749	East Africa Dairy Development (EADD)	15-Dec-07	30-Jun-13	US\$	2,826,805	2,465,327	361,478	2,826,805
HK Logistics LTD. Global Solutions								
HKLZ-820	Sustainable Livelihood Options and Carbon Rights as a basis for efficient and fair emission reduction in the central Kalimantan Ex-Mega Rice Project	09-Mar-09	30-Jun-13	AUD	206,425	145,244	28,564	173,808
Harvard University								
HVUN-780	Allocation of incentive contracts for environmental service provision in agricultural landscapes	01-Jun-08	31-Dec-13	US\$	42,274	42,274	-	42,274
World Bank								
IBRD-1055	Improving Measurements of Agricultural Productivity Through Methodological Validation and Research	04-Mar-13	31-Dec-16	US\$	705,029	-	85,064	85,064
International Center for Agricultural Research in the Dry Areas								
ICAD-1089	Scientific planning workshop in Kumasi Ghana for West Africa Sahel and Dry Savannas regional program	01-Jun-13	31-Dec-13	US\$	40,000	-	39,989	39,989

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
India Council for Agricultural Research								
ICAR-851	Enabling Small Holders to Improve their Livelihoods and Benefit from Carbon Finance under the National Agricultural Innovation Project (NAIP)	01-Jun-09	30-Apr-13	INR	26,536,000	43,474	27,636	71,110
ICAR-984	Enabling Small Holder Vulnerable Communities to Secure Sustainable Livelihoods under Changing Climate in India	27-Aug-11	26-Aug-14	INR	9,800,000	48,435	11,864	60,299
ICLARM								
ICLM-953	From Ridge to Reef: An Ecosystem Based Approach to Biodiversity Conservation and Development in the Philippines	02-Apr-11	01-Mar-13	US\$	243,240	230,155	13,085	243,240
International Crop Research Institute for the Semi Arid tropics								
ICRI-1026	Africa Rising: Sustainable Intensification of Cereal-Based Farming Systems in the Sudano-Sahelian Zone	06-Jan-12	28-Feb-14	US\$	201,705	101,696	67,462	169,158
ICRI-1060	Intensification of Maize-Legume Based Systems in the Semi-Arid Areas of Tanzania (Konwa and Kiteto districts) to Increase Farm Productivity and Improves Farming Natural Resource Base	01-Jan-13	30-Nov-13	US\$	141,018	-	141,018	141,018
ICRI-988	Developing Community-based Climate Smart Agriculture through Participatory Action Research in CCAFS Benchmark Sites in West Africa	01-Dec-11	31-Dec-13	US\$	847,076	385,027	462,049	847,076
IDH								
IDHZ-1031	IDH-MARS Cocoa Productivity and Quality Program (CPQP): Cocoa Development Center Platforms for Transfer of Cocoa Regeneration Technologies	01-Jul-12	30-Jun-15	EUR	1,500,000	344,385	428,430	772,815
International Development Research Centre								
IDRC-819	Going to Scale: Enhancing the Adaptive Management Capacities for Sustainable Land Management in the Highlands of Eastern Africa	16-Mar-09	15-Mar-13	CAD	266,000	201,574	21,046	222,620
International Fund for Agricultural Development								
IFAD-1035	Tree Crops Development in Africa and Asia to Benefit the Poor	01-Sep-12	31-Dec-13	EUR	2,000,000	184,207	1,292,474	1,476,681
IFAD-1040	Sharing Knowledge on Ready-to-Scale High Potential Pro-Poor Agricultural Technologies in India.	01-Jan-12	28-Feb-13	US\$	50,000	-	4,449	4,449
IFAD-1043	Programme for the Development of Alternative Biofuel Crops	16-Nov-12	31-Dec-16	US\$	2,500,000	-	290,299	290,299

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
IFAD-1047	Adaptation for Smallholder Agriculture Programme (ASAP) - Related Remote Sensing Baseline Analysis	01-Feb-13	31-Jan-14	US\$	181,660	-	132,337	132,337
IFAD-781	Programme to Support Smallholder Conservation Agriculture Promotion in Western and Central Africa	01-Jul-08	31-Dec-13	US\$	1,500,000	1,499,231	768	1,499,999
IFAD-788	Rewards for, Use of and Shared Investment in Pro-poor Environmental Services Phase II(RUPES-II)	30-Sep-08	29-Sep-13	US\$	1,500,000	1,500,000	-	1,500,000
IFAD-919	Parkland Trees and Livelihoods: Adapting to Climate Change in West African Sahel	06-Dec-10	31-Dec-13	US\$	1,500,000	696,450	475,402	1,171,852
IFAD-921	Enabling Rural Transformation and Grass-roots Institution Building for Sustainable Land Management and Increased Incomes and Food Security	01-Dec-10	31-Dec-14	US\$	1,500,000	681,698	371,336	1,053,034
IFAD-923	Scaling Up Conservation Agriculture with Trees for Improved Livelihoods and Environmental Resilience in Eastern and Southern Africa	10-Dec-10	31-Dec-13	EUR	2,000,000	1,545,746	1,074,978	2,620,724
International Food Policy Research Institute								
IFPR-1021	Low Emission Development Strategies	01-Jun-12	31-Dec-13	US\$	100,000	47,445	52,554	99,999
IFPR-1041	Production and Technology Policies of CRP2	01-Jan-12	31-Dec-13	US\$	88,020	-	88,020	88,020
IFPR-967	Research Activities on Enhancing the Agroforestry Component of the Economic Models	01-Jul-11	30-Jun-13	US\$	60,000	48,465	11,535	60,000
Intergovernmental Authority on Development								
IGAD-1083	Programme in the Tana-Kipini-Laga Badana Bush Land and Seascape	01-Aug-13	31-Jul-17	EUR	1,700,000	-	72,267	72,267
International Institute for Sustainable Development								
IISD-1000	Building REDD Policy Capacity for Developing Country Negotiators and Stakeholders - Phase III	01-Oct-11	31-May-13	US\$	158,953	123,013	34,740	157,753
IISD-903	Building REDD Capacity for Developing Country Negotiators and Land Managers - Phase II	01-Aug-10	31-May-13	US\$	316,427	301,814	14,613	316,427
International Institute of Tropical Agriculture								
IITA-1012	Evidence-based Scaling-up of Evergreen Agriculture for Increasing Crop Productivity, Fodder Supply and Resilience of the Maize-mixed and Agropastoral Farming Systems in Tanzania and Malawi	07-May-12	30-Sep-13	US\$	173,000	150,780	21,663	172,443

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
International Livestock Research Institute								
ILRI-1025	Sustainable Tree-Crop-Livestock Intensification as a Pillar for the Ethiopian Climate Resilient Green Economy Initiative	05-Jan-12	31-Oct-13	US\$	135,000	65,971	68,997	134,968
ILRI-1068	Africa - Research in Sustainable Intensification for the Next Generation	15-Jun-13	15-Jan-14	US\$	287,758	-	287,758	287,758
ILRI-973	Livelihood Diversifying Potential of Livestock Based Carbon Sequestration Options in Pastoral and Agropastoral Systems in Africa	01-Apr-11	31-Mar-14	EUR	160,171	99,029	48,313	147,342
Government of India								
INDA-980	Research grant	01-Jan-12	31-Dec-13	US\$	150,000	28,476	121,300	149,776
Ireland								
IRLD-1007	Agroforestry Food Security Programme (AFSP) Phase II	04-Jan-12	31-Mar-15	EUR	2,000,000	458,432	701,559	1,159,991
IRLD-795	Cooperation with Irish Universities and Research Institutes	01-Apr-08	31-Mar-13	EUR	150,000	100,992	6,782	107,774
International Water Management Institute								
IWMI-913	CPWF Nile 2 Project in Ethiopia	01-Nov-10	31-Mar-14	US\$	199,801	185,289	14,512	199,801
Japan								
JPAN-753	Bioenergy Provision within Agroforestry Systems in East Africa	01-Apr-07	31-Mar-14	US\$	650,000	541,294	38,925	580,219
Japan International Research Center For Agricultural Sciences								
JRAS-742	Japan-CGIAR Fellowship Programme- 2007-2011	30-Sep-06	31-Aug-13	US\$	25,000	20,225	3,364	23,589
Universite LAVAL								
LAUN-989	Accroître la Sécurité alimentaire en associant étroitement élevage, arbres et cultures par la pratique de l'agroforesterie au Mali	02-Feb-12	01-Dec-14	CAD	281,257	90,301	64,809	155,110
Margaret A. Cargill Foundation								
MACF-1036	Protecting Biodiversity through Improved Community Forest Management and Agroforestry	01-Sep-12	31-Aug-15	US\$	1,206,975	-	396,222	396,222
Mars Inc								
MARS-619	Trees and Markets	01-Jan-06	31-Dec-13	US\$	200,000	182,583	-	182,583
MARS-867	To Support the Development of Sustainable Cocoa Production in West Africa through Setting Baseline and Systems for Soil and Carbon Assessment	01-Nov-09	31-Dec-13	US\$	295,000	236,418	16,296	252,714

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
McKnight Foundation								
MCNT-1027	Agro-ecological Intensification of Sorghum and Pearl Millet-Based Production Systems in the Sahel Through Agroforestry: Linking Farmers' Knowledge to Process-Based Science	01-Jul-12	31-Aug-14	US\$	111,500	548	74,156	74,704
Macaulay Land Use Research Institute								
MLRI-826	Development and Application of Methodologies For Reduced Emissions From Deforestation and Forest Degradation (DEFRA REDD)	29-Oct-08	30-May-13	GBP	81,886	132,958	(1)	132,957
Michigan State University								
MSUZ-1072	"Farmer to farmer extension approaches: Characterizing the approaches of various types of extension services and farmers' perceptions"	01-Mar-13	14-Sep-13	US\$	160,139	-	121,100	121,100
Multidonor								
MULT-1049	MULTI-DONOR - WCA	01-Jan-13	31-Dec-13	US\$	38,024	-	38,024	38,024
MULT-1052	India Multidonor	01-Feb-13	31-Dec-13	US\$	118,000	-	100,339	100,339
MULT-1056	Kisumu Office Management Funds	01-Jan-13	31-Dec-13	US\$	100,000	-	-	-
MULT-1059	Expert Advisory Services - UNEP	01-Jun-13	30-Jun-13	US\$	31,004	-	30,817	30,817
MULT-1073	Multidonor funds-SD6	01-Aug-13	31-Dec-13	US\$	30,000	-	15,761	15,761
MULT-1074	Threats to Priority food tree species in Burkina Faso: Drivers of resource losses and mitigation measures	01-Jun-13	28-Feb-14	EUR	30,000	-	44,356	44,356
MULT-1076	Transforming Key Productions Systems: Maize Mixed East and Southern Africa	01-Oct-12	30-Sep-13	US\$	30,000	-	24,086	24,086
MULT-1078	2014 World Congress on Agroforestry	01-Aug-13	31-Dec-14	US\$	500,000	-	(35,938)	(35,938)
MULT-651	Trees and Markets	01-Jan-06	31-Dec-13	US\$	175,000	64,264	110,577	174,841
MULT-748	GIS Multi-donor Funds	01-Dec-07	31-Dec-14	US\$	47,008	19,046	27,962	47,008
MULT-751	GRP3 Multi-donor Funds - EA	01-Apr-07	31-Dec-13	US\$	7,000	5,093	161	5,254
MULT-895	Multi-Donor - East Africa	01-Aug-10	31-Dec-13	US\$	100,000	25,684	67,037	92,721
MULT-897	Latin America Operations	01-Jan-10	31-Dec-13	US\$	100,000	53,971	41,306	95,277
MULT-898	Tanzania Country Office Operations	01-Jun-06	31-Dec-14	US\$	25,000	34,602	(16,406)	18,196
MULT-899	SEA Regional Office Operations	01-Dec-06	31-Dec-13	US\$	700,000	426,312	205,798	632,110
MULT-963	MARS CHOCOLATE	01-Jan-11	31-Dec-15	US\$	20,000,000	8,847,252	6,502,035	15,349,287

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
MULT-994	Gender and diversity programme	01-Jul-99	31-Dec-14	US\$	9,000,000	8,521,711	6,128	8,527,839
Natural Environment Research Council								
NERC-1067	Assessing Risks of Investment in Groundwater Resources in Sub-Saharan Africa	17-Jun-13	16-Jun-14	US\$	146,827	-	55,304	55,304
Netherlands								
NETH-1075	A Regional in the Sahel and Horn of Africa, enhancing Food and Water Security for Rural Economic Development	01-Aug-13	01-Aug-18	US\$	49,461,486	-	809,870	809,870
Norwegian Agency for Development Cooperation								
NORD-1069	Secured Landscapes: Sustaining Ecosystem and Carbon Benefits by Unlocking Reversal of Emissions Drivers in Landscapes 2013-2015	01-Jul-13	31-Dec-15	NOK	25,000,000	-	604,749	604,749
NORD-838	REALU Architecture: Reducing Emissions from all Land Uses	01-Jan-09	31-Dec-13	NOK	6,900,000	1,073,118	58,959	1,132,077
NORD-886	Architecture of REALU: Reducing Emissions for All Land Use (Phase II)	01-Jan-10	31-Dec-13	NOK	28,372,500	2,956,353	1,698,845	4,655,198
Northern Rangelands Company Limited								
NRCL-1077	Baseline Assessment and Long-Term Monitoring of Soil and Rangeland Health in NRT areas	01-Aug-13	01-Feb-14	US\$	76,000	-	38,132	38,132
Natural Resources Canada								
NRCZ-1011	Restoring Karago Watershed through Participatory Innovative Agroforestry Technologies to Support Construction of a Model Forest and Improve the Livelihood of Communities in Gishwati, Rwanda	04-Jan-12	31-Dec-13	CAD	252,268	56,166	150,399	206,565
Natural Resources Institute								
NRIZ-1017	ADAPPT Project	01-Jun-12	26-Apr-13	EUR	50,040	1,635	48,405	50,040
NRIZ-730	SAPP Project - Caesalpinoid Woodlands of Southern Africa: Optimising the Use of Pesticidal Plants	01-Jan-07	31-Dec-13	EUR	93,872	121,262	-	121,262
National Smallholder Farmers' Association of Malawi								
NSFM-906	Increasing Benefits to Smallholder Farmers From Improved Soil Fertility through Integration of Pigeon Peas, Groundnuts and Conservation Agriculture in Maize Production Systems of Malawi	01-Jan-10	31-Dec-13	US\$	105,734	105,734	(36)	105,698

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
Peru								
PERU-1023	Manejo integrado de la mancha anillada del papayo ocasionada por el Papaya Ringspot Virus (PRSV) en las principales zonas productoras del Perú AND Fortalecimiento institucional para consolidar la investigación agroforestal	01-Aug-12	31-Dec-14	US\$	179,950	-	100,310	100,310
PERU-930	Investigación agroforestal amazónica para usos de la tierra con alta provisión de servicios ambientales, bajas emisiones de gases y rentabilidad económica"- (2011-2013)	01-Jan-11	31-Dec-13	US\$	100,000	86,523	11,468	97,991
Rwanda Agricultural Development Authority								
RADA-865	Sustainable Land Management Project (Rwanda)	05-Nov-09	30-Apr-13	US\$	265,671	208,528	8,944	217,472
Rainwater Harvesting Implementation Network Foundation								
RAIN-1009	Institutional Strengthening of Southern and Eastern Africa Rainwater Network - SEARNET Conference.	21-Feb-12	31-Dec-13	EUR	75,330	37,800	26,483	64,283
Rights and Resources Group								
RRGZ-920	Legal Policy Reform, Tenure and Adat Rights	01-Nov-10	30-Apr-13	US\$	80,000	12,824	42,176	55,000
Republic of South Africa Gov't								
RSAZ-1015	Department of Agriculture, Forestry and Fisheries	01-Jan-12	31-Dec-13	US\$	215,600	104,002	93,330	197,332
Swiss Development Corporation								
SDCZ-1038	Consultancy to the SDC-MoLEP Project on Sloping Land Management in DPRK	01-May-12	31-Dec-13	EUR	90,460	107,460	4,109	111,569
SDCZ-831	DPRK -Capacity Building Missions for the Sloping Land Management Project	01-Apr-09	31-Dec-13	EUR	60,896	47,261	28,377	75,638
SDCZ-966	Climate Smart Rural Development Project	01-Oct-11	31-Dec-13	CHF	70,672	67,220	34,779	101,999
Swedish University of Agricultural Sciences								
SLUZ-945	"Approaches for Analysing Multi-functionality of Agroforestry Systems in Western Kenya in Relation to Climate Change Adaptation and Mitigation" and "Multifunctionality of Agroforestry Systems"	19-May-11	31-Jul-14	US\$	300,000	101,330	165,191	266,521
Tegemeo Institute of Egerton University								
TIEU-1019	Improving Participation in Agricultural Commodity Markets for Smallholder Farmers in Kenya: Assessing Growth Opportunities for the Marginalized Groups	01-Jan-12	31-Jul-13	US\$	54,045	9,704	44,341	54,045

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
University of California, Davis								
UCDZ-1079	Establish African Plant Breeding Academy (AfPBA) in Nairobi, Kenya with support from the World Agroforestry Center (ICRAF)	01-Nov-13	31-Dec-13	US\$	222,000	-	159,739	159,739
University of Copenhagen								
UCOP-927	Impacts of Reducing Emissions from Deforestation and Forest Degradation and Enhancing Carbon Stocks - I-REDD	01-Jan-11	31-Dec-14	EUR	1,221,646	111,821	34,278	146,099
United Nations Environmental Programme								
UNEP-1042	Support to National REDD+ Programme	18-Dec-12	30-Jun-13	US\$	197,709	-	189,763	189,763
UNEP-1062	Capacity Building in National Planning for Food Security - Strengthen the Capacity at the National Level to Identify Environmental Impacts and Ecosystem Degradation Related to Food Production Systems in Uganda.	12-Jun-13	30-Nov-13	US\$	125,000	-	59,620	59,620
UNEP-535	An Ecosystem Approach to Restoring West African Drylands and Improving Rural Livelihoods through Agroforestry-based Land Management Interventions	01-Nov-04	31-Oct-13	US\$	1,788,005	1,751,579	36,426	1,788,005
UNEP-942	Interim Secretariat of Nairobi Science and Policy Forum on Sustainability of Agroecosystems	20-Apr-11	19-Apr-13	US\$	30,000	22,000	185	22,185
UNEP-968	UN-REDD Panama Program	09-Jan-11	30-Apr-13	US\$	111,444	71,998	22,810	94,808
UNEP-969	Revisiting Climate Change within Maasai Mau Forest and its Future Implication on Maasai Mau Forest Complex's Conservation	01-Sep-11	31-Dec-13	US\$	68,466	37,357	12,064	49,421
Unilever								
UNLV-616	To advance domestication of <i>Allanblackia</i> spp. In selected countries in Africa III	01-Dec-05	31-Dec-13	US\$	341,077	273,452	67,625	341,077
UNLV-932	Rooting and Germination of <i>Allanblackia</i> Tree/Seeds	01-Dec-11	30-Nov-13	EUR	70,000	48,543	43,937	92,480
UNLV-948	Remuneration Leader Secretariat/Secretary Allanblackia Strategic Executive Committee (SEC)	13-May-11	31-Dec-13	US\$	41,382	22,714	18,668	41,382
United Nations University -Int'l Orgn Center								
UNUZ-986	Steering Committee Meeting and the 2nd Global Conference of the International Partnership for the Satoyama Initiative (IPSI)	02-Feb-12	31-May-13	US\$	250,000	133,473	-	133,473

Exhibit 1b: Schedule of Restricted Grant Revenue

Donor Name & Grant ID	Project Description	Start Date	End Date	Currency	Grant Pledged	Prior Years	2013	Total
United States Agency for International Development								
USAD-1044	AWARD	30-Sep-12	29-Sep-13	US\$	1,391,600	-	944,891	944,891
USAD-130	Collaboration with universities	01-Jan-00	31-Dec-13	US\$	651,485	627,534	12,900	640,434
USAD-828	Food Security and Crisis Mitigation (Women in Science -G&D) - Phase II	01-Oct-08	30-Sep-13	US\$	1,048,160	923,348	100,721	1,024,069
USAD-909	Food Security and Crisis Mitigation (Women in Science -G&D) - Phase II	01-Oct-10	30-Sep-13	US\$	3,480,000	2,397,989	537,986	2,935,975
USAD-922	Mainstreaming Climate Change in Bioersity Planning and Conservation in the Philippines	13-Jan-11	12-Jan-13	US\$	992,229	852,204	140,025	992,229
USAD-972	Ecosystem Mapping in Kenya	17-Oct-11	16-Dec-13	US\$	115,357	101,192	14,165	115,357
Vrije Universiteit AMSTERDAM								
VUAZ-954	Provide Information of the Economic Benefits of Farmer Managed Natural Regeneration (FMNR) Practices and other Socio-Cultural Benefits	31-Mar-11	31-Mar-13	US\$	355,100	353,389	(4,869)	348,520
World Cocoa Foundation								
WCFZ-1066	Cocoa Community Development Fund	02-May-13	31-Oct-14	US\$	320,000	-	89,644	89,644
World Vision								
WDVN-1006	BEATING FAMINE CONFERENCE: Sustainable Food Security through Land Regeneration in a Changing Climate to be convened at ICRAF on April 10-13	04-Jan-12	30-Jun-13	US\$	125,834	125,806	28	125,834
William J. Clinton Foundation								
WJCF-960	Scale-up of Soybean Production in Rwanda to Improve Soil Fertility and Livelihood for Farmers	01-May-11	31-May-13	US\$	56,000	51,291	4,709	56,000
						107,322,094	54,607,462	161,929,556





Analysis of sources and applications of restricted project grants

Exhibit 1c

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

		Sources of funds				Applications of funds									
Donor Name & ID	Description	Funds accrued prior year	Funds b/f year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Abt Associates INC.															
ABAT-1053	Support Services for Land Use Planning, District Readiness, Strategic Environmental Assessment and Related Preparatory Activities for the Green Prosperity (GP) Project in Indonesia	-	-	228,090	28,788	256,878	82,823	113,799	-	27,731	24,963	7,562	-	256,878	-
Australian Centre for International Agricultural Research															
ACAR-1008	Farming systems and food security in Africa: Priorities for Science and Policy under Rapid Global Change	-	60,571	18,843	1,588	81,002	-	79,551	-	667	784	-	-	81,002	-
ACAR-1014	Improving Sustainable Productivity in Farming Systems and Enhanced Livelihoods through Adoption of Evergreen Agriculture in Eastern Africa	-	1,277,586	1,937,151	-	3,214,737	220,028	151,040	18,680	122,973	88,770	189,839	77,599	868,929	2,345,808

Exhibit 1c: Analysis of sources and applications of restricted project grants

Donor Name & ID	Description	Sources of funds			Total available 2013	Applications of funds								Total Expenditure	Funds c/f as at 31 Dec 2013
		Funds accrued prior year	Funds b/f from prior year	Funds received 2013		Funds accrued 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation		
ACAR-1051	Production and Market Strategies for Improvement of Smallholders Livelihoods in Indonesia	-	-	368,161	-	-	10,778	10,825	-	23,201	11,560	71,593	-	127,957	240,204
ACAR-1057	Enhancing Livelihoods and Food Security from Agroforestry and Community Forestry in Nepal	-	-	38,519	-	-	4,438	930	-	13,582	583	-	-	19,533	18,986
ACAR-1061	Farming Systems and Food Security in Sub-Saharan Africa: Priorities for Science and Policy under Global Change	-	-	123,956	-	-	-	75,105	-	9,791	1,913	-	-	86,809	37,147
ACAR-725	Enhancing Tree Seedlings supply via Economic and Policy Changes in the Philippines Nursery Sector Project	-	7,761	-	-	-	2,005	2,197	-	2,980	579	-	-	7,761	-
ACAR-951	Watershed Evaluation for Sustainable Use of Sloping Agricultural Land in the Southern Philippines	-	22,209	103,201	-	-	33,642	13,297	-	7,628	15,562	-	-	70,129	55,281

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Applications of funds													
		Sources of funds													
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
ACAR-982	Agroforestry for Livelihoods of Smallholder Farmers in North-Western Vietnam	-	352,544	344,378	-	696,922	79,031	102,115	-	29,647	9,590	131,473	-	351,856	345,066
ACAR-983	Identifying Research to Enhance Livelihoods and Food Security from Agroforestry and Community Forestry Systems in Nepal.	-	4	-	-	4	-	4	-	-	-	-	-	4	-
ACAR-985	Scoping and Formulation of Climate Smart Evergreen Agriculture to Improve Food Security in East Africa	-	49	-	-	49	-	49	-	-	-	-	-	49	-
AGROFUTURO GLOBAL SL															
AGGL-937	Committee for Sustainable Assessment	(4,083)	-	-	4,083	-	-	-	-	-	-	-	-	-	-
Alliance for a Green Revolution in Africa															
AGRA-1004	African Women in Agricultural Research and Development (AWARD)	-	325,434	-	135,146	460,580	3,243	95,300	-	320,529	41,508	-	-	460,580	-
Agropolis Foundation															
AGRF-950	Support the Implementation of the AWARD Communications for Francophone Expansion	-	65,022	(65,221)	-	(199)	-	(224)	-	-	25	-	-	(199)	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Belgium															
BELG-1050	Building a Large Evergreen Agriculture Network for Southern Africa	-	-	893,685	-	893,685	170,973	135,334	-	41,924	47,433	112,381	27,261	535,306	358,378
BELG-1058	Extending Agroforestry Food Security Programme (AFSP) in Kasungu and Mzimba Districts	-	-	208,578	14,689	223,267	50,898	40,970	-	35,008	42,330	44,847	9,214	223,267	-
BELG-342	VVOB global support 2003-2012	-	5,812	-	-	5,812	-	116	-	-	5,696	-	-	5,812	-
BELG-796	Increasing small-scale farmer benefits from agroforestry tree products in West and Central Africa- AFTP4A	-	85,212	-	16,089	101,300	14,666	24,100	18,556	24,577	18,901	500	-	101,300	-
BELG-847	Community Agroforestry Tree Seed Banks (CATS Banks): Building Agroforestry Scaling up Platform for Diversifying Livelihoods Opportunities in Malawi and Mozambique	(23,153)	-	46,802	-	23,649	-	23,603	-	-	46	-	-	23,649	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds				Applications of funds									
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
BELG-850	AGROLOR- Improving access to and availability of quality agroforestry learning resources	-	13,401	-	230	13,631	-	1,397	-	238	4,426	-	7,570	13,631	-
BELG-869	Funds Collaboration ICRAF - VVOB 2009 - 2010. "Healthy Learning"	-	12,371	-	-	12,371	(8)	-	-	-	-	-	-	(8)	12,379
Bill and Melinda Gates Foundation															
BMGF-1022	African Women in Agricultural Research and Development (AWARD) II	-	2,874,597	3,737,569	-	6,612,166	988,984	624,553	-	531,677	118,399	17,910	5,507	2,287,030	4,325,136
	AWARD Fellowship program to Fix the Leaky Pipeline of African Women Agricultural Scientists	-	569,572	(320,577)	-	248,995	-	152,696	-	15,205	13,157	67,885	-	248,943	52
BOTH ENDS (Environment and Development Service)															
BOTH-917	Participatory Land Use Planning to Promote Sustainable Palm Oil Production in West Kalimantan	(14,871)	-	19,755	-	4,884	-	2,688	-	-	230	1,966	-	4,884	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Brazilian Agricultural Research Corporation - EMBRAP															
BRZL-935	Fostering Knowledge Sharing for Integrated Natural Resource Management in Agricultural Landscapes of Southern Africa	-	21,370	-	-	21,370	10,168	1,488	500	3,196	6,018	-	-	21,370	-
Cooperation of Common Fund for Commodities															
CFCZ-846	Promoting Development of Economically Viable Rubber Smallholdings in West Africa	(272,221)	-	-	742,071	469,850	88,078	330	5,291	105,290	162,322	43,275	65,264	469,850	-
The Centre for International Forestry research CIFOR															
CFOR-1010	Adaptation of People to Climate Change in East Africa: Forest Ecosystem Services, Risk Reduction and Human Well-being	-	82,475	-	-	82,475	22,939	27,285	6,038	232	2,003	-	-	58,497	23,978
CFOR-1045	Climate Change, Agriculture and Food Security (CCAFS)	-	65,000	-	-	65,000	-	-	-	-	-	-	-	-	65,000
CFOR-1071	FORESTS AND CLIMATE CHANGE IN THE CONGO PROJECT (FCCC)	-	-	57,517	-	57,517	-	20,780	-	21	50	-	-	20,851	36,666
CFOR-784	ICRAF/CIFOR Activities and Projects in Vietnam	-	2,868	18,399	3,814	25,081	7,524	-	-	4,799	12,758	-	-	25,081	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
CFOR-876	Chinese Trade and Investment in Africa: Assessing and Governing Trade-offs to National Economies, Local Livelihoods and Forest Ecosystems	-	10,430	-	17,569	27,999	8,156	15,143	-	2,897	1,803	-	-	27,999	-
		(1,693)	-	5,111	-	3,418	-	250	-	(5,076)	3,182	3,560	-	1,916	1,502
Columbia Global Center in Eastern & Southern Africa - CGC Africa															
CGCA-1080	Columbia Global Center in Eastern & Southern Africa - CGC Africa	-	-	667,902	-	667,902	131,830	104,944	-	2,087	69,902	11,040	-	319,803	348,099
China															
CHNA-766	Scientific visits to ICRAF for Chinese Scientists	-	2,900	38,800	-	41,700	26,901	7,548	-	7,198	-	-	-	41,647	53
Centro Internacional de Agricultrual Tropical, Colombia															
CIAT-1039	Best Bets for Climate-Smart Agriculture: The Scientific Basis	-	101	-	-	101	-	101	-	217	(217)	-	-	101	-
CIAT-1054	Inventories and Measurement Fellowship Program - Environmental Canada	-	-	466,852	-	466,852	49,227	51,344	-	48,068	12,530	305,683	-	466,852	-
CIAT-816	Globally Integrated Africa Soil Information Service (AISIS)	-	4,160	169,166	-	173,326	91,460	21,742	-	13,753	41,269	5,100	-	173,326	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds									Funds c/f as at 31 Dec 2013	
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	
Centre for International Cooperation															
	Water harvesting technologies Revisited: Potentials for Innovations, Improvements and Upscaling in Sub-Saharan Africa	(22,097)	-	75,979	-	53,882	17,823	5,019	-	3,426	2,653	-	-	28,921	24,961
CICZ-928															
Canadian International Development Agency															
	Agroforestry and Forestry in Sulawesi: Linking Knowledge with Action	-	947,961	1,034,376	-	1,982,337	536,720	393,338	2,816	176,853	204,826	285,459	-	1,600,012	382,325
CIDA-936															
Centre for Mountain Ecosystem Studies															
	Rural Energy Production from Bioenergy	-	11,637	-	-	11,637	3,899	-	-	7,640	98	-	-	11,637	-
CMES-776															
Common Market For East and Southern Africa															
	To Assemble Scientific Evidence in Support of the Unified African Position on Bio-Carbon	-	157,767	-	-	157,767	18,703	28,851	2,500	49,238	13,061	41,509	3,905	157,767	-
CMSZ-823															
Governors of St. Francis Xavier University - COADY															
	Asset-based Community-Driven Development (ABCD) meets Value Chain Approach (VCA)	-	74,936	67,076	9,239	151,251	2,037	66,351	46	21,124	52,300	9,394	-	151,251	-
CODY-1003															
Cornell University															
	NSF BREAD	(158,115)	-	173,171	14,992	30,048	-	2,253	8,481	1,518	17,707	89	-	30,049	-
CONL-914															

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
CONL-943	Research on Village-Scale Pyrolysis for Liquid Biofuels in Africa	(253,724)	-	347,053	71,683	165,012	53,989	32,956	7,178	3,578	67,311	-	-	165,012	-
CORAF/WECARD															
COWE-987	An Integrated Cereal-Livestock-Tree System for Sustainable Land Use and Improved Livelihoods of Smallholder Farmers in the Sahel (CerLiveTrees)	(37,792)	-	71,108	27,974	61,290	33,524	6,782	-	10,322	10,662	-	-	61,290	-
Concern Worldwide															
COWZ-979	Maximising Rural Communities' Livelihood Options through Engagement between Non-state actors, Government and the Private Sector in Burundi	(101,013)	-	93,594	11,627	4,208	-	575	-	574	(17,641)	20,700	-	4,208	-
Internationale en Recherche Agronomique pour le Développement (CIRAD)															
CRAD-1046	AFS-4-FOOD Enhancing Food Security and Well-being of Rural African Households through Improved Synergy between Agro-Forestry Systems and Food Crops	-	21,711	-	-	21,711	-	1,309	4,065	1,738	11,347	1,545	-	20,004	1,707

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds				Applications of funds								Funds c/f as at 31 Dec 2013
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure
CGIAR RESEARCH PROGRAM 1.1														
CRP11-1030	Agricultural systems in dry areas	(176,075)	-	254,950	271,091	349,966	126,891	151,192	242	57,237	14,404	-	-	349,966
CGIAR RESEARCH PROGRAM 1.2														
CRP12-1032	Integrated systems for the humid tropics	(155,000)	-	614,234	153,080	612,314	256,360	187,280	3,534	107,778	42,162	5,020	10,180	612,314
CGIAR RESEARCH PROGRAM 2														
CRP2-1028	Policies, Institutions and Markets	-	65,388	871,875	86,003	1,023,266	447,422	300,671	12,155	132,991	119,013	11,014	-	1,023,266
CGIAR RESEARCH PROGRAM 4														
CRP4-1018	Agriculture for Nutrition and Health	(34,229)	-	555,600	-	521,371	145,779	103,529	10,208	33,409	25,054	15,000	-	332,979
CGIAR RESEARCH PROGRAM 5														
CRP5-1016	Water, Land and Ecosystems (CRP)	(603,515)	-	1,669,254	325,837	1,391,576	485,800	285,565	405	62,320	470,119	25,000	62,367	1,391,576
CGIAR RESEARCH PROGRAM 6														
CRP6-978	Forests, Trees and Agroforestry; Livelihoods, Landscapes and Governance	(2,217,477)	-	8,270,913	3,408,884	9,462,320	3,756,743	3,091,499	80,743	911,269	804,821	764,973	52,272	9,462,320
CGIAR RESEARCH PROGRAM 7														
CRP7-970	CGIAR Research Program: Climate Change, Agriculture and Food Security	(1,906,109)	-	4,270,762	1,659,489	4,024,142	1,186,686	1,336,829	65,962	423,296	594,152	328,466	88,751	4,024,142
Commonwealth Scientific and Industrial Research Organization														
CSRO-939	Yunnan Study	(103,842)	-	103,842	-	-	-	-	-	-	-	-	-	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
International Maize and Wheat Improvement Center															
CYMT-941	Enhancing Total Farm Productivity in Smallholder Conservation Agriculture Based Systems in Eastern Africa	-	53,070	20,298	22,293	95,661	1,089	20,249	-	13,959	33,117	27,247	-	95,661	-
Danish International Development Agency															
DAND-1063	Strengthening District-Level Capacity for Reducing Land-Based Emissions and Greening the Economy through Low-Emissions Development Policy that Contributes to Nationally Appropriate Mitigation Actions	-	-	422,874	-	422,874	153,213	80,442	17	38,577	30,478	417	-	303,144	119,730
Department for International Development															
DFID-1034	Review of Agriculture Environment Indicators and Metrics	(23,785)	-	185,409	-	161,624	11,749	73,791	-	-	76,084	-	-	161,624	-
DFID-1065	A Writeshop on Strengthening Resilience in the Drylands of East Africa: The Role of Forests and Trees	-	-	-	133,685	133,685	27,416	42,785	-	32,532	23,503	7,449	-	133,685	-



Exhibit 1c: Analysis of sources and applications of restricted project grants

			Sources of funds				Applications of funds								
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Dupont Pioneer Inc															
DUPZ-1086	Dupont Pioneer's Engagement with the EverGreen Agriculture Partnership	-	-	300,000	-	300,000	-	-	-	-	-	-	-	-	300,000
Ebony Enterprises Ltd															
EELZ-821	Rwanda Master Plan For Irrigation	(190,505)	-	-	190,505	-	-	-	-	-	-	-	-	-	-
EICU-648	Monitoring and Impact assessment in the Millenium Villages	(28,662)	-	-	28,662	-	-	-	-	-	-	-	-	-	-
European Union															
EURU-1048	Participatory Monitoring by Civil Society of Land-use Planning for Low-Emissions Development Strategies	-	-	628,455	-	628,455	129,621	42,717	-	47,336	23,015	288,689	7,234	538,612	89,843
EURU-975	Africa at a Meso-Scale: Adaptive and Integrated tools and Strategies for Natural Resources Management.	(22,139)	-	42,462	-	20,323	-	9,453	-	-	75	-	-	9,528	10,795

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
EURU-981	Uptake of Climate Related Research Results through Knowledge Platforms Collaboration with African Partners - African Climate	(35,378)	-	44,373	1,387	10,382	-	1,354	-	1,506	7,522	-	-	10,382	-
Food and Agriculture Organization of the United Nations															
FAOZ-1037	Tree Crops Development in Africa and Asia to Benefit the Poor	-	160,088	200,000	22,501	382,589	136,211	129,066	529	33,707	76,397	6,679	-	382,588	-
FAOZ-916	Regional Training Workshop to assist African Countries on Forest Genetic Resources - Report on the State of the World's Forest Genetic Resources (SOW-FGR)	(1)	-	-	-	(1)	-	(1)	-	-	-	-	-	(1)	-
FAOZ-947	Linking Communities in SouthEast Asia to Forestry-Related Voluntary Carbon Markets	(6,502)	-	-	6,502	-	-	-	-	-	-	-	-	-	-
FAOZ-959	Implementing the Mitigation of Climate Change in Agriculture project activities in Kenya and Nairobi	(166,728)	-	112,332	54,396	-	-	-	-	-	-	-	-	-	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds				Applications of funds									
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
FAOZ-971	Support of Long-term Monitoring Activities in Sub-Saharan Africa for the development of guidelines of Soil Health Management	(15,089)	-	-	-	(15,089)	-	(15,089)	-	-	-	-	-	(15,089)	-
Forum for Agricultural Research in Africa															
FARA-717	Sustainable intensification of Crop-Livestock System and Markets Access promotion for smallholder farmers in LKPLS	-	4,503	-	-	4,503	-	-	-	-	-	-	-	-	4,503
Finland															
FIND-1005	Improving Food Security in West and East Africa through Capacity Building in Research and Information Dissemination - Food Africa	-	228,839	419,803	-	648,642	206,027	145,746	18,151	45,730	82,885	8,267	1,907	508,713	139,929
FIND-1024	Building Biocarbon and Rural Development in West Africa - BIODEV	-	2,340,252	-	682,825	3,023,077	1,048,940	752,788	17,233	224,431	442,615	302,128	234,942	3,023,077	-
FIND-1029	JPO - Janni Manniko	-	129,925	-	45,105	175,030	105,751	53,498	4,000	6,486	5,295	-	-	175,030	-
FIND-1070	JPO - Salla Eliola	-	-	195,562	-	195,562	51,245	24,495	500	4,150	28,346	-	-	108,736	86,826
FIND-677	Associate Expert - Salla Rantala	-	16,731	-	-	16,731	-	-	-	-	-	-	-	-	16,731

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
FIND-956	Implementation of the Regional Partnership to Promote Trade and Investment in Sub-Saharan Africa	-	205,125	815,820	-	1,020,945	-	12,532	-	-	25	-	-	12,557	1,008,388
Danish Centre for Forest, Landscape and Planning															
FLDZ-785	Vegetation and Climate change in Eastern Africa	-	37,610	-	-	37,610	4,676	1,356	5,750	4,250	-	-	-	16,032	21,578
FLDZ-872	Domestication of Jatropha curcas for oil production on smallholder farms in the Sudano-Sahelian region with focus on Mali, 2009-2013	-	5,111	-	38,518	43,629	26,281	6,681	1,530	2,311	6,826	-	-	43,629	-
Ford Foundation															
FORD-958	Support for Applied Research on the Feasibility of Sustainable Bio fuels Production, for Oil Palm in West Kalimantan	(2,453)	-	73,606	-	71,153	-	17,855	306	9,101	11,960	11,800	-	51,022	20,131
Global Crop Diversity Trust															
GCDT-1033	Genebank CRP	(344,917)	-	996,858	199,869	851,810	277,490	330,633	329	57,478	175,843	8,263	1,774	851,810	-
Global Food and Farming Futures															
GFFF-875	Foresight Global Food and Farming Futures	(16)	-	-	-	(16)	-	(16)	-	-	-	-	-	(16)	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds				Applications of funds									
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Global Forum for Rural Advisory Services															
	Logistic Support to GFRAS for the GFRAS Annual meeting, the International Conference (Innovations in Extension and Advisory Services) and the GFRAS Steering Committee Meeting, in Nairobi, November 2011	-	31,718	-	-	31,718	-	416	-	3,952	208	-	-	4,576	27,142
GFRS-955															
Deutsche Gesellschaft fur Technische Zusammenarbeit - GTZ															
	Innovating Strategies to Safeguard Food Security using Technology and Knowledge Transfer: A people-centred Approach (ICRAF)	-	-	39,567	20,885	60,452	20,761	16,209	-	6,918	16,566	-	-	60,452	-
GTZG-1064															
	Scaling-Up the Science to Create an EverGreen Agriculture in African Countries	-	-	400,593	-	400,593	-	177	-	981	41	-	-	1,199	399,394
GTZG-1081															
	PostDoc Project: Developing High Intensity Fruit Garden Agroforestry Systems for Small-scale Farmers of Eastern Africa	-	2,089	-	-	2,089	-	-	-	-	-	-	-	-	2,089
GTZG-803															

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
GTZG-804	GIS Spatial Analysis :- Suitability Maps for Biofuel Feedstock for Ethiopia, Uganda, Tanzania	-	1,601	-	-	1,601	-	104	-	1,490	6	-	-	1,601	-
	Making the Mekong Connected (MMC): Development of carbon market and conservation financing mechanisms for multifunctional landscape bio-corridors in the Upper Mekong	(175,312)	-	203,766	-	28,454	811	4,340	-	2,654	19,901	-	748	28,454	-
Heifer International															
HFER-1088	East Africa Dairy Development (EADD) Phase II	-	-	-	10,645	10,645	7,176	3,469	-	-	-	-	-	10,645	-
HFER-749	East Africa Dairy Development (EADD)	-	127,626	233,896	-	361,522	130,498	50,626	-	39,029	57,288	84,037	-	361,478	44
HK Logistics LTD. Global Solutions															
	Sustainable Livelihood Options and Carbon Rights as a basis for efficient and fair emission reduction in the central Kalimantan Ex-Mega Rice Project	-	28,564	-	-	28,564	1,835	3,061	-	5,586	18,082	-	-	28,564	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds								Funds c/f as at 31 Dec 2013		
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	
World Bank															
IBRD-1055	Improving Measurements of Agricultural Productivity Through Methodological Validation and Research	-	-	70,503	14,561	85,064	28,957	9,179	-	4,976	10,158	-	31,794	85,064	-
International Center for Agricultural Research in the Dry Areas															
ICAD-1089	Scientific planning workshop in Kumasi Ghana for West Africa Sahel and Dry Savannas regional program	-	-	39,966	23	39,989	-	5,216	-	24,696	633	9,444	-	39,989	-
India Council for Agricultural Research															
ICAR-851	Enabling Small Holders to Improve their Livelihoods and Benefit from Carbon Finance under the National Agricultural Innovation Project (NAIP)	-	195,862	(58,160)	-	137,702	-	1,078	7,187	16,796	2,575	-	-	27,636	110,066

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds				Applications of funds									
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
ICAR-984	Enabling Small Holder Vulnerable Communities to Secure Sustainable Livelihoods under Changing Climate in India	-	55,297	14,279	-	69,576	-	1,449	-	3,392	6,146	877	-	11,864	57,712
ICLARM															
ICLM-953	From Ridge to Reef: An Ecosystem Based Approach to Biodiversity Conservation and Development in the Philippines	(1,916)	-	15,000	-	13,084	3,481	2,358	-	2,378	2,302	2,565	-	13,084	-
International Crop Research Institute for the Semi Arid tropics															
ICRI-1026	Africa Rising: Sustainable Intensification of Cereal-Based Farming Systems in the Sudano-Sahelian Zone	-	9	50,000	17,453	67,462	9,130	11,446	1,000	10,916	34,970	-	-	67,462	-
ICRI-1060	Intensification of Maize-Legume Based Systems in the Semi-Arid Areas of Tanzania (Konwa and Kiteto districts) to Increase Farm Productivity and Improves Farming Natural Resource Base	-	-	124,398	16,620	141,018	17,354	55,720	7,926	25,798	34,220	-	-	141,018	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
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ICRI-988	Developing Community-based Climate Smart Agriculture through Participatory Action Research in CCAFS Benchmark Sites in West Africa	(52,950)	-	437,684	77,315	462,049	116,284	68,180	-	35,798	213,357	28,430	-	462,049	-
IDH															
IDHZ-1031	IDH-MARS Cocoa Productivity and Quality Program (CPQP): Cocoa Development Center Platforms for Transfer of Cocoa Regeneration Technologies	-	14,022	230,871	183,537	428,430	138,448	17,935	-	27,711	226,676	17,660	-	428,430	-
International Development Research Centre															
IDRC-819	Going to Scale: Enhancing the Adaptive Management Capacities for Sustainable Land Management in the Highlands of Eastern Africa	-	27,239	(6,180)	-	21,059	12,267	5,467	-	910	2,403	-	-	21,047	12
International Fund for Agricultural Development															
IFAD-1035	Tree Crops Development in Africa and Asia to Benefit the Poor	(184,207)	-	1,843,568	-	1,659,361	352,101	507,668	50,105	114,233	93,947	123,164	51,256	1,292,474	366,887

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds					Applications of funds								
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
IFAD-1040	Sharing Knowledge on Ready-to-Scale High Potential Pro-Poor Agricultural Technologies in India.	-	40,000	10,000	-	50,000	-	-	-	1,123	3,251	75	-	4,449	45,551
IFAD-1043	Programme for the Development of Alternative Biofuel Crops	-	-	968,718	-	968,718	63,992	217,243	-	-	9,063	-	-	290,298	678,420
IFAD-1047	Adaptation for Smallholder Agriculture Programme (ASAP) - Related Remote Sensing Baseline Analysis	-	-	54,498	77,839	132,337	67,594	37,047	-	8,654	(3,739)	-	22,781	132,337	-
IFAD-781	Programme to Support Smallholder Conservation Agriculture Promotion in Western and Central Africa	-	768	-	-	768	-	768	-	-	-	-	-	768	-
IFAD-788	Rewards for, Use of and Shared Investment in Pro-poor Environmental Services Phase II(RUPES-II)	(257,872)	-	257,872	-	-	-	-	-	-	-	-	-	-	-
IFAD-919	Parkland Trees and Livelihoods: Adapting to Climate Change in West African Sahel	-	335,707	485,029	-	800,736	103,478	159,930	7,232	41,644	104,673	56,426	2,019	475,402	325,334

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IFAD-921	Enabling Rural Transformation and Grass-roots Institution Building for Sustainable Land Management and Increased Incomes and Food Security	(27,617)	-	527,617	-	500,000	98,815	83,234	19,111	34,804	135,372	-	-	371,336	128,664
	Scaling Up Conservation Agriculture with Trees for Improved Livelihoods and Environmental Resilience in Eastern and Southern Africa														
IFAD-923		-	106,715	878,938	89,325	1,074,978	215,787	185,822	21,615	274,203	280,024	67,883	29,644	1,074,978	-
International Food Policy Research Institute															
IFPR-1021	Low Emission Development Strategies	-	27,555	25,000	-	52,555	382	49,263	-	2,805	104	-	-	52,554	1
IFPR-1041	Production and Technology Policies of CRP2	-	44,010	-	44,010	88,020	57,955	28,288	-	1,777	-	-	-	88,020	-
IFPR-1084	Workshop on Addressing Gender, Agriculture and Assets in Agricultural Development Projects December 4-5, 2013, Nairobi, Kenya	-	-	37,000	-	37,000	-	-	-	-	-	-	-	-	37,000

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
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IFPR-967	Research Activities on Enhancing the Agroforestry Component of the Economic Models	-	11,535	-	-	11,535	-	1,923	-	4,384	10	5,220	-	11,535	-
Intergovernmental Authority on Development															
IGAD-1083	Programme in the Tana-Kipini-Laga Badana Bush Land and Seascope	-	-	682,675	-	682,675	52,494	19,774	-	-	-	-	-	72,268	610,407
International Institute for Sustainable Development															
IISD-1000	Building REDD Policy Capacity for Developing Country Negotiators and Stakeholders - Phase III	-	34,740	-	-	34,740	25,530	6,265	-	-	446	2,499	-	34,740	-
IISD-903	Building REDD Capacity for Developing Country Negotiators and Land Managers - Phase II	-	14,613	-	-	14,613	9,058	2,635	-	236	1,057	-	1,627	14,613	-
International Institute of Tropical Agriculture															
IITA-1012	Evidence-based Scaling-up of Evergreen Agriculture for Increasing Crop Productivity, Fodder Supply and Resilience of the Maize-mixed and Agropastoral Farming Systems in Tanzania and Malawi	-	21,220	-	443	21,663	2,818	4,790	-	8,431	5,625	-	-	21,663	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

			Sources of funds					Applications of funds								
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013	
International Livestock Research Institute																
ILRI-1025	Sustainable Tree-Crop-Livestock Intensification as a Pillar for the Ethiopian Climate Resilient Green Economy Initiative	-	35,279	33,719	-	68,998	31,088	21,406	2,724	12,867	913	-	-	68,998	-	
	Africa - Research in Sustainable Intensification for the Next Generation	-	-	194,256	93,502	287,758	55,843	104,045	-	60,174	7,546	60,150	-	287,758	-	
ILRI-973	Livelihood Diversifying Potential of Livestock Based Carbon Sequestration Options in Pastoral and Agropastoral Systems in Africa	(16,210)	-	130,517	-	114,307	11,461	17,852	-	5,834	13,166	-	-	48,313	65,994	
Government of India																
INDA-980	Research grant	-	241,963	180,574	-	422,536	73,497	39,124	-	4,862	3,816	-	-	121,299	301,237	
Ireland																
IRLD-1007	Agroforestry Food Security Programme (AFSP) Phase II	-	232,964	642,343	-	875,307	179,372	130,716	-	104,020	89,754	141,329	56,368	701,559	173,748	
IRLD-795	Cooperation with Irish Universities and Research Institutes	-	118,885	-	-	118,885	-	1,823	-	2,881	2,078	-	-	6,782	112,103	

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
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World Conservation Union															
IUCN-813	To contribute to Sustainable Environmental Management and Poverty Reduction with the aim of putting in place LLS activities in the Tri-national of the Sangha -TNS	(19,088)	-	19,088	-	-	-	-	-	-	-	-	-	-	-
International Water Management Institute															
IWMI-913	CPWF Nile 2 Project in Ethiopia	(67,636)	-	-	82,148	14,512	2,253	12,749	-	-	(490)	-	-	14,512	-
Japan															
JPAN-753	Bioenergy Provision within Agroforestry Systems in East Africa	(55,294)	-	98,000	-	42,706	27,013	7,019	3,425	1,422	45	-	-	38,924	3,782
Japan International Research Center For Agricultural Sciences															
JRAS-742	Japan-CGIAR Fellowship Programme-2007-2011	-	-	8,118	-	8,118	-	439	-	2,637	289	-	-	3,365	4,753
Universite LAVAL															
LAUN-989	Accroître la Sécurité alimentaire en associant étroitement élevage, arbres et cultures par la pratique de l'agroforesterie au Mali	(57,258)	-	104,485	17,582	64,809	35,348	15,484	-	8,689	5,288	-	-	64,809	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds					Applications of funds								
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Margaret A. Cargill Foundation															
	Protecting Biodiversity through Improved Community Forest Management and Agroforestry	-	600,000	400,000	-	1,000,000	133,505	80,399	172	49,912	26,988	105,245	-	396,221	603,779
Mars Inc															
	To Support the Development of Sustainable Cocoa Production in West Africa through Setting Baseline and Systems for Soil and Carbon Assessment	-	83,582	-	-	83,582	-	2,126	-	-	1,625	-	12,546	16,297	67,285
McKnight Foundation															
	Agro-ecological Intensification of Sorghum and Pearl Millet-Based Production Systems in the Sahel Through Agroforestry: Linking Farmers' Knowledge to Process-Based Science	-	74,452	36,500	-	110,952	14,527	18,815	-	16,702	10,480	13,633	-	74,157	36,795
MCNT-1027															

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		Sources of funds			Applications of funds								Funds c/f as at 31 Dec 2013		
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	
Macaulay Land Use Research Institute															
MLRI-826	Development and Application of Methodologies For Reduced Emissions From Deforestation and Forest Degradation (DEFRA REDD)	(1)	-	-	-	(1)	-	(1)	-	-	-	-	-	(1)	-
MLRI-857	Reducing Emissions from Deforestation and Degradation through Alternative Landuses in Rainforests of the Tropics (REDD-ALERT)	(119,948)	-	-	119,948	-	-	-	-	-	-	-	-	-	-
Republic of Maldives															
MOFA-873	Scientific and Technical Cooperation in Research, Development and Training in Agro-forestry in the Maldives	-	53,316	-	-	53,316	-	-	-	-	-	-	-	-	53,316
Michigan State University															
MSUZ-1072	"Farmer to farmer extension approaches: Characterizing the approaches of various types of extension services and farmers' perceptions"	-	-	40,032	81,068	121,100	17,010	75,105	1,596	19,716	7,673	-	-	121,100	-
Multidonor															
MULT-1002	MULTIDONOR - ASB	-	1,260	13,052	-	14,312	-	-	-	-	-	-	-	-	14,312

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MULT-1049	MULTI-DONOR - WCA	-	-	220,019	-	220,019	-	4,960	-	-	33,064	-	-	38,024	181,995
MULT-1052	India Multidonor	-	-	185,724	-	185,724	-	13,088	-	-	1,045	5,000	81,206	100,339	85,385
MULT-1056	Kisumu Office Management Funds	-	-	-	-	-	180,569	9,152	-	(2,303)	(187,539)	120	-	-	-
MULT-1059	Expert Advisory Services - UNEP	-	-	44,691	-	44,691	-	30,742	-	-	75	-	-	30,817	13,874
MULT-1073	Multidonor funds-SD6	-	-	21,444	-	21,444	-	(344)	-	(159)	10,444	222	5,599	15,762	5,682
MULT-1074	Threats to Priority food tree species in Burkina Faso: Drivers of resource losses and mitigation measures	-	-	36,116	8,240	44,356	31,259	13,097	-	-	-	-	-	44,356	-
MULT-1076	Transforming Key Productions Systems: Maize Mixed East and Southern Africa	-	-	22,500	1,586	24,086	-	3,142	-	5,303	136	15,505	-	24,086	-
MULT-1078	2014 World Congress on Agroforestry	-	-	51,099	-	51,099	-	(4,688)	(31,250)	-	-	-	-	(35,938)	87,037
MULT-1085	AWARD - MULT	-	-	1,448	-	1,448	-	-	-	-	-	-	-	-	1,448
MULT-651	Trees and Markets	-	117,980	9,788	-	127,768	-	19,583	6,547	55,157	18,681	10,610	-	110,578	17,190
MULT-748	GIS Multi-donor Funds	-	25,844	14,572	-	40,416	21,882	3,647	-	-	2,433	-	-	27,962	12,454
MULT-751	GRP3 Multi-donor Funds - EA	-	23,687	3,000	-	26,687	-	21	-	140	-	-	-	161	26,526
MULT-799	GRP4 Multi-donor Funds	-	2,570	-	-	2,570	-	-	-	-	-	-	-	-	2,570
MULT-895	Mult-Donor - East Africa	-	12,074	29,200	25,764	67,038	10,169	55,116	-	573	1,180	-	-	67,037	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Applications of funds													
Donor Name & ID	Description	Sources of funds				Total available 2013	Applications of funds								Funds c/f as at 31 Dec 2013
		Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013		Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	
MULT-897	Latin America Operations	-	40,879	61,628	-	102,507	12,431	12,166	2,071	9,232	3,811	-	1,594	41,305	61,202
MULT-898	Tanzania Country Office Operations	-	5,780	-	-	5,780	-	-	-	-	(16,406)	-	-	(16,406)	22,186
MULT-899	SEA Regional Office Operations	-	77,890	235,529	-	313,419	63,322	55,317	-	32,498	32,674	3,509	18,478	205,798	107,621
MULT-963	MARS CHOCOLATE	(1,214,914)	-	6,637,107	1,079,841	6,502,034	1,297,658	1,337,737	21,058	478,132	1,736,945	834,710	795,794	6,502,034	-
MULT-974	Conduct Greenhouse Gas Fluxes in Agroforestry Systems of Western Kenya	-	26,884	-	-	26,884	-	-	-	-	-	-	-	-	26,884
MULT-994	Gender and diversity programme	-	6,128	-	-	6,128	-	799	-	-	5,329	-	-	6,128	-
MULT-996	Funds for purchase of vehicle	-	-	94,632	-	94,632	-	-	-	-	-	-	-	-	94,632
MULT-999	Workshop on analyzing agricultural markets	-	58,203	11,140	-	69,343	-	-	-	-	-	-	-	-	69,343
Natural Environment Research Council															
NERC-1067	Assessing Risks of Investment in Groundwater Resources in Sub-Saharan Africa	-	-	117,208	-	117,208	28,737	16,490	-	4,986	5,091	-	-	55,304	61,904
Netherlands															
NETH-1075	A Regional in the Sahel and Horn of Africa, enhancing Food and Water Security for Rural Economic Development	-	-	4,410,000	-	4,410,000	312,147	270,033	744	107,986	51,094	37,591	30,275	809,870	3,600,130

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds					Applications of funds								
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
NETH-792	Improved Capacity in Rainwater Management for Sustainable Development	(20,749)	-	-	20,749	-	-	-	-	-	-	-	-	-	-
Norwegian Agency for Development Cooperation															
NORD-1069	Secured Landscapes: Sustaining Ecosystem and Carbon Benefits by Unlocking Reversal of Emissions Drivers in Landscapes 2013-2015	-	-	827,773	-	827,773	236,039	56,295	7,578	62,549	37,048	203,730	1,510	604,749	223,024
NORD-838	REALU Architecture: Reducing Emissions from all Land Uses	-	58,959	-	1	58,960	-	5,361	-	23,220	3,068	27,311	-	58,959	-
NORD-886	Architecture of REALU: Reducing Emissions for All Land Use (Phase II)	-	1,698,845	-	-	1,698,845	756,335	239,900	46,897	189,456	160,943	305,314	-	1,698,845	-
Northern Rangelands Company Limited															
NRCL-1077	Baseline Assessment and Long-Term Monitoring of Soil and Rangeland Health in NRT areas	-	-	36,100	2,032	38,132	-	4,973	171	414	1,107	31,467	-	38,132	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

			Sources of funds				Applications of funds								
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Natural Resources Canada															
NRCZ-1011	Restoring Karago Watershed through Participatory Innovative Agroforestry Technologies to Support Construction of a Model Forest and Improve the Livelihood of Communities in Gishwati, Rwanda	-	93,856	77,761	-	171,617	56,411	47,529	-	8,639	37,821	-	-	150,400	21,217
	Natural Resources Institute														
NRIZ-1017	ADAPPT Project	-	39,388	-	9,017	48,405	-	8,924	3,019	6,977	20,189	9,296	-	48,405	
National Smallholder Farmers' Association of Malawi															
NSFM-906	Increasing Benefits to Smallholder Farmers From Improved Soil Fertility through Integration of Pigeon Peas, Groundnuts and Conservation Agriculture in Maize Production Systems of Malawi	(36,734)	-	36,698	-	(36)	-	(36)	-	-	-	-	-	(36)	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds				Applications of funds									
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Peru															
PERU-1023	Manejo integrado de la mancha anillada del papayo ocasionada por el Papaya Ringspot Virus (PRSV) en las principales zonas productoras del Perú AND Fortalecimiento institucional para consolidar la investigación agroforestal	-	50,000	79,950	-	129,950	69,009	800	5,499	11,231	13,581	190	-	100,310	29,640
PERU-930	Investigación agroforestal amazónica para usos de la tierra con alta provisión de servicios ambientales, bajas emisiones de gases y rentabilidad económica" - (2011-2013)	-	33,452	50,000	-	83,452	-	-	500	-	1,844	9,124	-	11,468	71,984
Rwanda Agricultural Development Authority															
RADA-865	Sustainable Land Management Project (Rwanda)	-	8,944	-	-	8,944	-	1,613	-	66	7,265	-	-	8,944	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Rainwater Harvesting Implementation Network Foundation															
RAIN-1009	Institutional Strengthening of Southern and Eastern Africa Rainwater Network - SEARNET Conference.	(9,492)	-	20,476	15,499	26,483	13,859	4,228	183	3,090	2,333	2,788	-	26,483	-
RAIN-952	Mapping Interventions and Assessing Financing Mechanisms and Institutional Frameworks for Sustainable Promotion of Rainwater Harvesting	(5,258)	-	26,374	-	21,116	-	-	-	-	-	-	-	-	21,116
Rights and Resources Group															
RRGZ-814	Rights Recognition Mapping and Analyzing Lessons from Tenure Reform	(7,507)	-	-	7,507	-	-	-	-	-	-	-	-	-	-
RRGZ-892	Appropriate property rights for customary use zones of local communities and indigenous people in Cameroon AND MALI - Elaboration et diffusion d'un document d'orientation sur les conventions locales	(12,849)	-	-	-	-	-	-	-	-	-	-	-	-	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds				Applications of funds									
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
RRGZ-920	Legal Policy Reform, Tenure and Adat Rights	-	42,176	-	-	42,176	-	-	-	-	42,176	-	-	42,176	-
Republic of South Africa Gov't															
RSAZ-1015	Department of Agriculture, Forestry and Fisheries	-	3,798	107,800	-	111,598	17,222	17,168	-	25,236	3,328	30,376	-	93,330	18,268
Swiss Development Corporation															
SDCZ-1038	Consultancy to the SDC-MoLEP Project on Sloping Land Management in DPRK	(48,336)	-	52,440	4	4,108	1,210	332	-	2,566	-	-	-	4,109	-
SDCZ-831	DPRK -Capacity Building Missions for the Sloping Land Management Project	-	28,377	-	-	28,377	25,798	2,583	-	(2)	-	-	-	28,377	-
SDCZ-966	Climate Smart Rural Development Project	-	3,772	-	31,007	34,779	(107)	22,591	-	2,180	7,866	-	2,249	34,779	-
Swedish International Development Cooperation Agency															
SIDA-912	Conservation Agriculture with Trees (CAWT)	-	39,941	-	-	39,941	-	-	-	-	-	-	-	-	39,941
Swedish University of Agricultural Sciences															
SLUZ-945	"Approaches for Analysing Multi-functionality of Agroforestry Systems in Western Kenya in Relation to Climate Change Adaptation and Mitigation" and "Multifunctionality of Agroforestry Systems"	-	14,652	202,458	-	217,110	49,594	80,301	16,271	3,978	8,196	6,852	-	165,192	51,918

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Tegemeo Institute of Egerton University															
	Improving Participation in Agricultural Commodity Markets for Smallholder Farmers in Kenya: Assessing Growth Opportunities for the Marginalized	-													
TIEU-1019	Groups	-	44,340	-	-	44,341	18,339	8,694	179	5,928	11,201	-	-	44,341	-
University of California, Davis															
	Establish African Plant Breeding Academy (AIPBA) in Nairobi, Kenya with support from the World Agroforestry Center (ICRAF)	-	-	112,520	47,219	159,739	17,441	28,617	-	32,489	36,056	45,136	-	159,739	-
University of Copenhagen															
	Impacts of Reducing Emissions from Deforestation and Forest Degradation and Enhancing Carbon Stocks - I-REDD	-	27,783	105,313	-	133,096	17,993	6,101	-	5,993	4,190	-	-	34,277	98,819
United Nations Environmental Programme															
	Support to National REDD+ Programme	-	-	150,000	39,763	189,763	10,000	69,789	-	59,746	8,367	41,861	-	189,763	-
UNEP-1042		-	-	150,000	39,763	189,763	10,000	69,789	-	59,746	8,367	41,861	-	189,763	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds					Applications of funds								
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
UNEP-1062	Capacity Building in National Planning for Food Security - Strengthen the Capacity at the National Level to Identify Environmental Impacts and Ecosystem Degradation Related to Food Production Systems in Uganda.	-	-	62,500	-	62,500	6,000	48,217	-	4,268	1,136	-	-	59,621	2,879
UNEP-535	An Ecosystem Approach to Restoring West African Drylands and Improving Rural Livelihoods through Agroforestry-based Land Management Interventions	-	36,426	-	-	36,426	-	36,401	-	-	25	-	-	36,426	-
UNEP-942	Interim Secretariat of Nairobi Science and Policy Forum on Sustainability of Agroecosystems	-	8,000	-	-	8,000	-	-	-	-	185	-	-	185	7,815
UNEP-968	UN-REDD Panama Program	-	17,156	22,289	-	39,445	-	3,959	-	-	-	18,852	-	22,811	16,634

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
UNEP-969	Revisiting Climate Change within Maasai Mau Forest and its Future Implication on Maasai Mau Forest Complex's Conservation	(3,357)	-	11,320	4,101	12,064	-	1,574	10,480	-	10	-	-	12,064	-
Unilever															
UNLV-616	To advance domestication of Allanblackia spp. In selected countries in Africa III	-	67,625	-	-	67,625	-	6,148	-	44,229	14,914	2,334	-	67,625	-
UNLV-932	Rooting and Germination of Allanblackia Tree/Seeds	-	4,358	39,578	-	43,936	-	3,990	-	-	2,246	37,700	-	43,936	-
UNLV-948	Remuneration Leader Secretariat/ Secretary Allanblackia Strategic Executive Committee (SEC)	-	7,311	11,357	-	18,668	6,182	3,240	-	-	9,246	-	-	18,668	-
United Nations University -Int'l Orgn Center															
UNUZ-986	Steering Committee Meeting and the 2nd Global Conference of the International Partnership for the Satoyama Initiative (IPSI)	(45,973)	-	45,973	-	-	-	-	-	-	-	-	-	-	-
United States Agency for International Development															
USAD-1001	Greening the Sahel, Building an Evidence Base (EGAT/ GCC)	(494,379)	-	-	494,379	-	-	-	-	-	-	-	-	-	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds				Applications of funds									
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
USAD-1044	AWARD	-	1,391,600	(313,600)	-	1,078,000	405,186	183,554	-	227,505	120,205	4,118	4,323	944,891	133,109
USAD-130	Collaboration with universities	-	42,391	-	-	42,391	-	2,900	-	-	-	10,000	-	12,900	29,491
USAD-828	Food Security and Crisis Mitigation (Women in Science -G&D) - Phase II	-	124,812	-	-	124,812	-	10,039	5,668	4,473	80,541	-	-	100,721	24,091
	Food Security and Crisis Mitigation (Women in Science -G&D) - Phase II														
USAD-909	Mainstreaming Climate Change in Bioversity Planning and Conservation in the Philippines	(632,483)	-	1,085,164	85,305	537,986	28,782	229,289	-	139,881	139,728	-	306	537,986	-
USAD-922		(725,569)	-	-	865,594	140,025	49,854	41,810	-	19,298	10,554	18,509	-	140,025	-
USAD-972	Ecosystem Mapping in Kenya	(8,906)	-	23,071	-	14,165	11,707	2,458	-	-	-	-	-	14,165	1
Vrije Universiteit AMSTERDAM															
VUAZ-954	Provide Information of the Economic Benefits of Farmer Managed Natural Regeneration (FMNR) Practices and other Socio-Cultural Benefits	(42,255)	-	-	37,386	(4,869)	(2,238)	(1,246)	-	(685)	(700)	-	-	(4,869)	-
World Cocoa Foundation															
WCFZ-1066	Cocoa Community Development Fund	-	-	160,000	-	160,000	-	-	-	-	-	89,644	-	89,644	70,356

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds			Applications of funds										
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
World Vision															
WDVN-1006	BEATING FAMINE CONFERENCE: Sustainable Food Security through Land Regeneration in a Changing Climate to be convened at ICRAF on April 10-13	-	28	-	-	28	-	28	-	-	-	-	-	28	-
William J. Clinton Foundation															
WJCF-960	Scale-up of Soybean Production in Rwanda to Improve Soil Fertility and Livelihood for Farmers	-	4,709	-	-	4,709	1,413	-	-	1,161	2,135	-	-	4,709	-
World Wildlife Fund															
WWFZ-809	Linking Futures Programme- Economic Opportunities, Livelihoods and Ecological Sustainability in the Campo-Ma'an Landscape	(23,882)	-	-	23,882	-	-	-	-	-	-	-	-	-	-
WWFZ-836	Carbon Benefits Project: Modelling, Measurement and Monitoring	(30,237)	-	26,798	3,439	-	-	-	-	-	-	-	-	-	-

Exhibit 1c: Analysis of sources and applications of restricted project grants

		Sources of funds					Applications of funds								
Donor Name & ID	Description	Funds accrued prior year	Funds b/f from prior year	Funds received 2013	Funds accrued 2013	Total available 2013	Personnel costs	Professional services	Training	Operational travel	Supplies & services	Partnerships	Capital & Depreciation	Total Expenditure	Funds c/f as at 31 Dec 2013
Leibniz Centre for Agricultural Landscape Research e.V.															
ZALF-783	Climate Change Impact Assessment and Adaption Options in Vulnerable Agro-landscapes in East Africa	-	65,269	-	-	65,269	-	-	-	-	-	-	-	-	65,269
ZALF-854	Strategies To Use Biofuel Value Chain Potential in Sub-Saharan Africa to Respond to Global Change	-	61,224	-	-	61,224	-	-	-	-	-	-	-	-	61,224
		(11,319,373)	17,301,805	56,753,016	12,192,282	74,927,727	17,221,994	14,731,099	513,453	6,487,328	8,143,509	5,704,230	1,805,864	54,607,462	20,320,258

PROPERTY AND EQUIPMENT DETAILED SCHEDULE

AS AT 31 DECEMBER 2013 (In US Dollars '000)

Exhibit 2

	2013				2012
	Physical Facilities	Infrastructure & Leasehold	Furnishing & Equipment	Total	
COST					
Balance : January 1	7,484	463	11,645	19,592	19,891
Work in Progress	287	-	385	672	-
Reclassification					
Current Period					
Additions	168	-	2,083	2,251	2,225
Work in Progress	744	-	160	903	672
Disposals	-	-	(105)	(105)	(2,524)
Balance December 31	8,683	463	14,169	23,314	20,264
ACCUMULATED DEPRECIATION					
Balance: January 1	(4,037)	(290)	(10,284)	(14,611)	(14,541)
Current Period	-	-	-		
Additions	(256)	(27)	(2,137)	(2,420)	(2,585)
Disposals	-	-	103	103	2,515
Balance December 31	(4,293)	(317)	(12,318)	(16,926)	(14,611)
NET BOOK VALUE	4,389	146	1,851	6,386	5,653



Statement of Overhead Expenses

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars '000)

Exhibit 3

	2013	2012
Research Expenses (inc services)	50,196	46,170
General and Administration Expenses	7,166	6,691
Total Costs	57,362	52,861
Percentage Indirect/Direct	14.3%	14.5%

AWARD PROGRAM

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars '000)

Exhibit 4

		Award Programme	Total	2012
Grants received/Receivable				
	Brought Forward	6	6	271
	CGIAR - Centre directors' committee	-	-	-
	CGIAR - Secretariat	-	-	-
	Norway	-	-	-
	Switzerland	-	-	-
	Participants training fees	-	-	32
	AGRA	-	-	624
	AGROPOLIS	-	-	-
	Bill & Melinda Gates Foundation (AWARD)	3,738	3,738	3,204
	IFPRI	37	37	-
	USAID	1,085	1,085	2,633
	ICRAF	10	10	-
	Brought Forward	4,422	4,422	1,717
	Interest Earned	-	-	39
	Receipts during the year	-	-	-
	Total	9,298	9,298	8,520
Expenditure				
	Personnel costs	1,457	1,457	1,234
	Professional services and Supplies	1,590	1,590	1,744
	Operational travel	1,391	1,391	1,022
	Partnerships/Small Grants	98	98	75
	Depreciation	50	50	16
	Total	4,586	4,586	4,091
	Grants balance c/f (deficit)	4,712	4,712	4,429

AWARD is a privileged service provider of the CGIAR Consortium with regard to capacity building of female scientists from partner institutions in the CRPs, and at CGIAR system level, as per the Consortium Gender Strategy. AWARD is hosted by ICRAF under a memorandum of understanding between ICRAF and the CGIAR Consortium.

CGIAR Research Program 1.1: Integrated agricultural production systems for improved food security and livelihoods in dry areas

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

Exhibit 5

EXPENDITURE REPORT					FUNDING REPORT		
	W1 + W2 Funds	Window 3 & Bilateral	Centre Funds	Total	INCOME	YEAR 2	TOTAL
Personnel	182,239	637,614	-	819,853	OP. BALANCE	(176,075)	(176,075)
Collaborators/ Partnership Costs - CG Centers	-	39,989	-	39,989	CRP1.1 W1+W2 funds	254,950	254,950
Collaborators/ Partnership Costs - Others	-	79,654	-	79,654		-	-
Supplies and services	64,842	739,381	-	804,223	TOTAL	78,875	78,875
Operational travel	57,237	246,363	-	303,600			
Depreciation		31,805	-	31,805	EXPENDITURE		TOTAL
Contingency (only for budgeting purposes)	-	-	-	-	CRP1.1 W1+W2 Expenses	349,966	349,966
Subtotal	304,318	1,774,806	-	2,079,124			
Institutional Overhead (15% of direct cost)	45,648	197,769	-	243,417	TOTAL EXPENDITURE	349,966	349,966
TOTAL	349,966	1,972,575	-	2,322,541	BALANCE	(271,091)	(271,091)

CGIAR Research Program 1.2: Integrated systems for the humid tropics

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

EXPENDITURE REPORT					FUNDING REPORT		
	W1 + W2 Funds	Window 3 & Bilateral	Centre Funds	Total	INCOME	YEAR 2	TOTAL
Personnel	317,401	-	-	317,401	OP. BALANCE	(155,000)	(155,000)
Collaborators/ Partnership Costs - CG Centers	-	-	-	-	CRP1.2 W1+W2 funds	614,234	614,234
Collaborators/ Partnership Costs - Others	2,220	2,220	-	4,440		-	-
Supplies and services	94,868	28,849	-	123,717	TOTAL	459,234	459,234
Operational travel	107,778	81	-	107,859			
Depreciation	10,180	-	-	10,180	EXPENDITURE		TOTAL
Contingency (only for budgeting purposes)	-	-	-	-	CRP1.2 W1+W2 Expenses	612,314	612,314
Subtotal	532,447	31,150	-	563,597			
Institutional Overhead (15% of direct cost)	79,867	4,158	-	84,025	TOTAL EXPENDITURE	612,314	612,314
TOTAL	612,314	35,308	-	647,622	BALANCE	(153,080)	(153,080)

CGIAR Research Program 2: Policies, Institutions and Markets

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

Exhibit 5

EXPENDITURE REPORT				
	W1 + W2 Funds	Window 3 & Bilateral	Centre Funds	Total
Personnel Costs	600,271	256,706	44,799	901,777
Collaborators/ Partnership Costs - CG Centers	-	-	-	-
Collaborators/ Partnership Costs - Others	11,014	95,509	-	106,523
Supplies and Services	145,520	159,840	1,039	306,399
Operational Travel	132,991	66,551	5,155	204,697
Depreciation	-	-	760	760
Contingency (only for budgeting purposes)	-	-	-	-
Subtotal	889,796	578,606	51,753	1,520,155
Institutional Overhead (% of direct cost)	133,469	85,526	-	218,995
TOTAL	1,023,266	664,132	51,753	1,739,151

FUNDING REPORT		
INCOME	YEAR 2	TOTAL
OP. BALANCE	65,388	65,388
CRP2 W1+W2 funds	871,875	871,875
TOTAL	937,263	937,263

EXPENDITURE		TOTAL
CRP2 W1+W2 Expenses	1,023,266	1,023,266
TOTAL EXPENDITURE	1,023,266	1,023,266
BALANCE	(86,003)	(86,003)

CGIAR Research Program 4: Agriculture for Nutrition and Health

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

EXPENDITURE REPORT				
	W1 + W2 Funds	Window 3 & Bilateral	Centre Funds	Total
Personnel	186,719	-	24,521	211,240
Collaborators/ Partnership Costs - CG Centers	15,000	8,122	-	23,122
Collaborators/ Partnership Costs - Others	-	4,362	-	4,362
Supplies and services	54,429	180,816	-	235,245
Operational travel	33,398	83,675	-	117,073
Depreciation	-	42,772	1,981	44,753
Contingency (only for budgeting purposes)	-	-	-	-
Subtotal	289,546	319,747	26,502	635,796
Institutional Overhead (15% of direct cost)	43,432	45,976	-	89,408
TOTAL	332,978	365,723	26,502	725,204

FUNDING REPORT		
INCOME	YEAR 2	TOTAL
OP. BALANCE	(34,229)	(34,229)
CRP4 W1+W2 funds	555,600	555,600
	-	-
TOTAL	521,371	521,371

EXPENDITURE		TOTAL
CRP4 W1+W2 Expenses	332,978	332,978
TOTAL EXPENDITURE	332,978	332,978
BALANCE	188,393	188,393

CGIAR Research Program 5: Water, Land and Ecosystems

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

Exhibit 5

EXPENDITURE REPORT					FUNDING REPORT		
	W1 + W2 Funds	Window 3 & Bilateral	Centre Funds	Total	INCOME	YEAR 2	TOTAL
Personnel	598,256	801,426	188,071	1,587,752	OP. BALANCE	(603,515)	(603,515)
Collaborators/ Partnership Costs - CG Centers	-	11,421	-	11,421	CRP5 W1+W2 funds	1,542,720	1,542,720
Collaborators/ Partnership Costs - Others	275,000	185,195	-	460,195	SRP5 Leadership+Decision Analyst	126,534	126,534
Supplies and services	212,236	567,707	(19,335)	760,609	TOTAL	1,065,739	1,065,739
Operational travel	62,207	113,543	31,898	207,648			
Depreciation	62,367	46,058	58,408	166,834			
Contingency (only for budgeting purposes)	-	-	-	-	EXPENDITURE		TOTAL
Subtotal	1,210,066	1,725,350	259,043	3,194,459	CRP5 W1+W2 Expenses	1,269,853	1,269,853
Institutional Overhead (15% of direct cost)	181,510	254,846	-	436,356	SRP5 Leadership	121,723	121,723
TOTAL	1,391,576	1,980,196	259,043	3,630,815	TOTAL EXPENDITURE	1,391,576	1,391,576
					BALANCE	(325,837)	(325,837)

CGIAR Research Program 6: Forests Trees and Agroforestry

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

EXPENDITURE REPORT					FUNDING REPORT		
	W1 + W2 Funds	Window 3 & Bilateral	Centre Funds	Total	INCOME	YEAR 3	TOTAL
Personnel Costs	5,573,665	9,429,333	901,599	15,904,597	OP. BALANCE	(2,217,477)	(2,217,477)
Collaborators/ Partnership Costs - CG Centers	50,000	-	-	50,000			
Collaborators/ Partnership Costs - Others	714,973	3,641,346	103,416	4,459,735	CRP6 W1+W2 funds	6,936,472	6,936,472
Supplies and Services	925,926	4,899,726	267,499	6,093,150	CRP6 Cross Cutting Funds	1,334,441	1,334,441
Operational travel	911,268	2,643,146	169,093	3,723,506	TOTAL	6,053,436	6,053,436
Depreciation	52,273	1,442,654	585,991	2,080,918	EXPENDITURE		TOTAL
Contingency (only for budgeting purposes)	-	-	-	-	CRP6 W1+W2 Expenses	7,719,204	7,719,204
Subtotal	8,228,104	22,056,204	2,027,598	32,311,906	CRP6 Cross Cutting Expenses	1,743,116	1,743,116
Institutional Overhead (% of direct cost)	1,234,216	2,501,055	-	3,735,271	TOTAL EXPENDITURE	9,462,320	9,462,320
TOTAL	9,462,320	24,557,259	2,027,598	36,047,176	BALANCE	(3,408,884)	(3,408,884)

CGIAR Research Program 7: Climate Change, Agriculture and Food Security

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

Exhibit 5

EXPENDITURE REPORT					FUNDING REPORT		
	W1 + W2 Funds	Window 3 & Bilateral	Centre Funds	Total	INCOME	YEAR 3	TOTAL
Personnel	1,493,213	633,186	-	2,126,398	OPENING BALANCE	(1,906,109)	(1,906,109)
Collaborators/ Partnership Costs - CG Centers	116,182	83,918	-	200,100	CRP7 W1+W2 FUNDS	4,270,762	4,270,762
Collaborators/ Partnership Costs - Others	151,986	383,551	-	535,537	Other Funds	-	-
Supplies and services	1,137,788	743,003	-	1,880,791	TOTAL AVAILABLE	2,364,653	2,364,652
Operational travel	483,594	245,991	-	729,585			
Depreciation	88,751	15,188	-	103,939	EXPENDITURE		TOTAL
Institutional Overhead (15% of direct cost)	552,628	288,157	-	840,785	TOTAL EXPENDITURE	4,024,142	4,024,141
TOTAL	4,024,141	2,392,994	-	6,417,136	BALANCE	(1,659,489)	(1,659,489)

CGIAR Research Program 8: Genebank

FOR THE YEAR ENDED 31 DECEMBER 2013 (In US Dollars)

EXPENDITURE REPORT					FUNDING REPORT		
	W1 + W2 Funds	Window 3 & Bilateral	Centre Funds	Total	INCOME	YEAR 2	TOTAL
Personnel	338,753	506	155,491	494,750	OPENING BALANCE	(344,917)	(344,917)
Collaborators/ Partnership Costs - CG Centers	-	-	-	-	Genebank Funds	996,858	996,858
Collaborators/ Partnership Costs - Others	8,263	-	-	8,263	Other Funds	-	-
Supplies and services	334,437	-	190,779	525,217	TOTAL AVAILABLE	651,941	651,941
Operational travel	57,478	-	42,776	100,255			
Depreciation	1,773	-	-	1,773	EXPENDITURE		TOTAL
Contingency (only for budgeting purposes)	-	-	-	-	Genebank Expenses	851,810	851,810
Subtotal	740,704	506	389,047	1,130,257	Other Expenses	-	-
Institutional Overhead (15% of direct cost)	111,106	76	-	111,182	TOTAL EXPENDITURE	851,810	851,810
TOTAL	851,810	582	389,047	1,241,439	BALANCE	(199,869)	(199,869)

ADDITIONAL DISCLOSURES (Exhibit 1a)

a. NON CRP- AWARD PROGRAM

AWARD is a privileged service provider of the CGIAR Consortium with regard to capacity building of female scientists from partner institutions in the CRPs, and at CGIAR system level, as per the Consortium Gender Strategy. AWARD is hosted by ICRAF under a memorandum of understanding between ICRAF and the CGIAR Consortium.

	2013	2012
	USD'000	USD'000
Personnel costs	1,426	1,234
Professional services and supplies	1,821	1,744
Operational travel	1,239	1,022
Partnerships/small grants	90	75
Depreciation	10	16
	4,586	4,091

b. OVERHEAD COST RECOVERY

Overhead cost recovery represents the portion of project restricted income allocated by donors through grant agreements to support general institutional overhead costs.

	2013	2012
	USD'000	USD'000
From restricted grants	6,320	6,221
	6,320	6,221

NOTES

[illegible]

NOTES

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NOTES



United Nations Avenue, Gigiri, P.O Box 30677-00100 Nairobi, Kenya
Phone: + (254) 20 722 4000, Fax: + (254) 20 722 4001

Via USA phone: (1-650) 833-6645, Fax: (1-650) 833-6646, Email: worldagroforestry@cgiar.org
www.worldagroforestry.org